

# 6

## STRING THEORY AND GRAVITY:

String spectrum, Effective actions, Dualities, AdS/CFT,  
Black Hole entropy, Some phenomenology

Some references:

Textbooks on String Th: Zwiebach, 'A First Course in String theory', Cambridge U. Press

↑  
see also IHP seminar  
on string theory Sept.-Feb 2001

Polchinski, 'String theory', 2 volumes Cambridge UP

Green Schwarz Witten, CUP; Becker, Becker, Schwarz 2006

Kiritsis 'Introduction to Superstring Theory' Leuven UP, hep-th/9709062

Johnson 'D-branes' Cambr. UP; Bachas hep-th/9806199

D-branes

AdS/CFT Klebanov TASI 2000: hep-th/0009139; Maldacena TASI 2003 hep-th/0309246

T-duality

Giveon, Porratti, Rabimovsici Phys. Rep. 264, 77 (1996) hep-th/9404139

Black Hole entropy Damour 'The entropy of black holes: a primer' Poincaré seminar, 2003 hep-th/0401160

BPS black holes in string th: Strominger Vafa PLB 379, 99 (1996); Callan Maldacena NPB 472 591 (1996)

Schwarzschild BH in string th: Susskind hep-th/9309145; Horowitz Polchinski Phys. Rev. D 57, 2557 (1998);  
Damour Veneziano NPB 568, 93 (2000)

Phenomenology:

short-range modifs Taylor Veneziano PLB 213, 450 (1988); Dimopoulos Giudice PLB 379, 105 (1996)

Antoniadis, Dimopoulos, Dvali NPB 516, 70 (1998)

long-range modifs Damour Poljakov NPB 423, 532 (1994); Damour Piazza Veneziano PRD 66, 046007 (2002)

Brane worlds Rubakov Phys. Usp. 44, 871 (2001); Maartens Living Reviews

Cosmic superstrings Willmott PLB 153, 243 (1985); Kachru et al. hep-th/0308055

Copeland Myers Polchinski hep-th/0312067; Damour Vilenkin PRD 64, 064008 (01), PRD 71, 063150 (05)

# 6.1 Quantum Relativistic Particle in Minkowski

Usual action:  $x^\mu$   $\eta_{\mu\nu}$   $x^\mu(\tau)$   $x_1$   $x_2$

$$S_0[x^\mu(\tau)] = -m \int_1^2 ds$$

$$= -m \int_1^2 \sqrt{-\eta_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau}} d\tau$$

arbitrary parameter

bad for quantizing

$x^\mu$   $x^\mu(\tau)$   $e(\tau)$  inner metric

$$S[x^\mu(\tau), e(\tau)] = \frac{1}{2} \int_1^2 d\tau \left[ \frac{1}{e(\tau)} \eta_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} - e(\tau) m^2 \right]$$

$d\tilde{s} = e(\tau) d\tau$

limit  $m^2 \rightarrow 0$ , OK

Quantization:

Feynman:  $A(x_2, x_1) = \int_{diff} \mathcal{D}x^\mu \mathcal{D}e e^{iS[x, e]} \propto \int_0^\infty d\tau \int \frac{d^D p}{(2\pi)^D} e^{i(p \cdot (x_2 - x_1) - \frac{p^2 m^2 \tau}{2})}$

$$\propto \int \frac{d^D p}{(2\pi)^D} \frac{e^{i p \cdot (x_2 - x_1)}}{i(p^2 + m^2)} = G_F(x_2 - x_1; m)$$

Heisenberg  $(x^\mu, p_\nu) \rightarrow \hat{x}^\mu, \hat{p}_\nu$

EQS OF MOTION IN GAUGE  $e(\tau)=1$   $\frac{d^2 x^\mu}{d\tau^2} = 0 \rightarrow$

SOLUTION:  $x^\mu = x_0^\mu + p^\mu \tau$

CONSTRAINT:  $\delta/\delta e(\tau) \rightarrow \eta_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} = -m^2$

$$[\hat{x}^\mu, \hat{p}_\nu] = i\hbar \delta^\mu_\nu$$

SINGLE PARTICLE STATES  $|p_\mu; m\rangle$

$$\hat{p}_\mu |p_\mu; m\rangle = p_\mu |p_\mu; m\rangle$$

$$(\eta^{\mu\nu} \hat{p}_\mu \hat{p}_\nu + m^2) |p_\mu; m\rangle = 0$$

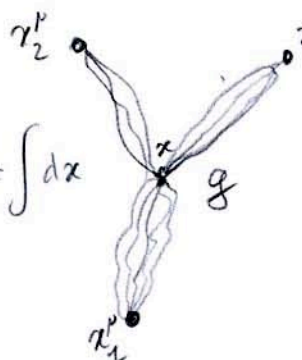
in covariant formalism:  
constraint imposed on states


'Second quantization' i.e. multiparticle states

not only   $|p\rangle$  single particle Hilbert space

but   $|p_1, p_2\rangle$  multiparticle Hilbert space

Interactions : line splitting

$\int_{\text{path}} = \int dx$    $\rightarrow A(x_2, x_3; x_1) = g \int d^D x G_F(x-x_1) G_F(x-x_2) G_F(x-x_3)$

$=$  

Equivalent to quantized scalar field  $\hat{\phi}(x)$

$$S[\hat{\phi}] = \int d^D x \left[ -\frac{1}{2} \eta^{\mu\nu} \partial_\mu \hat{\phi} \partial_\nu \hat{\phi} - \frac{1}{2} m^2 \hat{\phi}^2 - \frac{g}{3!} \hat{\phi}^3 \right]$$

PROPAGATOR  $\sim \frac{1}{p^2 + m^2}$

INTERACTION: CUBIC VERTEX

(eg. analytically continued to complex, on-shell  $p$ 's)

Then:  $\langle \text{out } p_2, p_3 | p_1 \rangle_{\text{in}} = \int d^D x_1 d^D x_2 d^D x_3 e^{i(p_1 \cdot x_1 + p_2 \cdot x_2 + p_3 \cdot x_3)} (\square_1 + m^2)(\square_2 + m^2)(\square_3 + m^2)$

$A(x_2, x_3; x_1) = g \delta^D(p_1 + p_2 + p_3)$

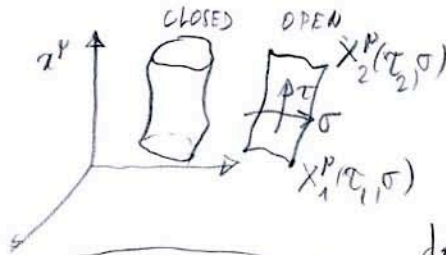


6.2

First quantized relativistic string

AGR 6.3

Nambu-Goto action



STRING TENSION

$$(\sigma^a) = \begin{pmatrix} \tau \\ \sigma \end{pmatrix}$$

$$S_0[X^mu(\tau, \sigma)] = -T \iint dA$$

$$dA = \sqrt{-\det \gamma_{ab}^{\text{induced}}} d\tau d\sigma$$

induced metric  
 $ds^2 = \gamma_{ab} d\sigma^a d\sigma^b = (dX^\mu)^2$

$$= \sqrt{(\eta_{\mu\nu} \dot{X}^\mu \dot{X}^\nu)^2 - (\eta_{\mu\nu} \dot{X}^\mu X'^\nu)(\eta_{\mu\nu} X'^\mu \dot{X}^\nu)}$$

2-d inner metric  $ds^2 = h_{ab} d\sigma^a d\sigma^b$

(Polyakov) action



$$S[X^mu(\sigma^a), \sqrt{-h} h^{ab}(\sigma^a)] = -\frac{T}{2} \iint d\tau d\sigma \sqrt{-h} h^{ab} \partial_a X^\mu \partial_b X^\nu \eta_{\mu\nu}$$

conformal structure

analog of

$$-\frac{1}{2} \int d\tau \frac{1}{e(\tau)} \frac{dX^\mu dX^\nu}{d\tau d\tau} \eta_{\mu\nu}$$

inner metric

$$m^2 = 0$$

$\hbar = c = 1$ :

String tension  $T \equiv \frac{1}{2\pi\alpha'} \equiv \frac{1}{2\pi l_s^2} \equiv \frac{m_s^2}{2\pi}$

Regge slope  $\alpha' \equiv l_s^2 \equiv \frac{1}{m_s^2}$

string length scale

string mass scale

Eqs of motion:

in gauge  $\sqrt{-h} h^{ab} = \eta^{ab}$

$$0 = \eta^{ab} \partial_b X^\mu = -\partial_\tau^2 X^\mu + \partial_\sigma^2 X^\mu$$

PARTICLE ANALOG

$$\partial_\tau^2 X^\mu = 0$$

in gauge  $e(\tau) = 1$

CONSTRAINTS

$$\frac{\delta}{\delta h^{ab}} = 0 \rightarrow$$

$$0 = \partial_a X^\mu \partial_b X_\mu - \frac{1}{2} \eta_{ab} \eta^{cd} \partial_c X^\mu \partial_d X_\mu$$

$$\partial_\tau X^\mu \partial_\sigma X_\mu = -m^2$$

Heisenberg:  $X^\mu(\tau, \sigma), P_\mu(\tau, \sigma) = \frac{\partial \mathcal{L}}{\partial \dot{X}^\mu} = +T \eta_{\mu\nu} \dot{X}^\nu$  in gauge  $\Gamma h^{ab} = \eta^{ab}$

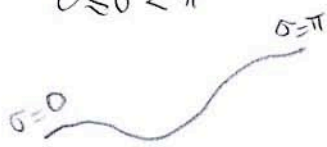
$$[\hat{X}^\mu(\tau, \sigma_1), \hat{P}_\nu(\tau, \sigma_2)] = i\hbar \delta_\nu^\mu \delta(\sigma_1 - \sigma_2)$$

EOS OF MOTION:  $(\partial_\tau^2 - \partial_\sigma^2) \hat{X}^\mu(\tau, \sigma) = 0 \Rightarrow \hat{X}^\mu(\tau, \sigma) = \hat{X}_L^\mu(\tau, \sigma) + \hat{X}_R^\mu(\tau, \sigma)$

CONSTRAINTS  $(\partial_\tau \hat{X}_L^\mu)^2 \approx 0 \approx (\partial_\tau \hat{X}_R^\mu)^2$

OPEN STRING WITH FREE ENDS  
 $\partial_\sigma X^\mu|_{\text{ends}} = 0$

$$0 \leq \sigma < \pi$$



$$\hat{X}^\mu(\tau, \sigma) = \hat{x}^\mu + 2\ell_s^2 \hat{p}^\mu \tau + i\sqrt{2}\ell_s \sum_{m \neq 0} \frac{\hat{\alpha}_m^\mu}{m} e^{-im\tau} \cos m\sigma$$

Center of Mass

$$[\hat{x}^\mu, \hat{p}^\nu] = i\hbar \eta^{\mu\nu}$$

Oscillators

$$[\hat{\alpha}_m^\mu, \hat{\alpha}_n^\nu] = m \delta_{m+n}^0 \frac{1}{\hbar} \eta^{\mu\nu}$$

Usual harmonic oscillators

$$m > 0 \quad a_m^\mu = \frac{\alpha_m^\mu}{\sqrt{m}}; (a_m^\mu)^\dagger = \frac{\alpha_{-m}^\mu}{\sqrt{m}} \quad [a_m^\mu, a_n^\nu] = \eta_{\mu\nu} \delta_{m+n}$$

SPATIAL  $i$

OPEN STRING WITH FIXED SPATIAL ENDS  
 $\partial_\tau X^i|_{\text{ends}} = 0$

$$\partial_\tau X^i|_{\text{ends}} = 0$$



$$\hat{X}^i(\tau, \sigma) = x_1^i + \frac{(x_2^i - x_1^i)\sigma}{\pi} + \sqrt{2}\ell_s \sum_{n \neq 0} \frac{\hat{\alpha}_n^i}{n} e^{-in\tau} \sin n\sigma$$

no momentum

CLOSED STRING

$$0 \leq \sigma < 2\pi$$

$$\hat{X}^\mu(\tau, \sigma) = \hat{x}^\mu + \ell_s^2 \hat{p}^\mu \tau + \frac{i\ell_s}{\sqrt{2}} \sum_{n \neq 0} \frac{e^{-in\tau}}{n} (\hat{\alpha}_n^\mu e^{in\sigma} + \tilde{\alpha}_n^\mu e^{-in\sigma})$$

Center of Mass

$$[\hat{x}^\mu, \hat{p}^\nu] = i\hbar \eta^{\mu\nu}$$

LEFT-MOVING + RIGHT-MOVING OSCILLATORS

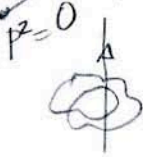
$$[\alpha_m^\mu, \alpha_n^\nu] = m \delta_{m+n}^0 \frac{1}{\hbar} \eta^{\mu\nu} \dots$$

# 16.3 String spectrum

$a_m^\mu |0\rangle = 0 = \tilde{a}_m^\mu |0\rangle$  AGR 6.5

FREE SINGLE STRING STATES: OSCILLATOR GROUND STATE

classically



+ TOWER OF EXCITED STATES

$|0; p\rangle$

center of mass p

$a_1^\dagger a_1^\dagger a_2^\dagger \dots \tilde{a}_4^\dagger |0, p\rangle$

CONSTRAINTS

$(\dot{X}_L^\mu)^2 |z\rangle = 0 = (\dot{X}_R^\mu)^2 |z\rangle$

a la Gupta-Bleuler positive freq. part

$L_m |z\rangle = 0 = \tilde{L}_m |z\rangle$

$L_m = \frac{1}{2} \sum_n \eta_{\mu\nu} \alpha_m^\mu \alpha_{m-n}^\nu$  ( $\alpha_0^\mu \propto p^\mu$ )  
+ ordering constant for  $m=0$

$L_0 \sim p^2 + \sum \alpha^\dagger \alpha$  oscillators

MASS-SHELL CONDITION:

$\hat{N}_m \equiv (\hat{a}_m^\mu)^\dagger \hat{a}_m^\nu \eta_{\mu\nu}$

OCCUPATION NUMBER 'ata' of n<sup>th</sup> harmonic  $\sim e^{im\sigma}$

Open string free ends:

$M^2 = m_s^2 \left[ \sum_{n=1}^{\infty} n \hat{N}_n - \frac{1}{4} \right]$

QUANTUM ZERO-POINT ENERGY OF GROUND-STATE

Open string fixed ends:

$M^2 = T^2 (\alpha_2^i - \alpha_1^i)^2 + m_s^2 \left[ \sum_n \hat{N}_n - 1 \right]$

(D-2) times  $\sum_{n=1}^{\infty} \frac{1}{2} \times n = \frac{1}{2} \zeta(-1) = -\frac{1}{24}$

Closed string

$M^2 = 4 m_s^2 \left[ -1 + \frac{1}{2} \sum_1^{\infty} n N_n + \frac{1}{2} \sum_1^{\infty} n \tilde{N}_n \right]$

EQUAL



OPEN STRING (FREE ENDS)

GROUND STATE  $|0; p\rangle$ ; constraints  $\Rightarrow p^2 = -M^2 = +m_s^2$

SCALAR TACHYON



FIRST EXCITED STATES  $\sum_p (a_p^\mu)^\dagger |0; p\rangle$  constraints  $\nearrow p^2 = 0$

MASSLESS VECTOR

(PHOTON)

+ equivalence class  $\zeta_p' = \zeta_p + \alpha p_p$

$\searrow \sum_p p^\mu = 0$

CLOSED STRING

GROUND STATE  $|0, \tilde{0}; p\rangle$ ; constraints  $p^2 = -M^2 = +4m_s^2$

SCALAR TACHYON

FIRST EXCITED STATES  $\sum_{\mu\nu} (a_p^\mu)^\dagger (\tilde{a}_p^\nu)^\dagger |0, \tilde{0}; p\rangle$

constraints  $\rightarrow p^2 = 0$

$\searrow p^\mu \zeta_{\mu\nu} = 0 = \zeta_{\mu\nu} p^\nu$

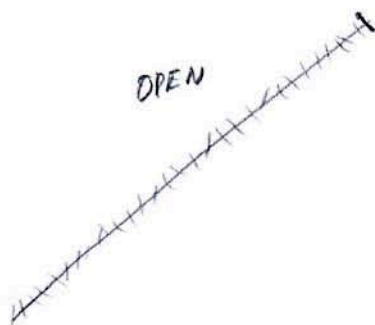
+ equivalence class  $\zeta_{\mu\nu}' = \zeta_{\mu\nu} + a_\mu p_\nu + p_\mu b_\nu$

MASSLESS

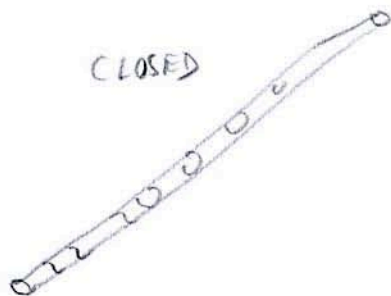
$\sum_{\mu\nu}^T \leftrightarrow h(\mu\nu) : \text{EINSTEIN}$

$\sum_{\mu\nu}^T \leftrightarrow B_{\mu\nu} : \text{B-FIELD (Kalb-Ramond)}$

$\sum_{\mu\nu}^{TS} \leftrightarrow \phi : \text{DILATON}$



$\leftrightarrow$  PHOTON



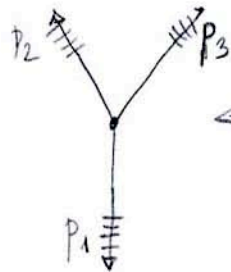
$\leftrightarrow$  GRAVITON  
+ B-ON  
+ DILATON

6.4

STRING INTERACTIONS

AGR 6.7

Particle

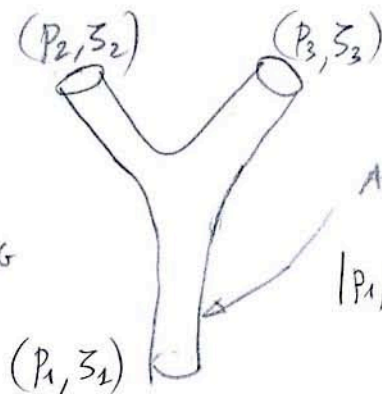


PARTICLE TRANSITION AMPLITUDE

$$A(p_1, p_2, p_3) = g \delta(p_1 + p_2 + p_3)$$

in FOURIER SPACE

String



ASYMPTOTIC STRING STATE

SPLITTING

$$|p_1, z_1\rangle \equiv \sum_{\mu\nu}^1 (a_{-1}^{\mu})^{\dagger} (a_1^{\nu})^{\dagger} |0, \tilde{0}; p_1^{\mu}\rangle$$

STRING TRANSITION AMPLITUDE

$$A(p_1, z_1, p_2, z_2, p_3, z_3) \propto g_s \langle \hat{V}(p_1, z_1) \hat{V}(p_2, z_2) \hat{V}(p_3, z_3) \rangle$$

'Vertex' operator creating  $|p_1, z_1\rangle$  state

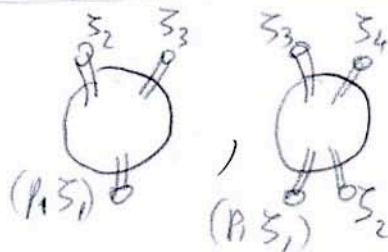
eg.

$$\hat{V}(p_1, z_1) = \int d^2\sigma : e^{i p_1 \cdot X(\sigma)} \sum_{\mu\nu}^1 \dot{X}_{L(\sigma)}^{\mu} \dot{X}_{R(\sigma)}^{\nu} :$$

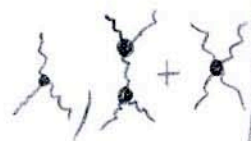
Remarkable result.

When  $p_1, p_2, p_3 \ll m_s$

STRING GRAVITON SCATTERING AMPLITUDES




ARE EQUIVALENT TO GR TREE AMPLITUDES



$$\mathcal{L}_{GR} = (\partial h)^2 + h \partial h \partial h + h^2 \partial h \partial h + \dots$$



Low-energy + tree-level  $(E_i \ll m_s)$  with graviton asymptotic states  $\leftrightarrow$  All-order expansion of  $\mathcal{L}_{GR} = \sqrt{g} R(g) = (\partial h)^2 + h(\partial h)^2 + \dots$



String theory (CONTAINS) CLASSICAL GR in the same way that

QUANTUM SPLITTING PARTICLE:  $1 + g \text{ (tree)} + g^2 \text{ (loop)} + \dots \leftrightarrow$  TREE AMPLITUDES FROM  $-\frac{1}{2}(\partial \hat{\phi})^2 - \frac{m^2}{2} \hat{\phi}^2 - \frac{g}{3!} \hat{\phi}^3$

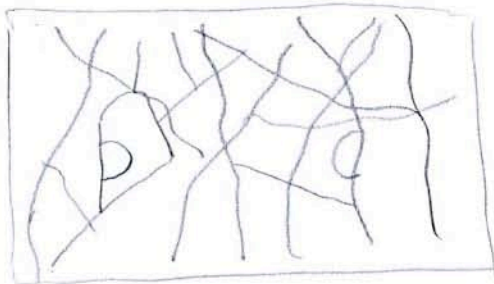
$\downarrow$  'CONTAINS' CLASSICAL  $-\frac{1}{2}(\partial \phi)^2 - \frac{m^2}{2} \phi^2 - \frac{g}{3!} \phi^3$

DIFFERENT STATES IN SAME THEORY:

few particle states:  $a_{p_1}^+ a_{p_2}^+ |0\rangle$

quasi-classical 'coherent states'

$$\hat{\Phi}(x) = \underbrace{\phi_{\text{class}}(x)}_{\text{large classical background}} + \underbrace{\hat{\phi}(x)}_{\text{small quantum fluctuations}}$$



Basic problem of string theory:

$\nexists$  NO ANALOG OF  $(\partial \hat{\phi})^2 + \hat{\phi}^2 + \hat{\phi}^3$

describing the possibility of having many-string arbitrary states (including quasi-classical objects, or backgrounds + quantum excitations)

6.5 A GLIMPSE AT THE RICHNESS OF STRING THEORY

LOOP AMPLITUDES

particle

$$\int d^D k [G_2(k) \times G_3(p_1+k) \times G_1(k-p_3)]$$



Consistency of string theory  
(eg. unitarity at 1-loop)

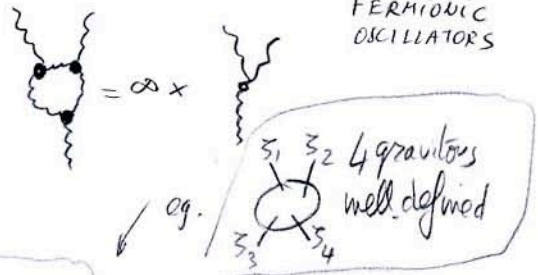
$\Rightarrow$   $D = \text{FIXED}$

$D=26$  FOR BOSONIC STRING  
 $D=10$  FOR SUPERSTRING

$$S \sim \int \partial_a X^\mu \partial_a X_\mu + i \sum_a \bar{\psi}^\mu \gamma_a^\mu \psi^\mu$$

FERMIONIC OSCILLATORS

Contrary to quantum perturbative GR  
quantum perturbative string theory  
is finite



basically thanks to  $\alpha' = l_s^2$  FUNDAMENTAL LENGTH  $\rightarrow$  UV REGULATOR

NO DIMENSIONLESS arbitrary coupling constants

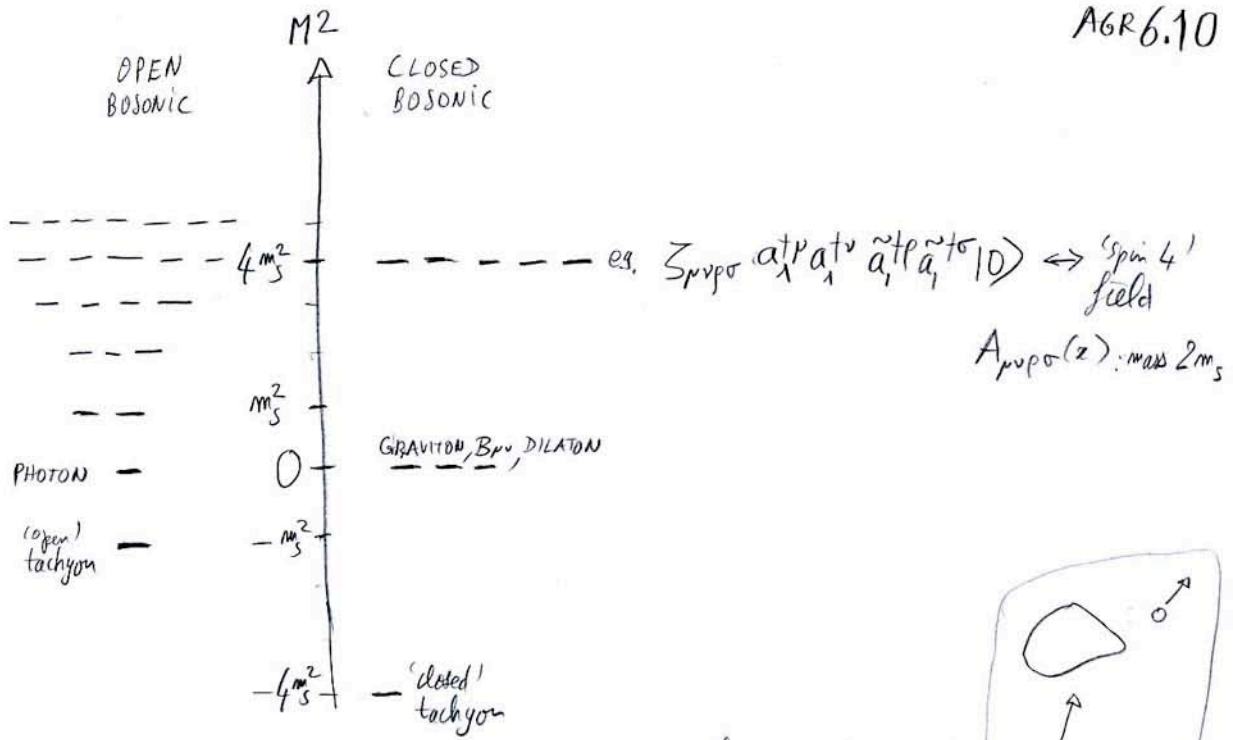
Notably DILATON FIELD

$$g_s = e^{\Phi_0}$$

(DILATON VEV)

$$\Phi(x) = \Phi_0 + \hat{\phi}(x)$$

$$G_D \sim g_s^2 l_s^{D-2}$$



Effective Action massless backgrounds

$$S = \int \frac{d^D x}{16\pi G_N} \sqrt{G} e^{-2\Phi} \left[ R(G) - \frac{1}{12} H_{\mu\nu\lambda} H^{\mu\nu\lambda} + 4 \partial_\mu \Phi \partial^\mu \Phi - \frac{1}{2} (\partial T)^2 + (2m_s)^2 T^2 + \dots \right]$$

tachyon instability

$$- \left( \nabla_\lambda A_{\mu\nu\rho\sigma} \right)^2 - (2m_s)^2 A_{\mu\nu\rho\sigma}^2 + \dots$$

small strings

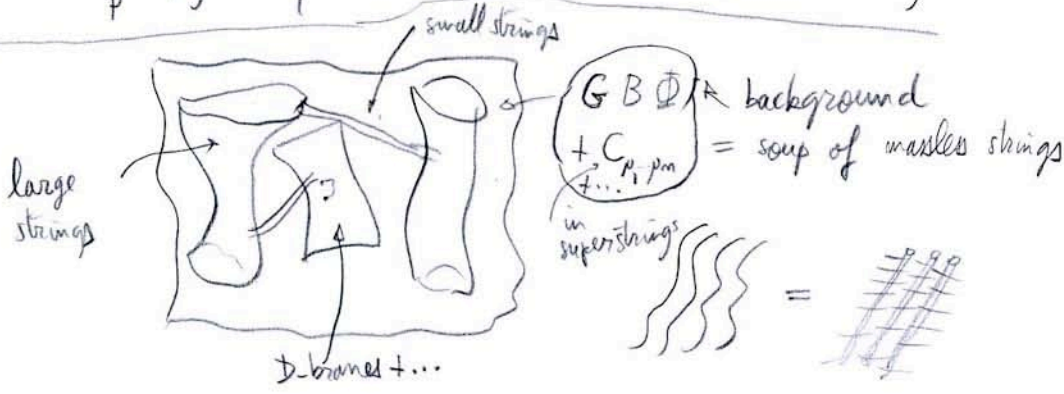
$$\sum_m - \left( \partial \phi_m \right)^2 - (2m m_s)^2 \phi_m^2 \left. \vphantom{\sum_m} \right\} \begin{array}{l} \rightarrow \alpha' [R_+^2] \\ \text{at large} \\ \text{distances} \end{array}$$

$$- \sum_p \tau_p \int d^{2\sigma} \partial_a X^\mu \partial_b X^\nu \left[ \sqrt{h} \eta^{ab} G_{\mu\nu}(X(\sigma)) + \epsilon^{ab} B_{\mu\nu}(X(\sigma)) \right]$$

large strings

$$- \sum_p \tau_p \int d^{p+1} \sigma \sqrt{-\det(G_{ab} + B_{ab} + 2\pi\alpha' F_{ab}) + \mathcal{O}(X, X)^2}$$

D-branes





SUPERSTRING THEORIES

$$S_{\text{string}} = -\frac{1}{2} \pi \alpha' \int d\tau \left[ \underbrace{\eta_{ab} \dot{X}^a \dot{X}^b}_{\text{BOSONIC OSCILLATORS}} + i \underbrace{\bar{\psi}^\mu \dot{X}^\mu}_{\text{FERMIONIC OSCILLATORS}} \right]$$

$$X^\mu = x^\mu + p^\mu \tau + \sum_m \frac{\alpha_m^\mu}{m} e^{-im(\tau-\sigma)} + \tilde{\alpha}_m^\mu e^{-im(\tau+\sigma)} \quad \text{eg } \psi_\mu = \begin{pmatrix} \sum_m d_m^\mu e^{-im(\tau-\sigma)} \\ \sum_m \tilde{d}_m^\mu e^{-im(\tau+\sigma)} \end{pmatrix}$$

After (GSO) projection:

NO TACHYON ; critical dimension  $D_c = 10$  ;  $\exists$  SPACETIME FERMIONS

OPEN + CLOSED type I  $S_{\text{massless}} = \int d^4x \sqrt{-g} \left[ e^{-2\Phi} (R + 4(\partial\Phi)^2) - \frac{1}{2} (\partial_\mu C_{\nu\lambda})^2 + e^{-\frac{\Phi}{2}} \frac{1}{4} F_{\mu\nu}^2 \right]$

$G_{\mu\nu}, \Phi; C_{\mu\nu}, A_\mu + \text{susy partners}$  SO(32) YM

CLOSED IIA  $e^{-2\Phi} \left[ R + 4(\partial\Phi)^2 - \frac{1}{2} (\partial_\mu B_{\nu\lambda})^2 \right] + (\partial_\lambda C_\mu)^2 + (\partial_\lambda C_{\mu_1\mu_2\mu_3})^2$

$G_{\mu\nu}, \Phi, B_{\mu\nu}; C_\mu, C_{\mu_1\mu_2\mu_3}$

CLOSED IIB  $e^{-2\Phi} \left[ R + 4(\partial\Phi)^2 - \frac{1}{2} (\partial_\mu B_{\nu\lambda})^2 \right] + (\partial_\lambda C)^2 + (\partial_\lambda C_{\mu\nu})^2 + (\partial_\lambda C_{\mu_1\mu_2\mu_3})^2$

$G_{\mu\nu}, \Phi, B_{\mu\nu}; C, C_{\mu\nu}, C_{\mu_1\mu_2\mu_3\mu_4}$

HETEROTIC  $e^{-2\Phi} \left[ R + 4(\partial\Phi)^2 - \frac{1}{2} (\partial_\mu B_{\nu\lambda})^2 + \text{Tr} (F_{\mu\nu}^A)^2 \right]$

$G_{\mu\nu}, \Phi, B_{\mu\nu}; A_\mu^A$  SO(32) or  $E_8 \times E_8$

RR FIELDS :  $\left. \begin{array}{l} \text{IIA } C_\mu, C_{\mu_1\mu_2\mu_3} \\ \text{IIB } C, C_{\mu\nu}, C_{\mu_1\mu_2\mu_3} \end{array} \right\} \begin{array}{l} \text{GENERALIZATIONS OF} \\ \text{MAXWELL: } A_\mu + \partial_\mu \Lambda \\ \leftrightarrow \int A_\mu dx^\mu \end{array}$

do not couple to fundamental string,

couple to D-branes eg  $\text{IIA}$  D-particle  $\int C_\mu dx^\mu$ ;  $\text{D-2}$   $\int C_{\mu\nu\lambda} dx^\mu dx^\nu dx^\lambda$  membrane

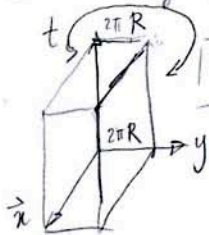
$\text{IIB}$  D-instanton, D-string, D-3 brane

# 6.6 DUALITY SYMMETRIES

AGR 6.12

## COMPACTIFYING ON A SMALL RADIUS $R$ : T-DUALITY

Usual field theory : Kaluza-Klein mechanism



Field:  $\Phi(t, \vec{x}, y) = \sum_{m \in \mathbb{Z}} \Phi_m(t, \vec{x}) e^{im \frac{y}{R}}$

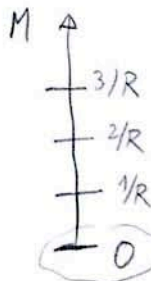
$$0 = (-\square_{xy} + m_0^2)\Phi = \sum_m (-\square_x - \frac{\partial^2}{\partial y^2} + m_0^2)\Phi_m = \sum_m (-\square_x + \frac{n^2}{R^2} + m_0^2)\Phi_m$$

MASS SPECTRUM seen

from uncompactified dim.  $t, \vec{x}$  :  $\Phi_m$  :  $M_m^2 = \frac{n^2}{R^2} + m_0^2$  in  $D-1$

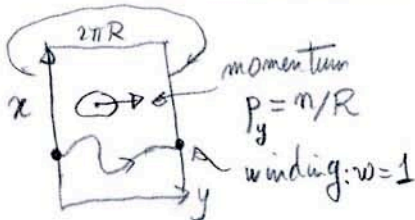
masses in  $D \rightarrow M_m = \frac{|m|}{R}$  in  $D-1$

KK tower of states



As  $R \rightarrow 0$   
only massless field  
 $\Phi_0(t, \vec{x})$  can be excited

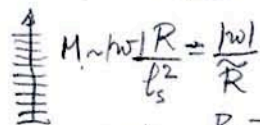
## New mechanism in string theory : CLOSED STRINGS WITH COMPACT $y$



$$M_{\text{string}}^2 = \frac{n^2}{R^2} + \frac{w^2 R^2}{\alpha'^2} + \frac{2}{\alpha'} (N + \tilde{N} - 2)$$

$\uparrow$  KK momentum       $\uparrow$  winding  $w \in \mathbb{Z}$        $\uparrow$  with  $D = n\tilde{w} + N - \tilde{N}$

As  $R \rightarrow 0$ , appears a new tower of light winding states



$\rightarrow$  equivalent to Kaluza-Klein tower  $\tilde{R} = \frac{\alpha'^2}{R}$  : 'DECOMPACTIFY' AS  $\frac{R \rightarrow 0}{\tilde{R} \rightarrow \infty}$ !

T-DUALITY

# Strong-coupling limit of IIA<sub>10</sub>

IIA: closed fundamental strings + RR fields  $C_p$   $C_{(p_1, p_2, p_3)}$   
 ↑  
 coupled to D-0 particles

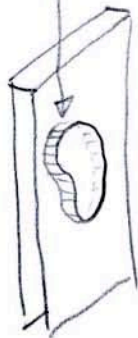
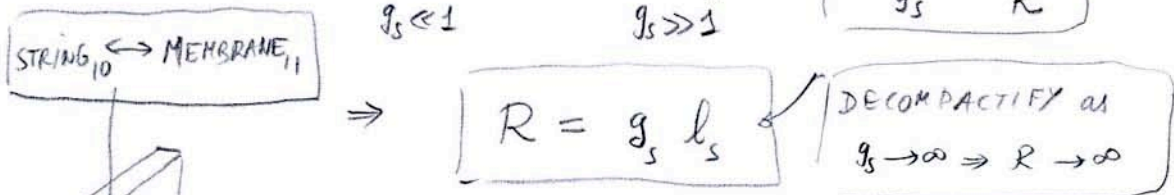
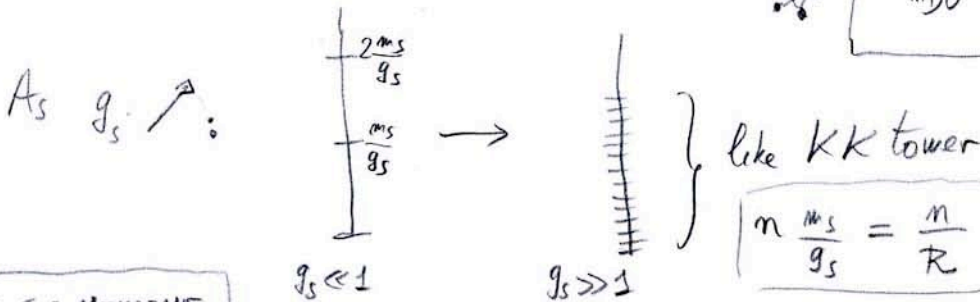
D-brane tension  $T_{D_p} = \frac{1}{(2\pi)^p} \frac{m_s^{p+1}}{g_s}$       D-particle mass  $m_{D0} = \frac{m_s}{g_s}$

String theory defined only as  $g_s \rightarrow 0$  :  $m_{D0} \rightarrow \infty$

but  $G_{10} m_{D0} \sim g_s^2 l_s^8 \frac{1}{g_s l_s} \propto g_s^{+1} \rightarrow 0$

Susy (BPS object)  $\rightarrow$   $m_{D0}(g_s) = \frac{m_s}{g_s}$  for any value of  $g_s$

+  $\exists$  (threshold) BOUND STATES OF  $n$  D0  $\rightarrow$   $m_{nD0} = n \frac{m_s}{g_s}$



IIA in  $D=10$   
 $g_s \ll 1$

$\xrightarrow{g_s \nearrow}$  ('M-theory')  
 in  $D=11$   
 $g_s \gg 1$

$m_{D0} = \frac{m_s}{g_s} \leftrightarrow P_{11} = \frac{1}{R}$

Can identify

$\lim_{g_s \rightarrow \infty} D-0 =$  'GRAVITONS'  
 + ...  
 in  $D=11$   
 SUPERGRAVITY  
 $R + (\frac{1}{R} A_{(p_1, p_2, p_3)})^2 + \dots$

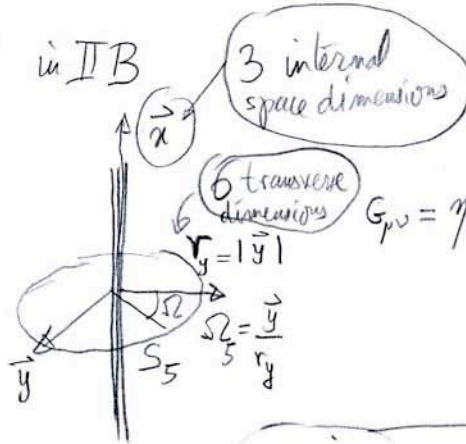


# AdS/CFT

$N$  D-3 branes in IIB

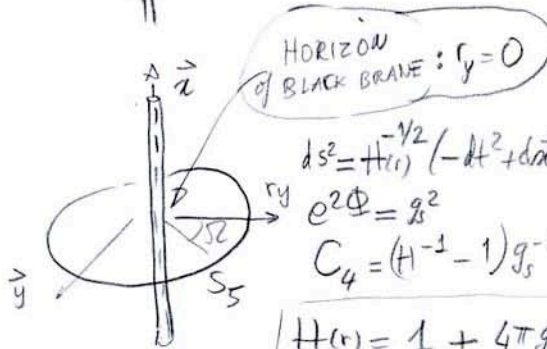
When  $g_s \ll 1$

$$G T_3 \sim g_s^2 \frac{N}{g_s} \sim g_s N \rightarrow 0$$



$$G_{\mu\nu} = \eta_{\mu\nu} + \mathcal{O}\left(\frac{g_s N l_s^4}{r^4}\right) \ll 1$$

When  $g_s N \gg 1$



$$ds^2 = H^{-1/2}(r) (-dt^2 + dx^2) + H^{1/2}(r) (dr_y^2 + r_y^2 d\Omega_5^2)$$

$$e^{2\Phi} = g_s^2$$

$$C_4 = (H^{-1} - 1) g_s^{-1} dx^0 dx^1 dx^2 dx^3$$

$$H(r) = 1 + \frac{4\pi g_s N l_s^4}{r^4} \equiv 1 + \frac{R^4}{r^4}$$

Near-horizon limit

$$r_y \rightarrow 0, H \sim \frac{R^4}{r_y^4} \left\{ \frac{r_y^2}{R^2} (-dt^2 + dx^2) + R^2 \frac{dr_y^2}{r_y^2} + R^2 d\Omega_5^2 \right\}$$

AdS<sub>5</sub>                      throat: S<sub>5</sub>

Klebanov scattering of long closed-string modes  $h_{\mu\nu}, \phi, B_{\mu\nu}$



Maldacena

Low-energy excitations

$N$  D3  $A_p^j(t, \vec{z}), X^I(t, \vec{z}),$  Fermions

$=$  SuperYM<sub>SU(N)</sub> in 3+1 dim

$g_{YM}^2 = 2\pi g_s$

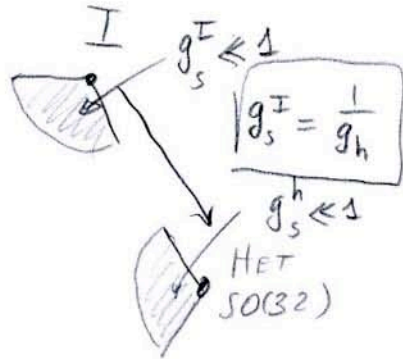
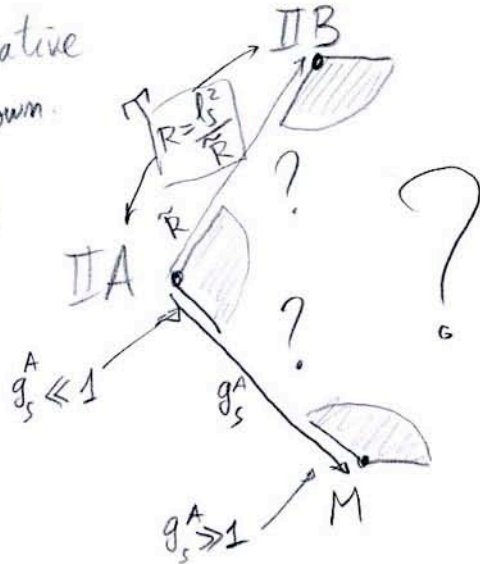
near-horizon 'THROAT'  $\equiv$  #B strings on  $ds^2 = AdS_5 \times S_5$

radius  $R = l_s (4\pi g_s N)^{1/4}$

# Web of dualities

only perturbative expansions known.

$$g_s^{\text{theory}} \rightarrow 0$$

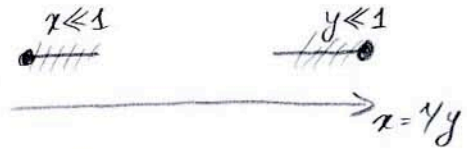


Like 'multi-chart approach' but WITHOUT OVERLAP in  $g_s$ -space

For some results

SUSY allows for

Analytic Continuation of some Perturbative Expansions



analogy :  $x \rightarrow 0$  Taylor expansion  $\text{Taylor}[f(x)] = x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4}$   
 $y \rightarrow 0$  Taylor  $\text{Taylor}[g(y)] = -\ln y + y - \frac{y^2}{2} + \frac{y^3}{3} - \dots$

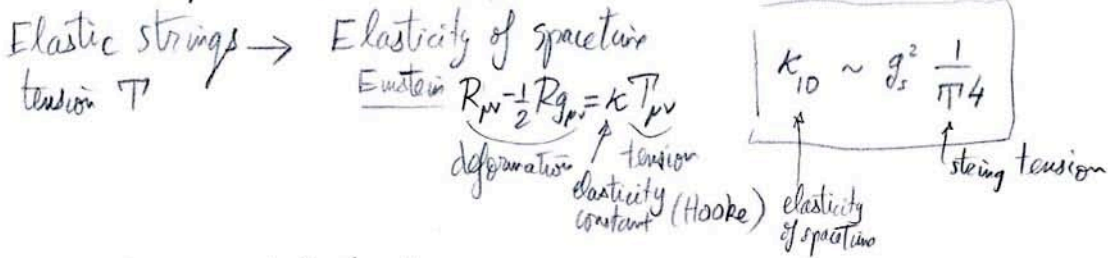
RESUMMATION :  $f(x) = \ln(1+x)$   
 $g(y) = \ln\left(\frac{1+y}{y}\right) = \ln\left(1 + \frac{1}{y}\right)$  with  $y = \frac{1}{x}$   
 $f(x) = g(y)$

∃ NO (USABLE) GLOBAL FORMULATION of the full string+brane theory

# 6.7 Some lessons from string theory

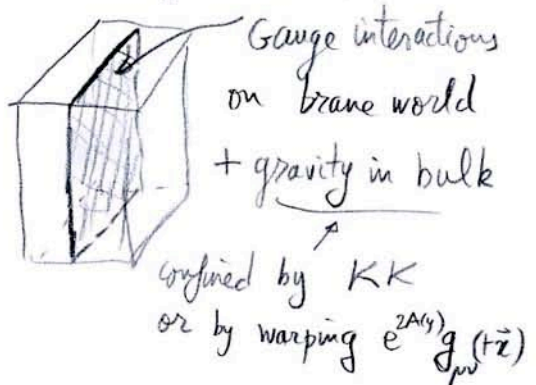
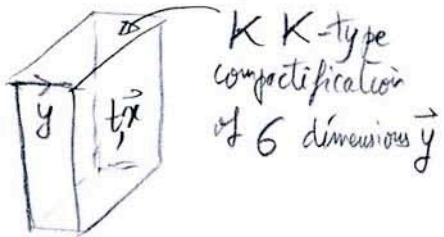
AGR 6.16

- Spacetime geometry  $\simeq$  soup of more fundamental objects:  
e.g. strings ( $m^2=0$ ) or  $YMA_\mu$ ? or Membranes?



- $\exists$  fundamental length in probing spacetime:  $l_s$  or  $l_{P_{3,1}} \sim g_s^{1/3} l_s$
- space dimensions can appear ( $g_s \rightarrow \infty$ ;  $N g_s \rightarrow \infty$ ), disappear, be regenerated ( $R \rightarrow 0$ )
- gravity may be an emergent phenomenon

- eg AdS/CFT:  $A_{\mu\nu}^{YM} + X^{\pm 6} + \mathcal{L}$  in  $\eta_{\mu\nu} D=4 \xrightarrow{g_{YM}^2 N \gg 1}$  DYNAMICAL GRAVITY  $G_{MN}$  in  $D=5+5$
- OPEN ( $A_\mu$ )  $\leftrightarrow$  CLOSED ( $G_{\mu\nu}$ ) duality
  - Suggest new possibilities for describing our 'D=4 world'



- $g_s = e^{\langle \Phi(x) \rangle}$  suggest

geometry  $\sim$  gravitation  $\sim$  gauge couplings  $\sim$  gravitational coupling

Less and Less absolute elements       $g_{\mu\nu}(x) \sim g(x) \sim G(x)$

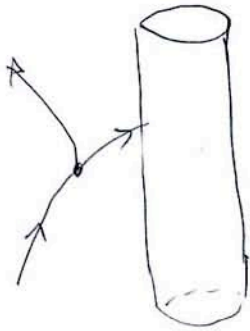
suggest possible violations of Equivalence Principle  $\frac{\Delta a}{a} \neq 0, \dot{\alpha} \neq 0$



# 6.8 Black Hole Entropy in GR

AGR 6.17

## Irreversibility in BH physics



Energetics of BH

Christodoulou-Ruffini (171) Mass Formula

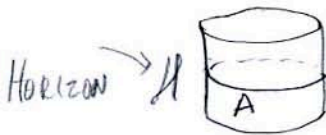
$$M^2 = \left( M_{\text{irr}} + \frac{Q^2}{4M_{\text{irr}}} \right)^2 + \frac{\vec{J}^2}{4M_{\text{irr}}^2}$$

non extractable energy  
irreducible mass

extractable ('free') energy

$$\delta M_{\text{irr}} \geq 0$$

Kerr black hole.

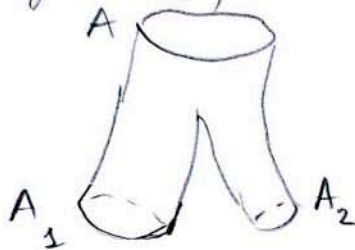


spatial section

Area

$$A = 4\pi(r_+^2 + a^2) = 16\pi M_{\text{irr}}^2$$

More generally, Hawking (172)  $\delta \left( \sum_a A_a \right) \geq 0$



# Analogy Black Holes $\leftrightarrow$ Thermodynamics AGR 6.18

Area  $A \uparrow$

Entropy  $S \uparrow$

suggests  
BH Entropy

$$S_{BH} \equiv \alpha A$$

BH surface gravity  
 $\ell^2 \nabla_\nu \ell^\nu = \kappa \ell^\nu \ell_\nu$

$$dM(\text{Mass}, J, Q) = \Omega dJ + V dQ + \frac{\kappa}{8\pi} dA$$

angular velocity      electric potential       $T dS$

$$\kappa_{\text{hor}} = \frac{\sqrt{M^2 - a^2 - Q^2}}{r_+^2 + a^2}$$

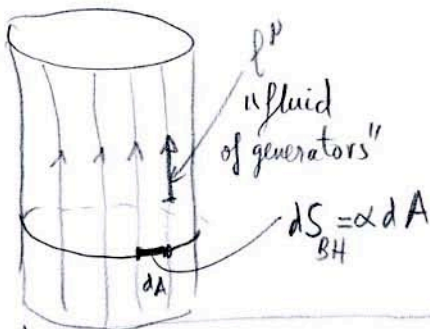
suggests

$$T_{BH} \equiv \left( \frac{1}{\alpha} \frac{\partial M}{\partial A} \right)_{J, Q} = \frac{\kappa}{8\pi \alpha}$$

- Equilibrium black holes: Theorem:  $\kappa = \text{uniform on Horizon}$   
 $\downarrow$   
 OK "0th law of thermodynamics"  $T_{BH}$  uniform

- Non-equilibrium version of 'second law of BH thermodynamics'

(Damour 178 '82)



$$\left[ \frac{d}{dt} (dS_{BH}) - \tau \frac{d^2}{dt^2} (dS_{BH}) \right] = \frac{dA}{T_{BH}} \left[ 2\eta \sigma_{AB} \sigma^{AB} + \zeta \theta^2 + \rho (\vec{J}_{BH} - \sigma_{BH} \vec{v})^2 \right]$$

local increase of BH entropy

BH shear viscosity  
 $\eta = \frac{1}{16\pi}$

shear

expansion

Joule effect  
BH surface resistivity  
 $\rho = 4\pi = 377 \text{ Ohm}$

AGR 6.19

# Bekenstein-Hawking entropy

Bekenstein ('72)

Limits to Reversible Transformations

$$\underbrace{\delta M - \delta S J - V \delta Q}_{\propto \delta M_{irr} \propto \delta A} = \frac{r_+^2 + a^2 \cos^2 \theta}{r_+^2 + a^2} |p^r|$$

Christodoulou-Ruffini: Reversibility reached when

$$p^r = 0 \text{ at } r = r_+ \text{ (HORIZON)}$$

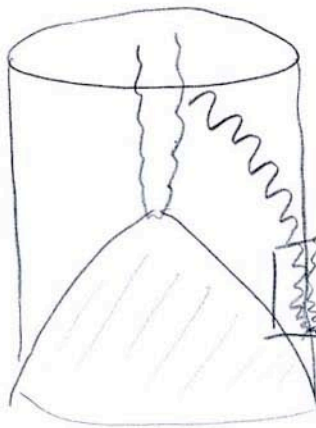
Heisenberg:  $[r, p^r] \sim i\hbar$

suggests quantum limit  $\delta A \geq O(\hbar)$  when absorbing one particle

loose ~ one bit of information

→ Bekenstein suggests  $S_{BH} \approx \frac{\ln 2}{8\pi} \frac{c^3}{\hbar G} A$

Hawking ('74)



evolves into positive energy mode at  $\infty$

negative energy mode

vacuum quantum fluctuations of field  $\phi$

Hawking: Particle Creation

$$\frac{d\langle N \rangle}{dt} = \sum_{l,m} \int \frac{d\omega}{2\pi} \frac{\Gamma_l(\omega)}{e^{\frac{\omega - m\Omega - eV}{T_{BH}}} - 1}$$

Planck distribution + Grey-body filter  $\Gamma_l(\omega)$

$$T_{BH} = \frac{\hbar}{c} \frac{k}{2\pi}$$

$$\Rightarrow \alpha = \frac{1}{4} \frac{c^3}{\hbar G}$$

$$S_{BH} = \frac{1}{4} \frac{c^3}{\hbar G} A \equiv \frac{1}{4} \frac{A}{l_P^2}$$



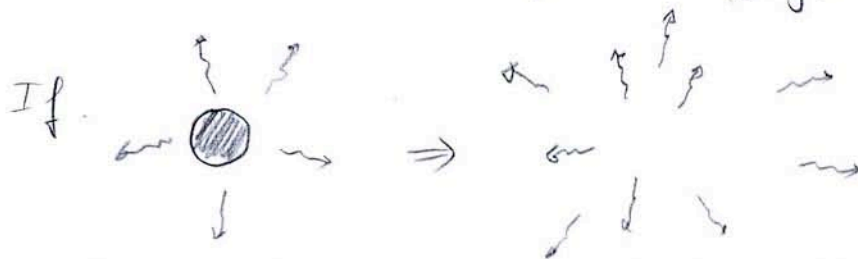
## Open issues

- Usually, Boltzmann:  $S = \ln(\# \text{ microscopic states})$   
with given macroscopic charact.  $E, Q, \text{Vol.}$   
? meaning of  $S_{BH}$ ?
- Prove generalized second law:  $\delta(S_{\text{ext}} + \frac{1}{4} \frac{A}{l_p^2}) \geq 0$ ?
- Final state of evaporation process?

$$\frac{dM}{dt} \sim -A T_{BH}^4 \sim -(M)^2 \left(\frac{1}{M}\right)^4 \sim -M^{-2}$$

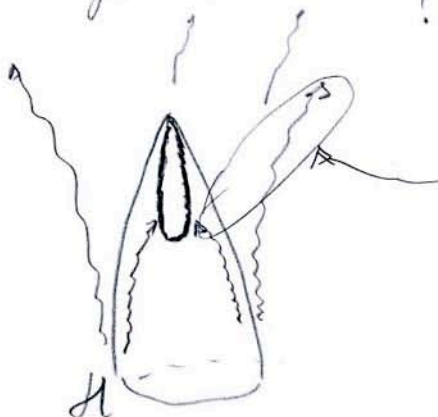
$$\Rightarrow M^3 \sim M_0^3 - (t - t_0)$$

$$\Rightarrow t_{\text{evaporation}} \sim t_P \left(\frac{M}{M_P}\right)^3 \sim 10^{-44} \text{ s} \left(\frac{M}{10^{-5} \text{ g}}\right)^3 \sim 10^{10} \text{ yr} \left(\frac{M}{10^{14} \text{ g}}\right)^3$$



? pure state  $\rightarrow$  thermal state? Violation of unitarity?

• "Information loss"? What happened to the correlations between particle-antiparticle in created pairs



# 6.9 BPS Extremal BH in String Theory

6.21

$$\text{Extremal BH} : \leftrightarrow \boxed{\kappa=0} \leftrightarrow \boxed{\mathbb{T}_{\text{BH}}=0}$$

no evaporation: stable objects

Papadimitriou-Majumdar

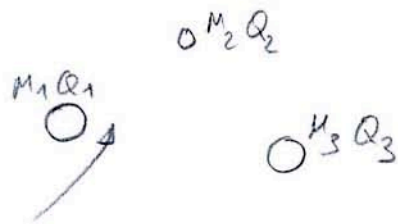
$N$  extreme RN BH's

$$GM^2 = Q^2$$

"NO FORCE" : STABLE N-BODY SOLUTION

$$GM_1 M_2 = Q_1 Q_2$$

true beyond Newtonian forces



Some of the extremal BH solution correspond to

'Bogomolnyi-Prasad-Sommerfield' (BPS) states  
i.e. quantum states that preserve a fraction of the  
(32) global supersymmetry generators present in D=10 vacuum

→ strong restriction on the mass of those states

Saturate a general inequality  $M \geq f(Q, g)$

$$\Rightarrow \boxed{M = f(Q, g_s) \quad \forall g_s}$$

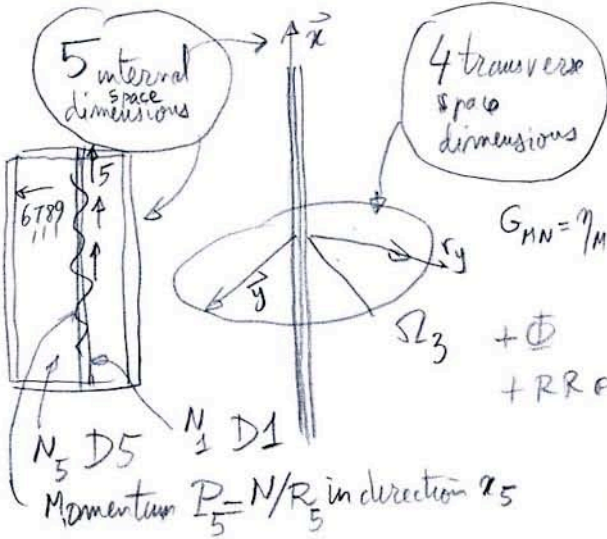
can consider analytic continuation in  $g_s$

An example: D1-D5 brane system IIB 6.22

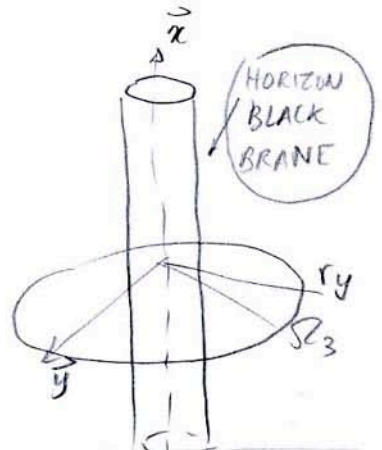
Strominger Vafa '96, Callan Maldacena '96

$N g_s \ll 1$

$N g_s \gg 1$

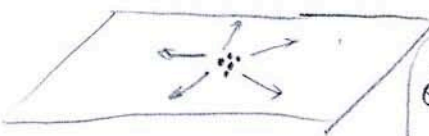


$G_{MN} = \eta_{MN} + O\left(\frac{Ng_s}{r_y^2}\right)$   
 $+ \Phi$   
 $+ RR \text{ FIELDS}$

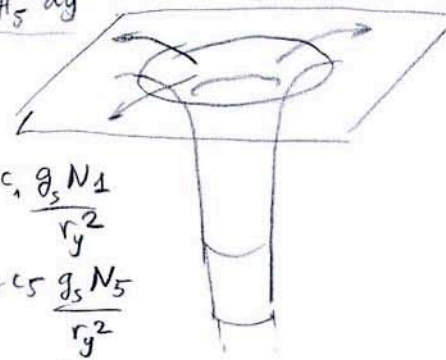


$N_5 D5$   $N_1 D1$   
 Momentum  $P_5 = N/R_5$  in direction  $x_5$

$ds_{string}^2 = H_1^{-1/2} H_5^{-1/2} [-dt^2 + dx_5^2 + H_m (dt + dx_5)^2]$   
 $+ H_1^{1/2} H_5^{1/2} dy^2 + H_1^{1/2} H_5^{-1/2} (dx_6^2 + dx_7^2 + dx_8^2 + dx_9^2)$



$e^{-2\Phi} = \frac{H_5}{H_1}$



$H_1 = 1 + c_1 \frac{g_s N_1}{r_y^2}$   
 $H_5 = 1 + c_5 \frac{g_s N_5}{r_y^2}$   
 $H_m = c_m \frac{g_s^2 N}{r_y^2}$

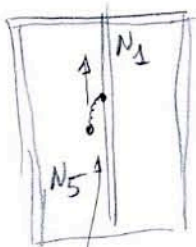
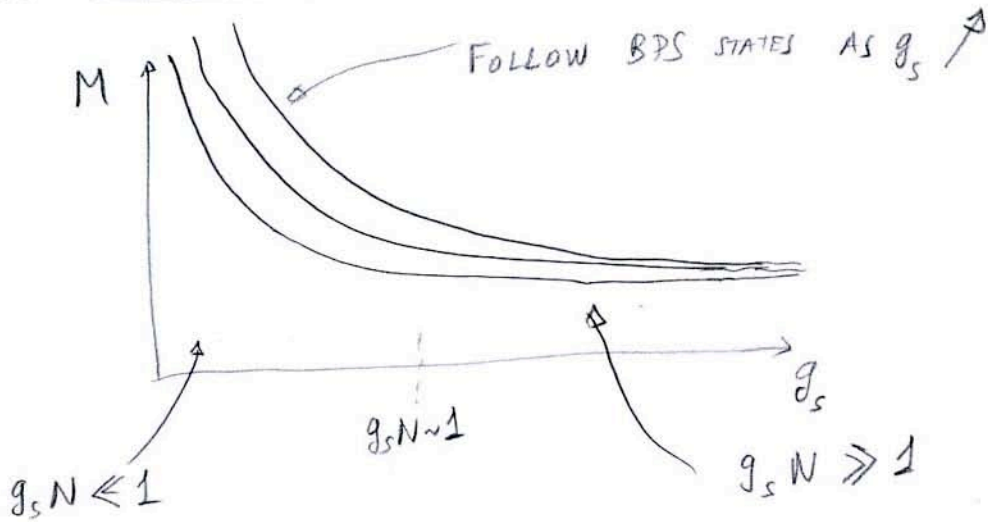
Mass of brane configuration

$M = \frac{N_1 R_5}{g_s} + \frac{N_5 R_5 V_4}{g_s} + \frac{N}{R_5}$

valid  $\forall g_s$  because BPS configuration



# Microscopic entropy of D-brane configuration 6.23



distribute total momentum  $P = \frac{N}{R_5}$

among  $4 N_1 N_5$  bosonic excitations (1-5 open strings)  
+  $4 N_1 N_5$  fermionic ones (5-1)

Counting how many ways  $\swarrow$  BOSONIC OSCILL.  $\swarrow$  FERMIONIC OSCILL.

$$N = \sum_{i=1}^{4N_1 N_5} \sum_m \{ m(a_m^i) + a_m^i + m(b_m^i) + b_m^i \}$$

**DEGENERACY**

$$D(N) \approx \exp \left[ 2\pi \sqrt{4N_1 N_5 \left(1 + \frac{1}{2}\right) \frac{N}{6}} \right]$$

$$\log D(N) \approx 2\pi \sqrt{N_1 N_5 N}$$

EXACT AGREEMENT

'Area' of black brane  
 $r_y \rightarrow 0$

3-sphere in  $\vec{y} \times 5-6-7-8-9$

Einstein-frame metric

$$ds_E^2 = e^{-\frac{\Phi}{2}} ds^2$$

$$= H_1^{-3/4} H_5^{-1/4} \left[ -dt^2 + dx_5^2 + H_m (dt + dx_5)^2 \right]$$

$$+ H_1^{1/4} H_5^{3/4} dy^2$$

$$+ H_1^{1/4} H_5^{-1/4} \left[ dx_6^2 + dx_7^2 + dx_8^2 + dx_9^2 \right]$$

'Area' of horizon

$$A = 2\pi^6 g_s^2 l_s^8 (N_1 N_5 N)^{1/2}$$

using

$$G = 8\pi^6 g_s^2 l_s^8$$

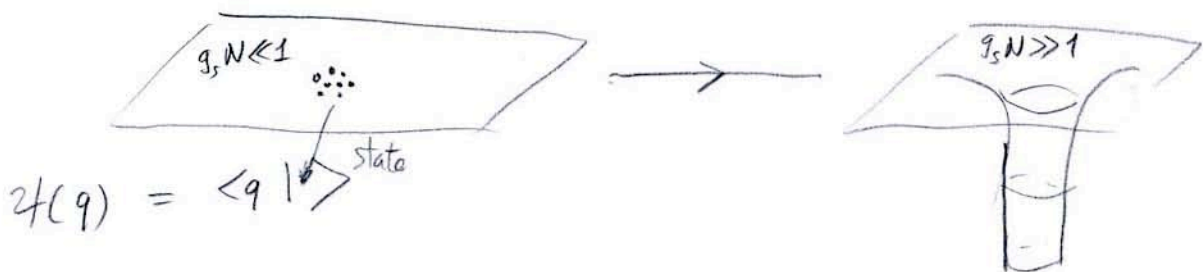
$$\frac{A}{4G} = 2\pi \sqrt{N_1 N_5 N}$$

6.24

This agreement is a deep confirmation of the ability of string theory to describe quantum gravitational effects: remember  $S_{BH} \propto \frac{1}{\hbar G}$

However:

- No understanding on how

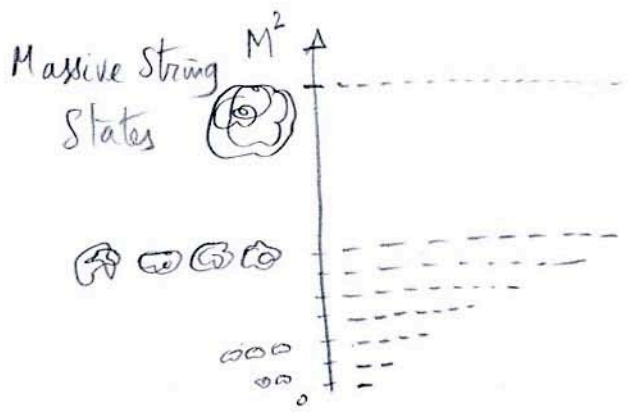


- No understanding of microscopic dof when  $\vec{J}$  in BH state

In addition,  $\exists$  many results for BPS or near BPS configurations, but much less understanding of states such as Schwarzschild BHs.

**6.10** Massive string states and Schwarzschild BHoles

(Susskind '93; Horowitz Polchinski '97 '98; Damour Veneziano '00)



?  
do they become  
black holes  
as  $M \uparrow$  ?  
for which mass  $M$  ?

Degeneracy of mass level  $N$

eg. Open bosonic string (in light-cone gauge:  $X^{\pm}, X^i$ )

$i = D-2$   
transverse  
dimensions

$\alpha' M^2 = N - 1$

$$N = \sum_{m=1}^{\infty} \sum_{i=1}^{D-2} n (a_m^i)^\dagger a_m^i$$

$[a_m^i, (a_n^j)^\dagger] = \delta_{mn} \delta_{ij}$

oscillators

eigenvalues of each  $a^\dagger a = 0, 1, 2, 3, \dots$

Counting problem: how many partitions

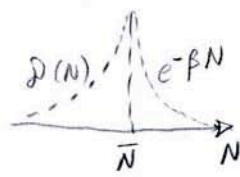
$$y_{D-2=1} : N = \underbrace{1+1}_{N_1} + \underbrace{2+2+2}_{N_2} + 3 + \dots + \underbrace{P+P+P+\dots+P}_{N_P} + \dots$$



Generating function ('partition function')

6.26

$$\begin{aligned}
 Z(\beta) &= \text{Tr} e^{-\beta \hat{N}} = \sum_N \underset{\substack{\uparrow \\ \text{degeneracy}}}{D(N)} e^{-\beta N} \\
 &= \prod_i \prod_m \text{Tr} e^{-\beta m a_i^\dagger a_i} = \prod_m \left( \text{Tr} e^{-\beta m a_m^\dagger a_m} \right)^{D-2} \\
 &= \prod_m \left( 1 + e^{-\beta m} + e^{-2\beta m} + e^{-3\beta m} + \dots \right)^{D-2} = \left( \prod_m \frac{1}{1 - e^{-\beta m}} \right)^{D-2}
 \end{aligned}$$

but  $Z(\beta) = \sum_N \frac{D(N) e^{-\beta N}}{N}$  

$$\bar{N} = \sum_N N D(N) e^{-\beta N} = -\frac{\partial}{\partial \beta} \ln Z(\beta)$$

Hence  $S(\bar{N}) - \beta \bar{N} \simeq \ln Z(\beta) = (D-2) \sum_m \ln \left[ (1 - e^{-\beta m})^{-1} \right]$

Continuous approximation:

$$\sum_m -\ln(1 - e^{-\beta m}) \simeq -\frac{1}{\beta} \int_0^\infty dx \ln(1 - e^{-x}) \quad / \quad x = \beta m = \frac{\pi^2}{6\beta}$$

$$\Rightarrow \boxed{S_{\text{string}}(N) \equiv \ln D(N) \simeq 2\pi \sqrt{\frac{D-2}{6} N}}$$

in terms of  $M$

$$\frac{M^2}{m_s^2} = N - 1$$

$$\boxed{S_{\text{string}}(M) \equiv \ln D(M) \simeq 2\pi \sqrt{\frac{D-2}{6} \frac{M}{m_s}}$$

linear in  $M$

i.e.  $\boxed{D(M) \sim e^{a_0 M}}$

6.27

Black Hole entropy in uncompactified space dimension  $d$   
↑  
spacetime  $d+1$

$$S_{BH}(M) \sim \frac{A}{G} \sim \frac{R_{BH}^{d-1}}{G}$$

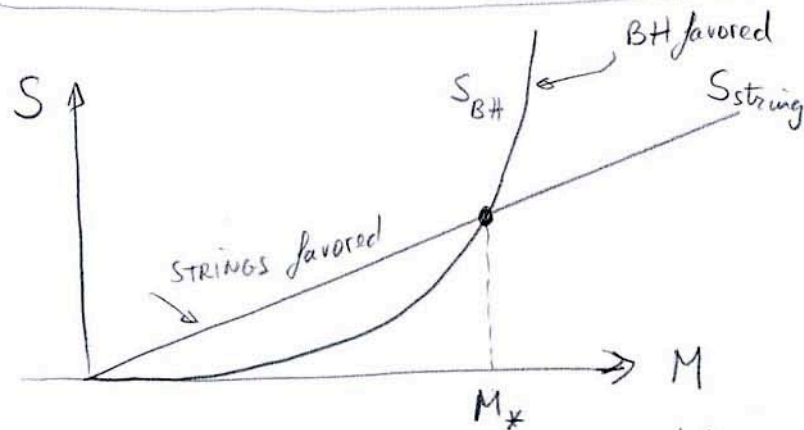
Radius of black hole:

$$-g_{00} = 1 - \frac{c}{r^{d-2}} \frac{GM}{r}$$

$$R_{BH} \sim (GM)^{\frac{1}{d-2}}$$

$$S_{BH}(M) \sim \frac{(GM)^{\frac{d-1}{d-2}}}{G_d} \sim GM^2 \text{ in } d=3$$

Hence



expect transition string  $\rightleftharpoons$  BH when  $\frac{(GM_*)^{\frac{d-1}{d-2}}}{G} \sim \frac{M}{m_s}$

i.e.

$$(GM_*)^{\frac{1}{d-2}} \sim l_s$$

or

$$R_{BH}^* \sim l_s$$

with  $G \sim g_s^2 l_s^{d-1}$

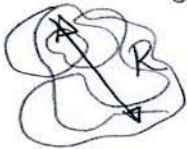
$$g_s^2 M_* \sim m_s$$

$M_*$  larger than  
Planck mass

$$M_P \sim \frac{1}{G^{d-1}} \sim \frac{m_s}{g_s^{\frac{2}{d-1}}}$$

# Self-gravity of string and a dynamical study of the transition string $\rightarrow$ black hole 6.28

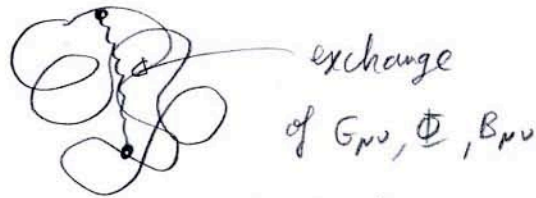
Consider typical size of string state:



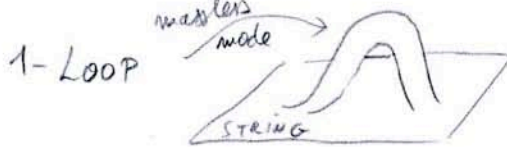
$$R^2 \equiv \frac{1}{d} \left\langle \left( \tilde{X}_{\perp \mu}^{\mu}(\tau, \sigma) \right)^2 \right\rangle = \frac{2}{d} l_s^2 \sum_{n=1}^{\infty} \frac{a_n^+ a_n^i}{n}$$

$i=1, \dots, d$

Effect of size on the mass of the string state



$$\int d\tau \delta M^2 = 64\pi G T^2 \iiint d\sigma_1 d\sigma_2 \frac{d^D k}{(2\pi)^D} e^{ik \cdot (X_1 - X_2)} (\partial_+ X_1^\mu \cdot \partial_+ X_2^\nu) \times (\partial_- X_1^\nu \cdot \partial_- X_2^\mu)$$



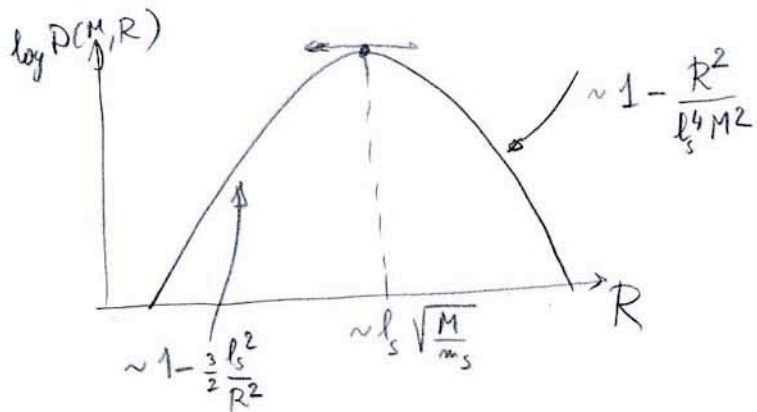
$$\Rightarrow M = M_0 - c_d \frac{GM^2}{R^{d-2}}$$

mass shift depends on size of state

'bare mass' when one adiabatically let  $g_s \rightarrow 0$

Distribution of free string states by size: modified counting by imposing constraint

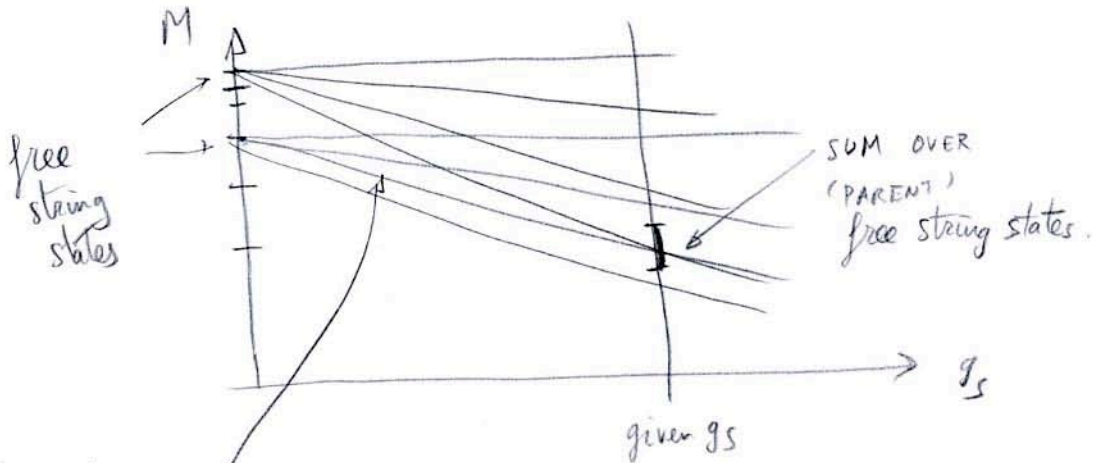
$R^2 = \text{given}$   
 $\Rightarrow Z(\beta, \gamma) = \text{Tr} e^{-\beta \hat{N} - \gamma \hat{R}^2}$





# Entropy of self-gravitating strings

6.29



self-gravity lifts free degeneracy

Degeneracy of self-gravitating string states

$$D(M, R) = e^{S(M, R)}$$

$$S(M, R) \approx a_0 M \left(1 - \frac{1}{R^2}\right) \left(1 - \frac{R^2}{M^2}\right) \left(1 + \frac{g_s^2 M}{R^{d-2}}\right)$$

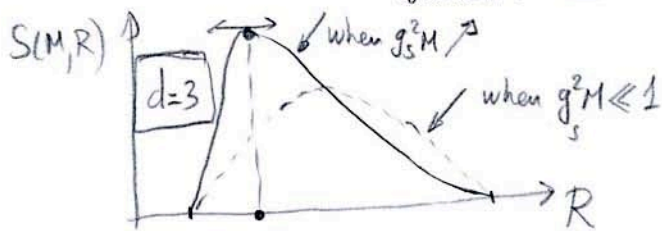
units  $l_s \sim 1$

usual string entropy

less states if R small because of constraint on size

more states if R small because  $M_0 > M$

Most probable size of self-gravitating string

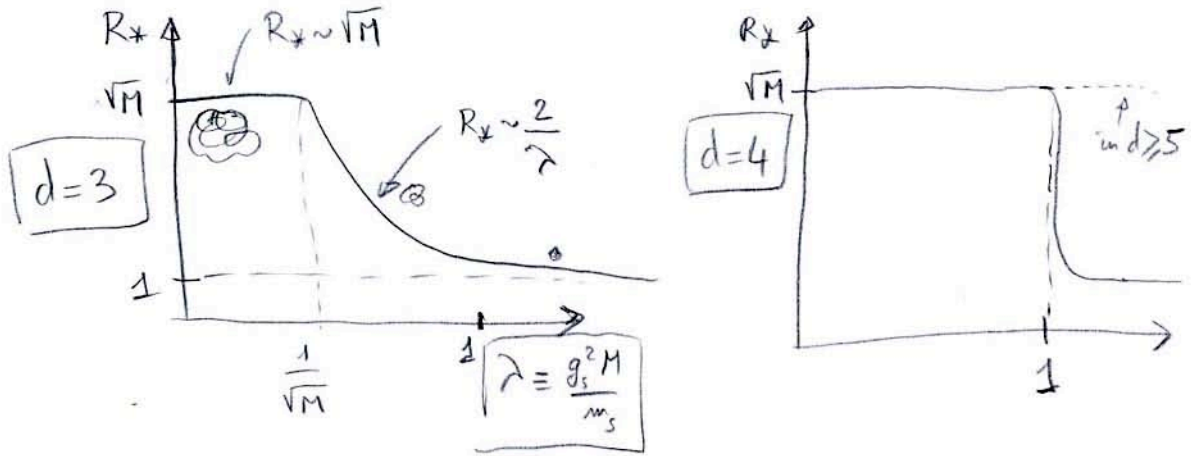


$R_*$  becomes  $<$  than  $l_s \sqrt{\frac{M}{m_s}}$  of free strings

random walk



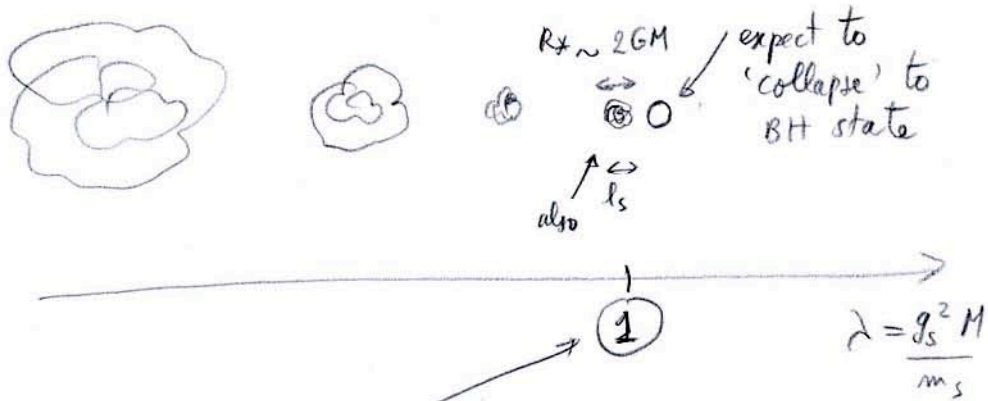
# Shrinking of string size with self-gravity 6.30



Importance of self-gravity : in  $d=3$  'compactness'  $= \frac{2GM}{R_s} \approx \frac{2\lambda}{\frac{2}{\lambda}} \sim \lambda^2$

when  $\lambda \rightarrow 1$  :  $R_s \sim 2GM \rightarrow$  expect string to become a BH

in other words



DYNAMICALLY PREDICTS THAT A STRING  $\rightarrow$  BLACK HOLE

WHEN

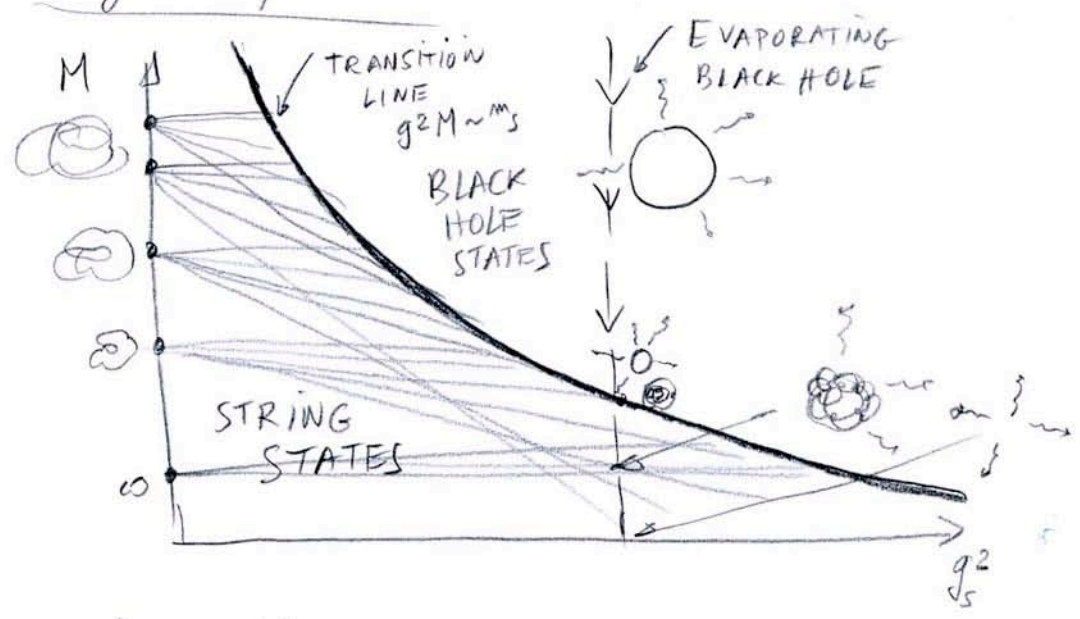
$$g_s^2 M = m_s$$

i.e. precisely when

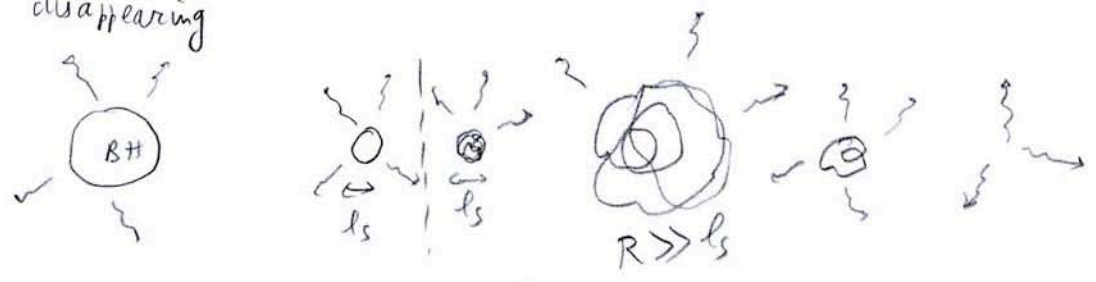
$$S_s(M) \sim S_{BH}(M)$$

↑ STRING entropy      ↑ BH entropy

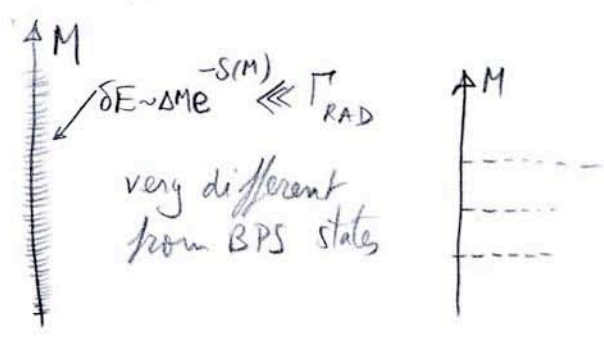
# Physical picture



- Confirms statistical meaning of  $S_{BH}(M)$  as  $S_{STRING}(M)$  when  $g_s^2 M = M_s$ , but does not help to explain statistical meaning when  $g_s^2 M > M_s$
- suggests that an evaporating BH transforms into a very tight string state when  $M = \frac{m_s}{g_s^2} \gg M_{\text{Planck}}$  then it expands again to size  $R \gg l_s$ , before shrinking and disappearing



- blurred discreteness of BH quantum states

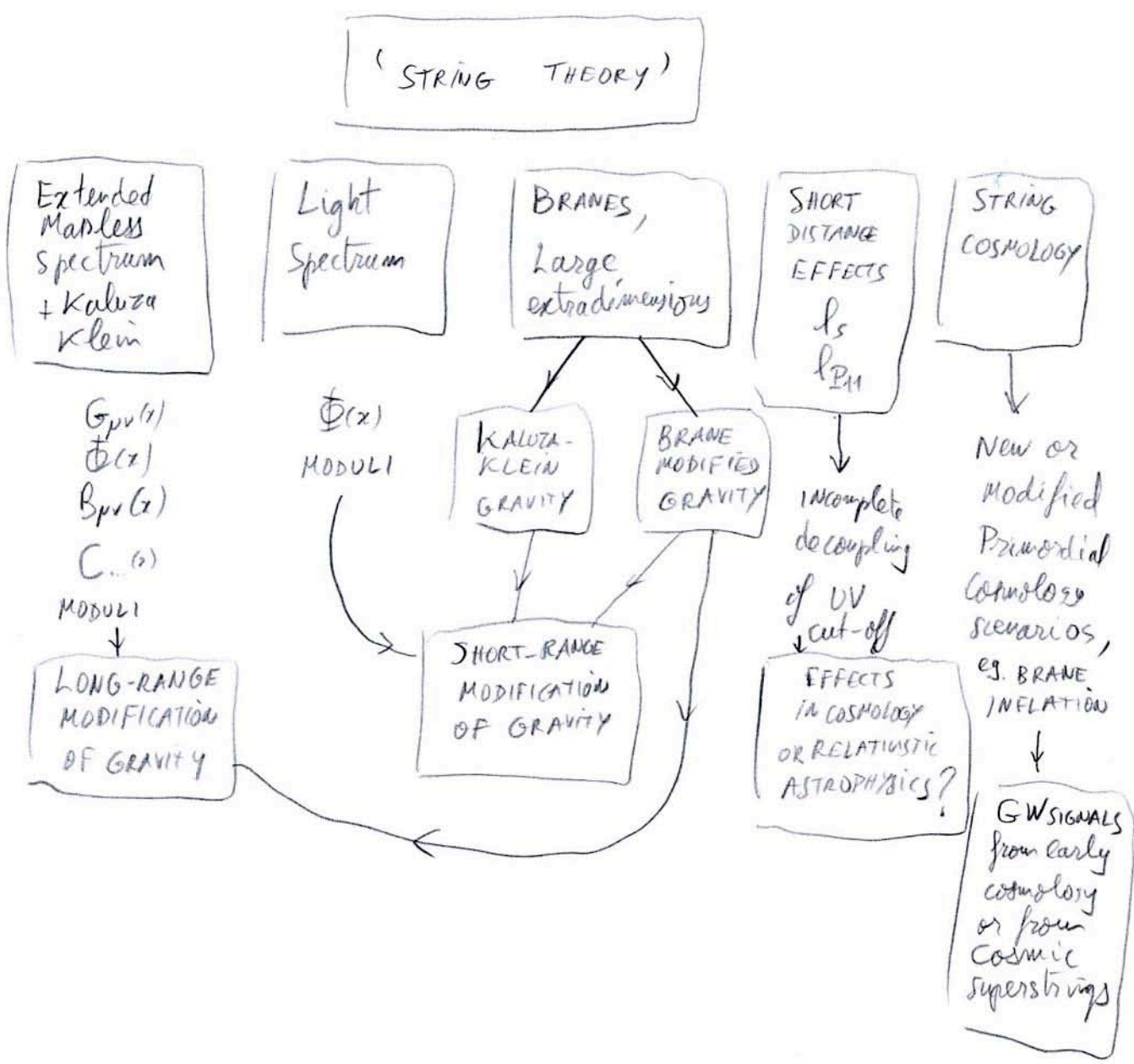




6.11

Some possible phenomenological consequences of string theory

- No clear understanding of link String theory  $\leftrightarrow$  Real World
- $\rightarrow$  discuss phenomenological possibilities  $\rightarrow$  new experimental opportunities



**LONG-RANGE MODIFICATION OF GRAVITY**

If long range additional scalar  $\phi(x)$  with gravitational strength expect observable effects in

- Solar-system tests
- Binary PSR tests
- EP violation  $\Delta\alpha/\alpha \neq 0$

Usually require  $m_\phi$  large

However:

**Least-Coupling Attractor Mechanism**

Damour, Polyakov 1994  
Damour, Piazza, Veneziano '00

- Naturally small, but possibly observable effects  $m=2: X^2$  inflation
- $\alpha_0^2 \sim 10 \left(\frac{\delta P}{P}\right) \frac{8}{n+2} \sim 2.5 \times 10^{-8}$
- $\gamma_{PPN-1} \sim -5 \times 10^{-8}$
- $\Delta\alpha/\alpha \sim 5 \times 10^{-5} \alpha_0^2 \sim 10^{-12}$
- $\dot{\alpha}_0/\alpha_0 \sim \sqrt{10^{12} \Delta\alpha/\alpha} \sim 10^{-16} \text{ yr}^{-1}$

Or Combining Attractor mech + Quintessence-like  $V(\phi)$

→ Chameleon mechanism  
Khoury, Weltman '04, Binz...04

Possible signals in  
MICROSCOPE (STEP)  $\frac{\Delta a}{a} \sim 10^{-15} (10^{-18})$   
MORE, GAIA  $\frac{\delta P}{P} \sim 10^{-7}$ , LATOR  $\frac{\delta P}{P} \sim 10^{-9}$

**SHORT-RANGE MODIFICATION OF GRAVITY**

If moduli  $\phi$  has mass  $m_\phi$  linked to SUSY breaking

$$V(\phi) \sim m_{\text{SUSY}}^4 V\left(\frac{\phi}{m_P}\right)$$

$$m_\phi \sim \frac{m_{\text{SUSY}}^2}{m_P}$$

$$? \sim (\text{TeV})^2 \sim 10^{-3} \text{ eV}$$

possibly observable in Cavendish-type expts

below 0.1 mm

Tyler, Veneziano '88  
Ferraro et al '94  
Dimopoulos, Giudice '96  
Antoniadis, Dimopoulos, Dvali '98

**BRANES AND GRAVITY**

Various possibilities and consequences  
Antoniadis, Arkani-Hamed, Dimopoulos, Dvali  
Randall, Sundrum  
Dvali, Gabadadze, Porrati  
Kogam, Mouslopoulos, Papayogiorgou, Ross

**CUT-OFF RELATED PHYSICS**

? effect on propagation of UHECR?

? effect on  $\frac{\delta P}{P}$  from inflation

microscope of ultra UV fluctuations

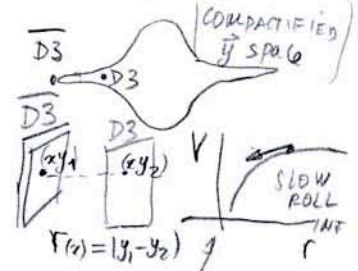
**GW SIGNALS FROM COSMOLOGY**

Various possible stochastic GW backgrounds

also: possibility of **COSMIC SUPERSTRINGS**

Witten '85  
Dvali, Tye  
Tye...

Kachru, Kallosh, Linde, Maldacena, McAllister, Trivedi  
Copeland, Myers, Polchinski  
Dvali, Vilenkin



STRINGS for end inflation

$$10^{11} \leq G\mu \leq 10^{-6}$$

GW bursts

Potentially observable in LIGO/VIRGO, LISA, PULSAR TIMING  
Damour, Vilenkin

## THE NEW GRAVITY FRONTIER

- String theory puts gravity in a central place, and suggest many new ways in which gravity could be richer than ordinary GR
- Observational discoveries suggest gravity might need modifications
  - DARK MATTER
  - 'DARK ENERGY'  $\equiv$  ACCELERATED EXPANSION
  - ? PIONEER 10, 11 anomalous acceleration  $a \approx 9 \times 10^{-8} \text{ m/s}^2$   
 $\approx c H_0$  ?
- Observational opportunities are ahead:
  - CMB, Dark Matter, Dark Energy, EP tests, Variation of Constants, Short-rangelets,
  - GW observations, Pulsar timing, LHC, ...