

Null Singularities and their Gauge Theory duals

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Open-closed duality

- Much of the success of string theory in understanding puzzling gravitational phenomena can be traced to **open-closed duality** – particularly in situations in which this leads to a **holographic correspondence**.
- The open string description of phenomena **does not involve a dynamical space-time**, and the **quantum mechanics of open strings is conventional**.
- Dynamical space-time and gravity are **emergent concepts** which are useful only in a certain regime.
- In this talk we will explore recent work which indicates that **AdS/CFT duality** may be useful in understanding **null singularities**.

AdS/CFT

- String theory on $AdS_5 \times S^5$ dual to N=4 gauge theory on the boundary of AdS_5

$$R^4 = 4\pi(g_{YM}^2 N)l_s^4 \qquad g_{YM}^2 = g_s$$

- The gauge theory provides a fundamental definition of the theory – **this is the open string description**.
- The string theory description is useful only in the 't Hooft limit $g_{YM} \rightarrow 0$, $N \rightarrow \infty$, $g_{YM}^2 N = \text{fixed}$
- **Supergravity** is valid in the regime of **strong 't Hooft coupling** – this is the limit in which **conventional ten dimensional space-time** emerges out of the original **3+1 dimensional space-time** of the gauge theory.

- The standard solution is

$$ds^2 = \frac{r^2}{R^2} [\eta_{\mu\nu} dx^\mu dx^\nu] + \frac{R^2}{r^2} dr^2 + R^2 d\Omega_5^2$$

$$F_{(5)} = R^4 (\omega_5 + *_{10}\omega_5) \quad \mu, \nu = 0, 1, \dots, 3$$

- The gauge theory is then on a **flat 3+1** dimensional space.
- We want to **deform** this solution in a **time-dependent fashion** and explore whether this is dual to a deformed gauge theory.

Time dependent deformations

- Starting with the usual background

$$ds^2 = \left(\frac{r^2}{R^2}\right) \eta_{\mu\nu} dx^\mu dx^\nu + \left(\frac{R^2}{r^2}\right) dr^2 + R^2 d\Omega_5^2$$

$$F_{(5)} = R^4 (\omega_5 + *_{10}\omega_5),$$

Time dependent deformations

- The following form an infinite number of deformations

$$ds^2 = \left(\frac{r^2}{R^2}\right) \tilde{g}_{\mu\nu} dx^\mu dx^\nu + \left(\frac{R^2}{r^2}\right) dr^2 + R^2 d\Omega_5^2$$

$$F_{(5)} = R^4 (\omega_5 + *_{10}\omega_5),$$

$$\Phi = \Phi(x^\mu). \longleftarrow \text{dilaton}$$

- These are solutions provided

Ricci of the metric $\tilde{g}_{\mu\nu} \longrightarrow \tilde{R}_{\mu\nu} = \frac{1}{2} \partial_\mu \Phi \partial_\nu \Phi,$

$$\partial_\mu (\sqrt{-\det(\tilde{g})} \tilde{g}^{\mu\nu} \partial_\nu \Phi)$$

The Proposed Duals

- In fact these are **near-horizon limits** of asymptotically flat 3-brane solutions

$$ds^2 = Z^{-1/2}(x) \tilde{g}_{\mu\nu} dx^\mu dx^\nu + Z^{1/2}(x) \tilde{g}_{mn} dx^m dx^n$$

$Z(x)$ is a *harmonic* function

\tilde{g}_{mn} is Ricci-flat

- We may guess the **dual gauge theory** by following the same logic which led to standard AdS/CFT

The Proposed Duals

- In fact these are **near-horizon limits** of asymptotically flat 3-brane solutions

$$ds^2 = Z^{-1/2}(x) \tilde{g}_{\mu\nu} dx^\mu dx^\nu + Z^{1/2}(x) \tilde{g}_{mn} dx^m dx^n$$

$$F_{(5)} = -\frac{1}{4 \cdot 4!} \tilde{\epsilon}_{\mu\nu\rho\sigma} \frac{\partial_m Z(x)}{Z(x)^2} dx^\mu \wedge dx^\nu \wedge dx^\rho \wedge dx^\sigma \wedge dx^m \\ + \frac{1}{4 \cdot 5!} \tilde{\epsilon}_{m_1 m_2 m_3 m_4 m_5}^{m_6} \partial_{m_6} Z(x) dx^{m_1} \wedge dx^{m_2} \wedge dx^{m_3} \wedge dx^{m_4} \wedge dx^{m_5}$$

$Z(x)$ is a *harmonic* function \tilde{g}_{mn} is Ricci-flat

- We may guess the **dual gauge theory** by following the same logic which led to standard AdS/CFT

- These geometries are deformations of the AdS geometry by **non-normalizable operators**
- Therefore their duals should be the **gauge theory with sources**.

- **Conjecture** : In this case the dual is the gauge theory defined on a **metric** $\tilde{g}_{\mu\nu}$ and a **time dependent coupling** $\Phi = \Phi(x^\mu)$

$$S = \int d^4x \sqrt{\tilde{g}} e^{-\Phi} \text{Tr} \tilde{g}^{\mu\alpha} \tilde{g}^{\nu\beta} F_{\mu\nu} F_{\alpha\beta}$$

- This is quite evident for small departures from AdS solution – the **metric deformation couples to the energy-momentum tensor**, and the **dilaton couples to the correct operator**.
- For finite departures, this is well motivated by the fact that these solutions are near-horizon geometries of deformed 3-brane solutions

Null cosmologies

Normally such deformations introduce *curvature singularities* at the **Poincare horizon** $r=0$.

This does not happen when the functions depend on a **null direction**.

In the following we will concentrate on solutions of the form

$$d\tilde{s}^2 = \tilde{g}_{\mu\nu} dx^\mu dx^\nu = e^{f(X^+)} (-2dX^+ dX^- + dx_2^2 + dx_3^2)$$

$$\Phi = \Phi(X^+)$$

$$\frac{1}{2}(f')^2 - f'' = \frac{1}{2}(\partial_+ \Phi)^2$$

Start with any $f(X_i^+)$
Determine $\Phi(X^+)$

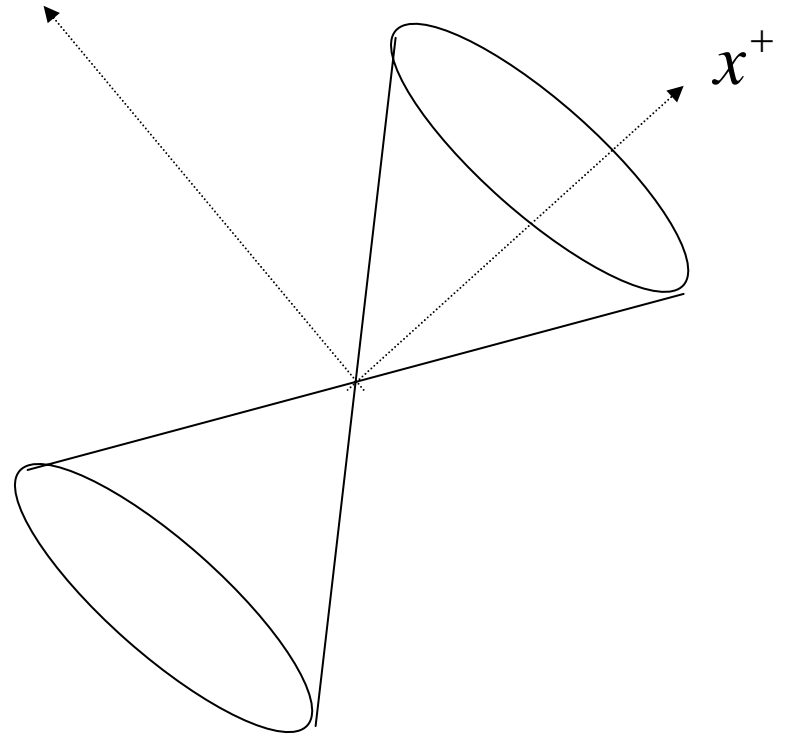
- These preserve **half of the super-symmetries** $\Gamma^+ \epsilon = 0$
- Some of these solutions independently found by **Chu and Ho**

- An interesting solution has asymptotic $AdS_5 \times S^5$ with a **null singularity** at $X^+ = 0$

$$e^f = \tanh^2 X^+$$

$$e^\Phi = g_s \left| \tanh \frac{X^+}{2} \right|^{\sqrt{8}}$$

- The point $X^+ = 0$ can be reached in finite affine parameter – **this is a singularity** even though all curvature invariants are bounded here.



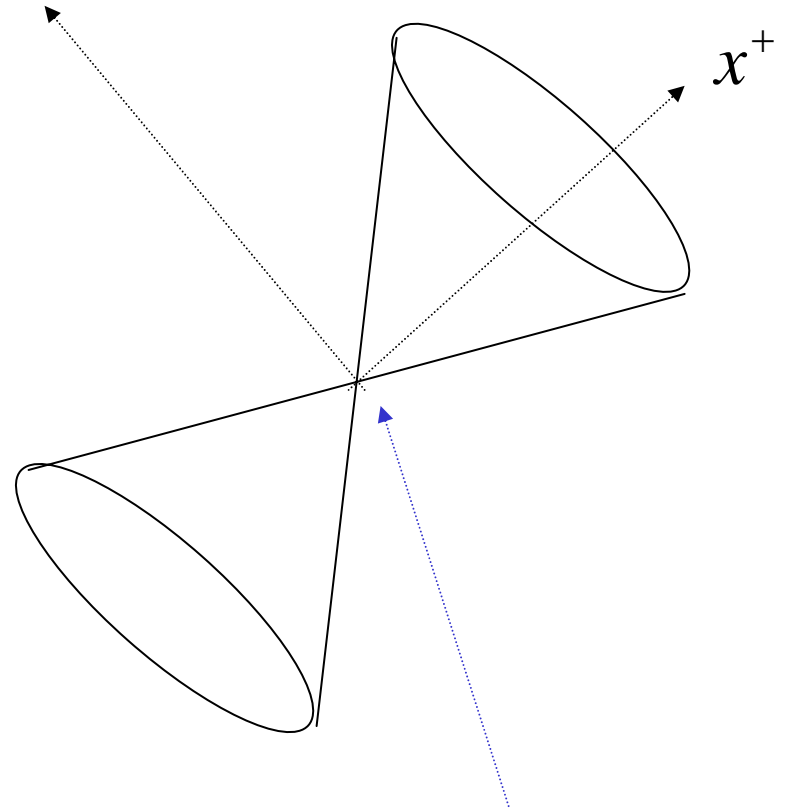
- The affine parameter along a geodesic along X^+ is given by

$$\lambda = \int e^{f(X^+)} dX^+$$

- The invariant quantity along geodesic $R_{ab}\xi^a\xi^b$ **diverges**.

$$R_{\lambda\lambda} = \frac{4}{\sinh^2 X^+ \tanh^4 X^+}$$

- The string coupling is, however **bounded everywhere** and **weak at the singularity**



Tidal forces diverge

Dual Theory near the singularity

- Since the brane metric is conformally flat, the factor $e^{f(X^+)}$ decouples in the classical action.
- In the quantum theory, however, this is spoiled by conformal anomalies. The one loop anomaly is

$$T_{\mu}^{\mu} = \frac{c}{16\pi^2} (C_{\alpha\beta\gamma\delta} C^{\alpha\beta\gamma\delta}) - \frac{a}{16\pi^2} (R_{\alpha\beta\gamma\delta} R^{\alpha\beta\gamma\delta} - 4R_{\alpha\beta} R^{\alpha\beta} + R^2)$$

- For these null backgrounds this expression vanishes.
- In the N=4 theory this one loop expression is exact because of supersymmetry. But now we have reduced supersymmetry due to a (null) time dependent dilaton.

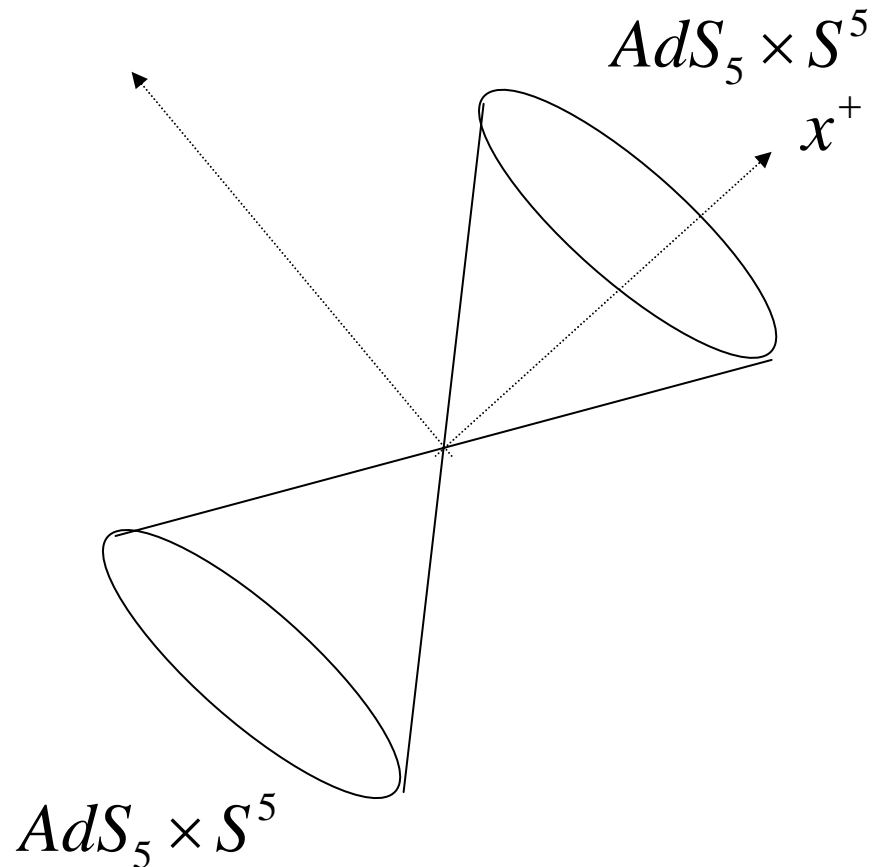
- However the dilaton leads to a **vanishing coupling near the singularity** – with vanishing derivatives

$$e^{\Phi} = g_s \left| \tanh \frac{X^+}{2} \right|^{\sqrt{8}}$$

- Therefore, near the singularity the **corrections to the trace anomaly vanish**– basically because the coupling vanishes here.
- **Close to the singularity**, the **conformal factor decouples** and **correlation functions can be related to those in flat space**, albeit with a varying dilaton

$$\begin{aligned} \langle 0 | \prod_i \mathcal{O}_i(x_i) | 0 \rangle_{f(X^+), \Phi(X^+)} \\ = \prod_i (e^{f(X_i^+)})^{\frac{\Delta_i}{2}} \langle 0 | \prod_i \mathcal{O}_i(x_i) | 0 \rangle_{0, \Phi(X^+)} \end{aligned}$$

- In the asymptotic region, the coupling variation vanishes and one has the standard $AdS_5 \times S^5$
- We want to prepare the system in the usual conformally invariant vacuum state at $X^+ = -\infty$ and examine its time evolution.
- At arbitrary times the gauge theory is strongly coupled.
- However, near the singularity the coupling vanishes – and one can treat the gauge theory perturbatively.



Back reaction controlled

Particle Production ?

- Generically in such backgrounds there could be **particle production**, even in the free theory.
- Consider for example the scalar sector of the theory, written heuristically as

$$S = - \int d^4x e^{-\Phi(X^+)} [(\partial\varphi)^2 - \lambda\varphi^4]$$

- The **kinetic term for the canonically normalized field is standard** – a field redefinition in fact moves **all** X^+ dependence to the coupling term $\tilde{\varphi} = e^{-\Phi(X^+)/2}\varphi$

$$S = - \int d^4x [(\partial\tilde{\varphi})^2 - \lambda e^{\Phi(X^+)}\tilde{\varphi}^4]$$

The null nature of the background is crucial for this

- Standard arguments in light front quantization then imply that there **can be no particle production** – once again because **the background depends on X^+ only**.
- The interaction picture state is

$$|s\rangle = T_+ e^{-i \int d^4x e^{\Phi(X^+)} \varphi^3(x)} |0\rangle$$

- In each term in a perturbation expansion the **total momentum k_- must be zero**, since coefficients are functions of X^+ alone
- However this cannot happen since in light front quantization all creation operators have positive k_-

- The correlation functions of course depend on the background.
- However in our case - since the interaction term vanishes near the singularity - there is correlators are non-singular everywhere.
- This may be verified by calculating these quantities perturbatively.
- Thus, smooth wave packets made out of Fock space states evolve smoothly through the singularity and there is a well-defined S-Matrix.

The gauge field sector

- There is a similar **field redefinition** in the gauge field sector.
- First fix a **light cone gauge** $A_- = 0$
- Now define new fields $\tilde{A}_i = e^{-\Phi/2} A_i$
- The gauge part of the action now becomes

$$S_{\text{GF}} = -\frac{1}{4} \int d^4x \left[\text{Tr}(\partial_\mu \tilde{A}_\nu - \partial_\nu \tilde{A}_\mu)^2 - 2ie^{\Phi/2} \text{Tr}\{(\partial_\mu \tilde{A}_\nu - \partial_\nu \tilde{A}_\mu)[\tilde{A}^\mu, \tilde{A}^\nu]\} \right]$$

- This is of the **same form as in the previous slide** – and the conclusion is the same – correlators of \tilde{A}_i are **non-singular**.
- The \tilde{A}_i form a **complete set of gauge invariant observables**. In any case these are the fields which are correctly normalized

- Note that all gauge invariant operators are not smooth.
- In fact correlators of $\text{Tr} e^{-\Phi} F^{\mu\nu} F_{\mu\nu}$ - which is the operator dual to the dilaton mode are singular. The weak coupling answer for this does not agree with the supergravity calculation.
- The fact that there is a complete set of gauge invariant operators which are non-singular implies that one has to choose the correct complete set of dynamical variables to realize that one can evolve smoothly across the “singularity”

Stringy nature of *singularity*

- The fact that the gauge theory becomes weakly coupled at the singularity implies that **stringy effects should be large**.
- In fact the world-sheet action displays this. Writing the ten dimensional metric as

$$ds^2 = e^{\Phi/2} \left[\frac{e^{f(x^+)}}{Y^2} [2dX^+ dX^- + d\vec{X}^2] + \frac{1}{Y^2} d\vec{Y}^2 \right]$$

- The bosonic part of the light cone gauge worldsheet action

$$S = \frac{1}{2} \int d\sigma d\tau \left[(\partial_\tau \vec{X})^2 + e^{-f(\tau)} (\partial_\tau \vec{Y})^2 - \frac{1}{Y^4} e^{2f(\tau)} e^{\Phi(\tau)} (\partial_\sigma \vec{X})^2 - \frac{1}{Y^4} e^{f(\tau)} e^{\Phi(\tau)} (\partial_\sigma \vec{Y})^2 \right]$$

- Near “singularity”, $e^{\Phi(\tau)} = 0$ and **all the modes of the string become light**.
- We do not know yet whether the full world-sheet theory makes sense.

Penrose Limits and Matrix Theory

- The **Penrose limit** of the geometry has an Einstein Frame pp-wave metric given by

$$ds^2 = 2dUdV - [H(U)\vec{X}^2 + \vec{Y}^2](dU)^2 + d\vec{X}^2 + d\vec{Y}^2$$

- The singularity is now at $U \rightarrow \pi/2$ and near this

$$H(U) \sim \frac{1}{(U - \frac{\pi}{2})^2} \quad e^{\Phi(U)} \sim (U - \frac{\pi}{2})^{\frac{\sqrt{8}}{3}}$$

- This is a generic singularity of the pp-wave.
- It appears that worldsheet string theory can be solved in this background – we have not yet studied the details. (see *e.g. Papadopolous, Russo and Tseytlin*)

- Compactifying x^- and one of the transverse directions, one may write down the [DLCQ Matrix theory](#) for this background – this is a 2+1 dimensional YM theory.

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$$\mathcal{L} = \text{Tr} \frac{1}{2} \{ [(D_\tau \chi^\alpha)^2 - e^{\Phi(\tau)} (D_\sigma \chi^\alpha)^2 - e^{-\Phi(\tau)} (D_\rho \chi^\alpha)^2]$$

$$+ \frac{1}{G_{\text{YM}}^2} [e^{\Phi(\tau)} F_{\sigma\tau}^2 + e^{-\Phi(\tau)} F_{\rho\tau}^2 - F_{\rho\sigma}^2]$$

$$- H(\tau) [(\chi^1)^2 + (\chi^2)^2] - (\chi^3)^2 \cdots (\chi^6)^2 - 4(\chi^7)^2$$

$$+ \frac{G_{\text{YM}}^2}{2} [\chi^\alpha, \chi^\beta]^2 + 2i G_{\text{YM}} \chi^7 [\chi^5, \chi^6] + \frac{4}{G_{\text{YM}}} \chi^7 F_{\sigma\rho} \},$$

$\alpha = 1, \dots, 7$

- where $0 < \sigma < 2\pi \frac{l_s^2}{R}, 0 < \rho < 2\pi g_s \frac{l_s^2}{R}$

$$G_{\text{YM}}^2 \sim \frac{1}{g_s}$$

- Compactifying x^- and one of the transverse directions, one may write down the **DLCQ Matrix theory** for this background – this is a 2+1 dimensional YM theory.
- **When the original string coupling ρ is small the YM theory is strongly coupled and the fields become diagonal**
- The gauge field strength can be then dualized into a scalar and now we have 8 scalars
- Naively the ρ direction becomes small – so that **we have a 1+1 dimensional theory**
- This theory is in fact **identical to the worldsheet light cone gauge theory of the fundamental string** in the pp-wave background.
- However the time **dependent gradient terms imply that there is particle production of modes** with momenta in the ρ direction. **These are modes of a D-string**

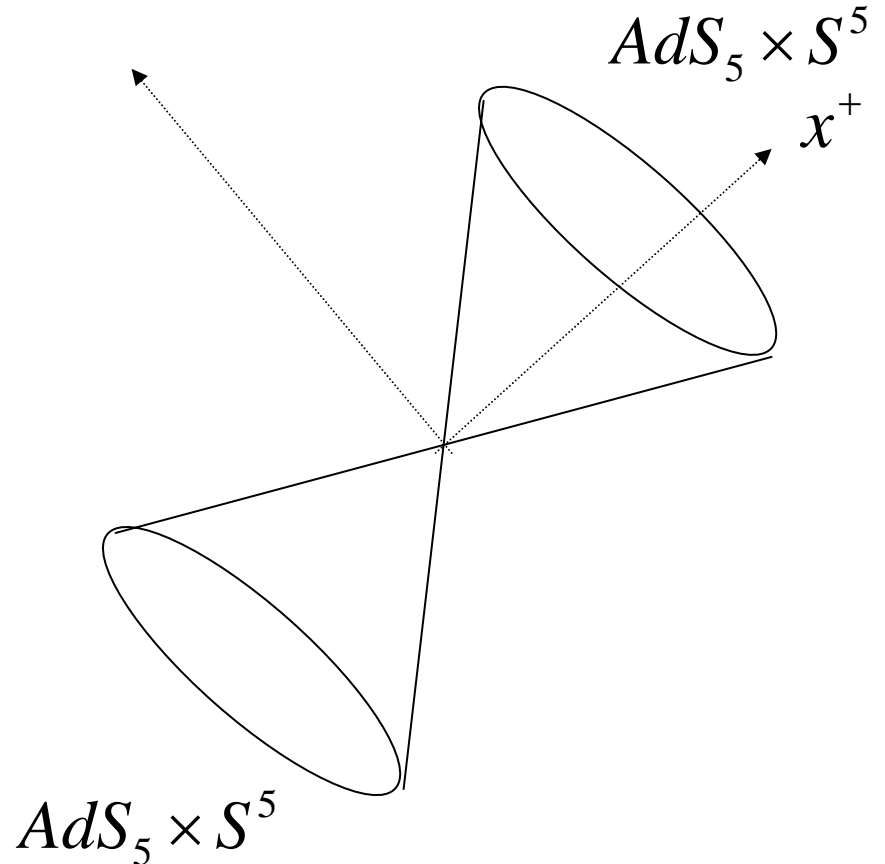
- This is similar to actions obtained in pp-wave backgrounds with null linear dilatons (*S.R.D. and J. Michelson*), which generalize the work of *Craps, Sethi and Verlinde*.
- However, unlike these cases, the matrix membrane theory we obtain has
 - (1) Constant couplings
 - (2) Time dependent space gradients
 - (3) Time dependent masses

In the appropriate limit $g_s \rightarrow 0$ this reproduces the F-string worldsheet action.

Near the singularity, excited modes of both F-strings and D-strings are produced copiously.

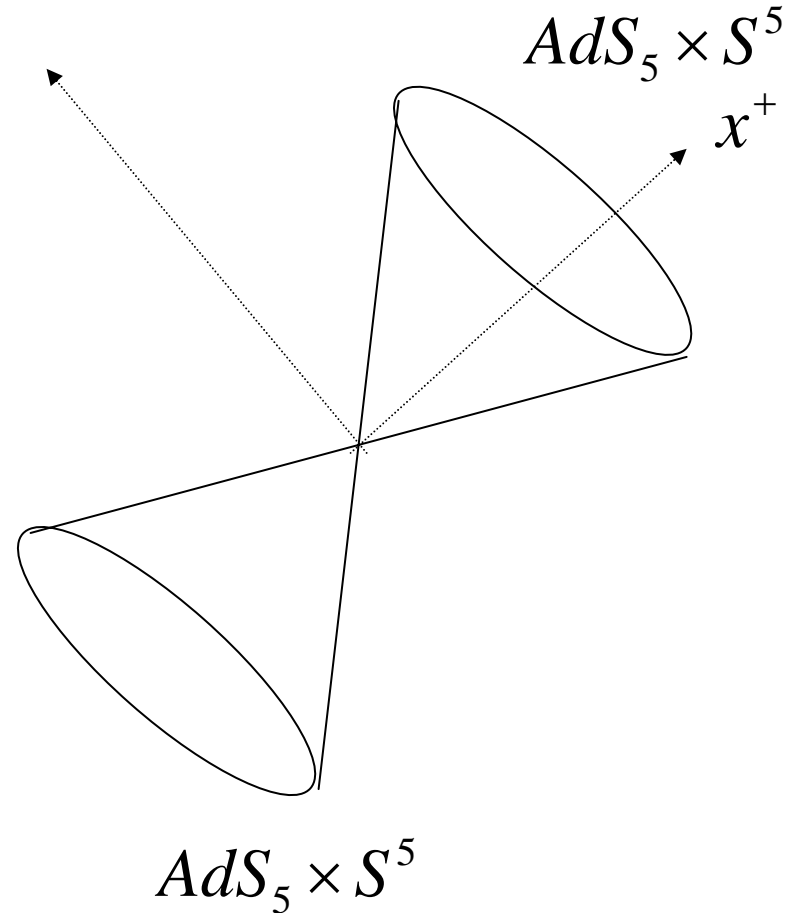
The overall Picture

- At **early light cone times**, the geometry is the standard $AdS_5 \times S^5$ and the **dilaton is a constant**.
- For large values of the 't Hooft coupling, curvatures are small and **supergravity** - and hence conventional space-time - **is a good description**



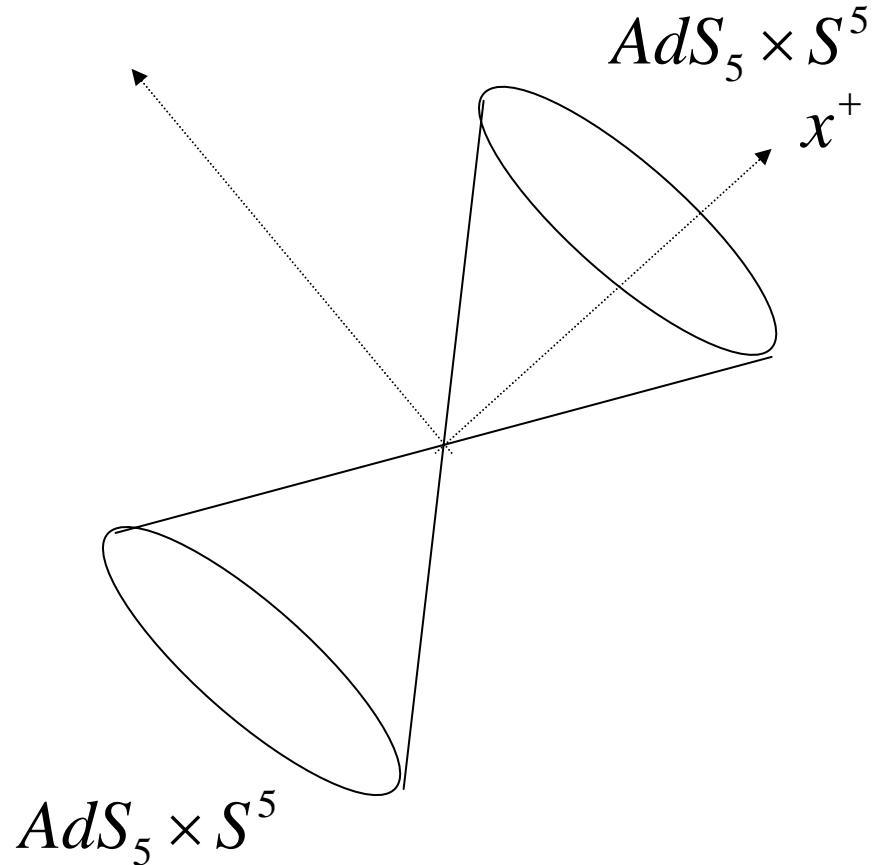
The overall Picture

- If we continue this description to $X^+ = 0$ we encounter a **null singularity**.
- Here curvature components and **tidal forces diverge** even though invariants remain bounded.
- This occurs at **finite affine parameters** along geodesics.
- e^Φ **becomes small** and **vanishes** at $X^+ = 0$



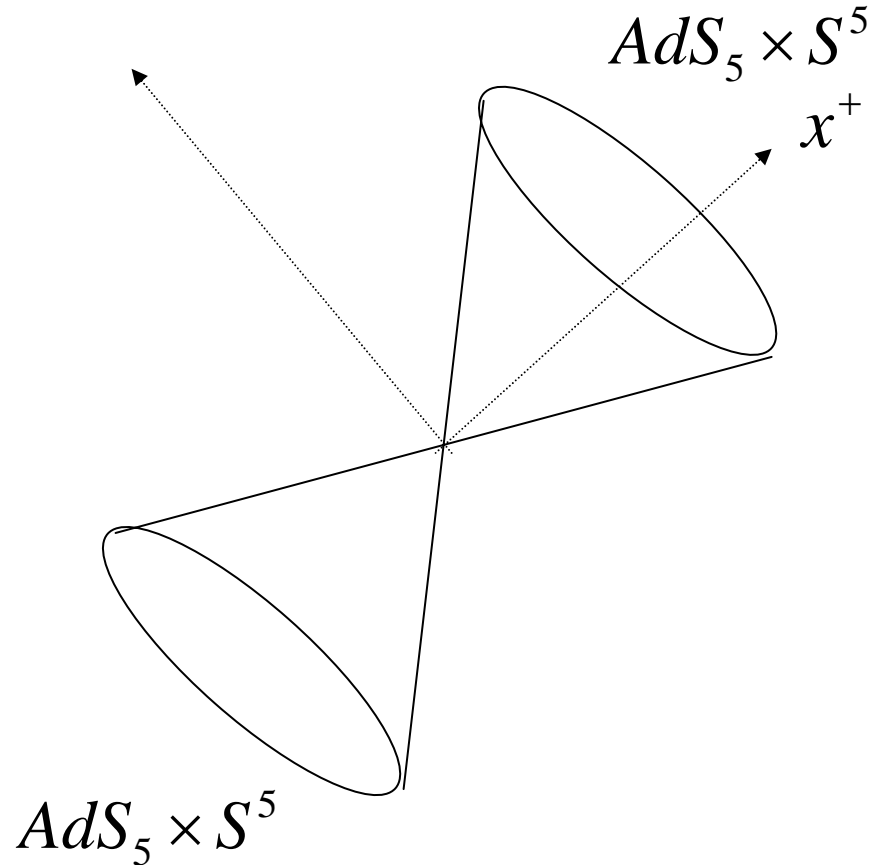
The overall Picture

- The fact that e^{Φ} becomes small, however, means that the **dual gauge theory becomes weakly coupled** – and the **supergravity description should not be good** in any case
- The **gauge theory is well behaved here** – there are no singularities in the correlators of normalized fields



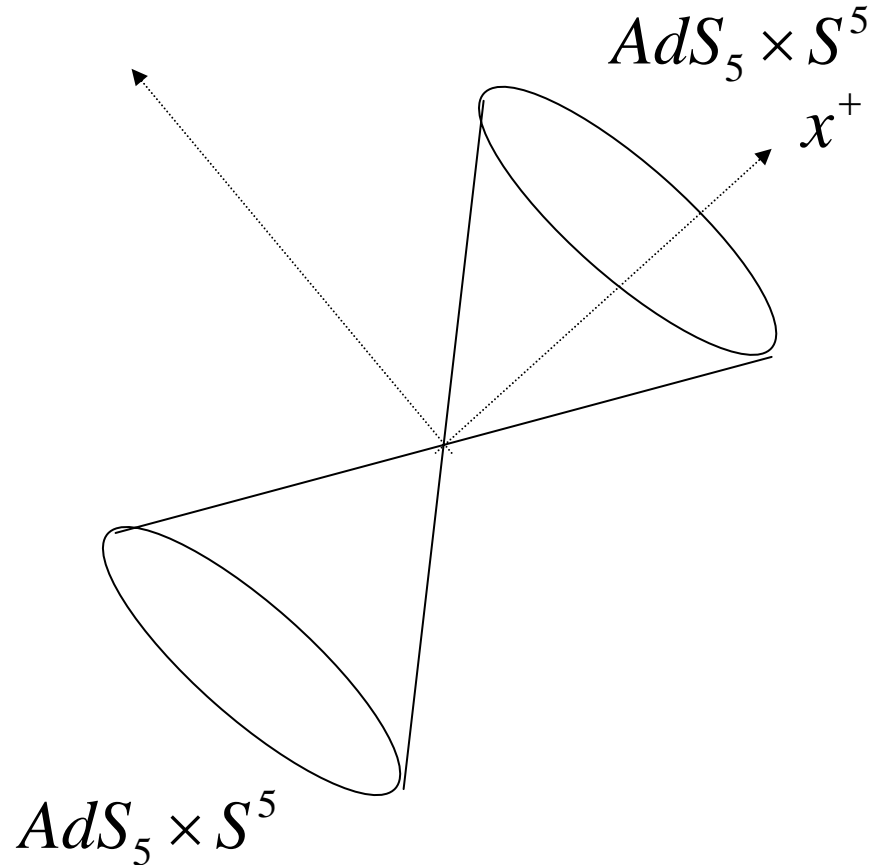
The overall Picture

- This means that a smooth wave packet made of standard fock space states at early times evolves smoothly across the singularity.
- There is no conventional ten dimensional space-time interpretation here.
- Rather one should replace this by a weakly coupled gauge theory



The overall Picture

- There is a possibility that perturbative string theory could also be well defined here.
- However Matrix Theory descriptions of the Penrose limit seem to indicate that D-brane states are excited as well.



- It has been suspected for a long time that **near singularities the notions of space and time break down** and have to be replaced with something else
- In these **toy models of cosmology** we have **some idea of what structure should replace space-time** – though this is by no means generic.