

# A precision calculation of neutrino decoupling

**Julien Froustey**

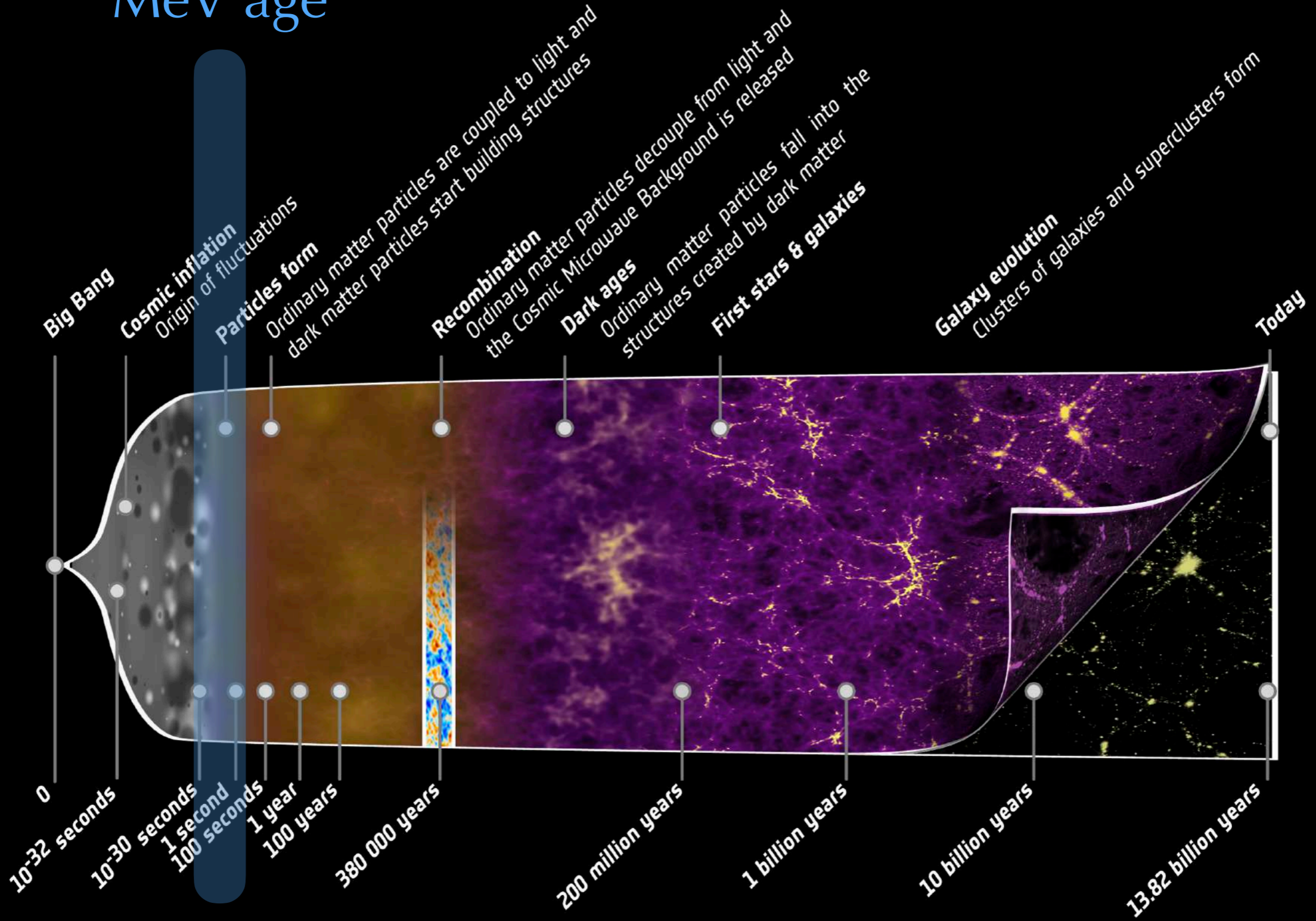
*with* Cyril Pitrou (IAP), Maria Cristina Volpe (APC)

LUTH seminar ◦ 05/11/2020

[1912.09378] **JF**, C. Pitrou, *Phys. Rev. D* 101, 043524 (2020)

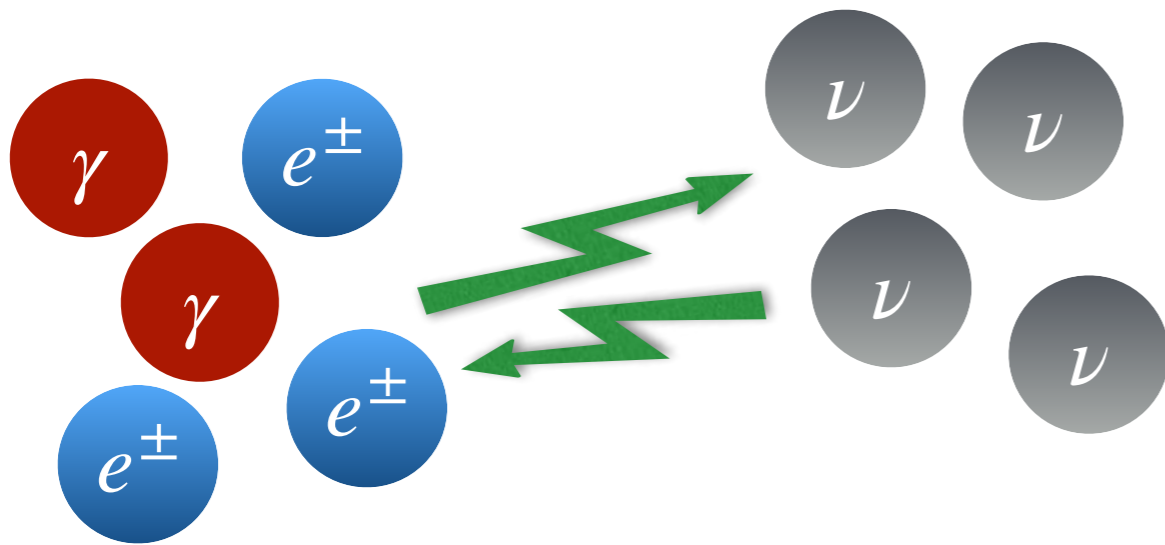
[2008.01074] **JF**, C. Pitrou, M.C. Volpe, *to appear in JCAP*

# "MeV age"

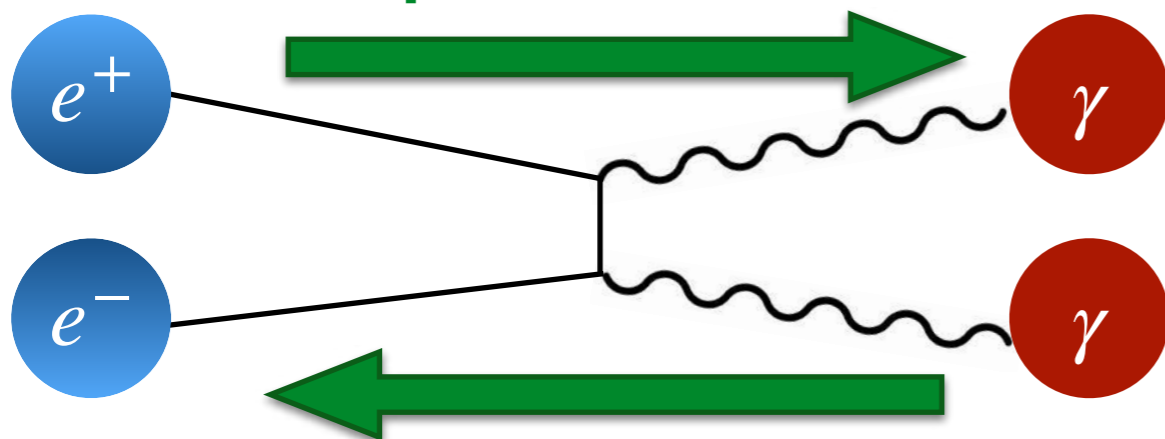


# The MeV age

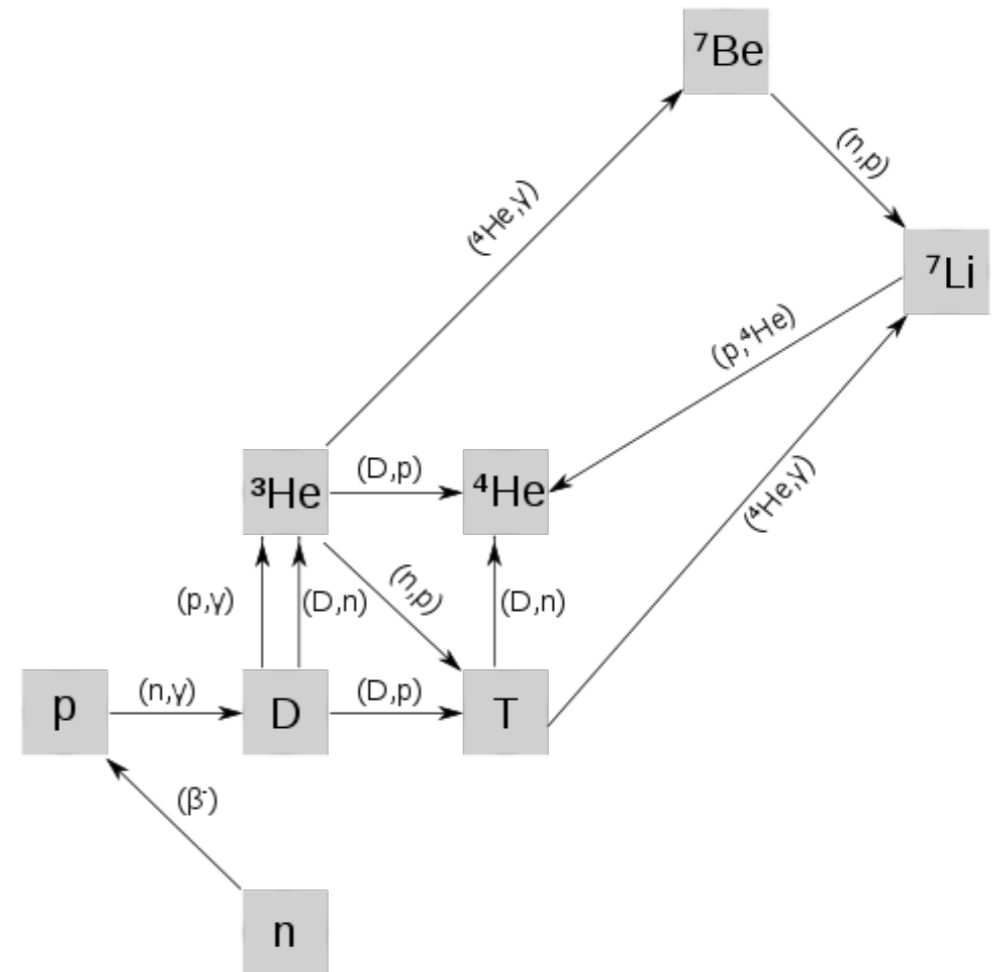
## Neutrino decoupling



## Electron/positron annihilation

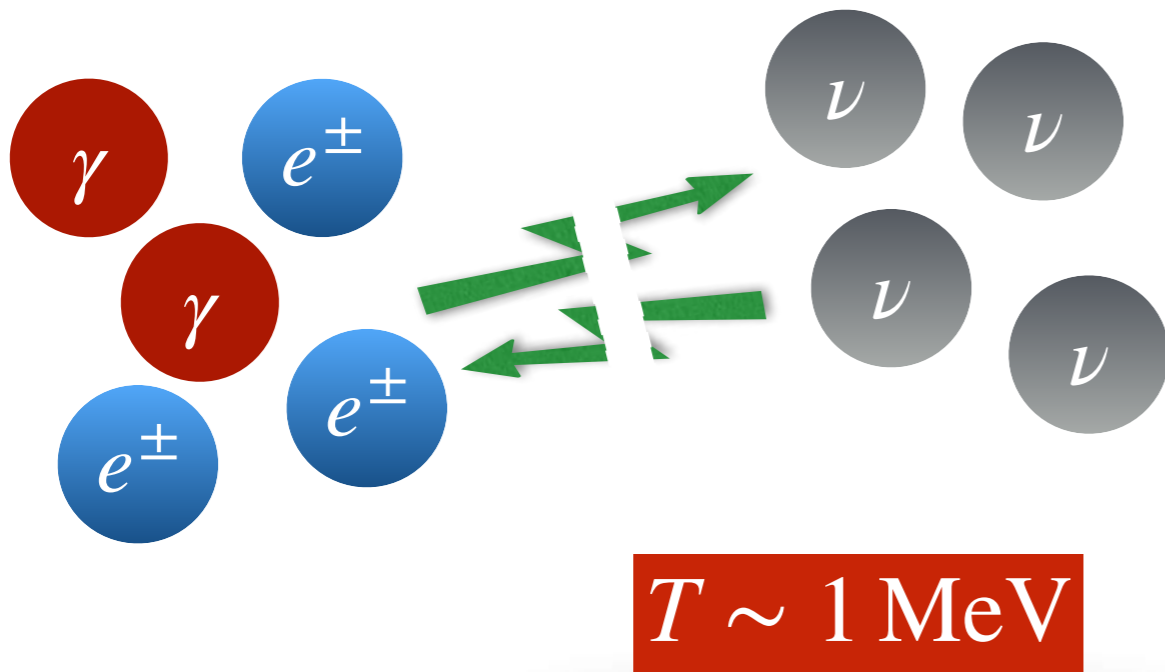


## Big Bang Nucleosynthesis

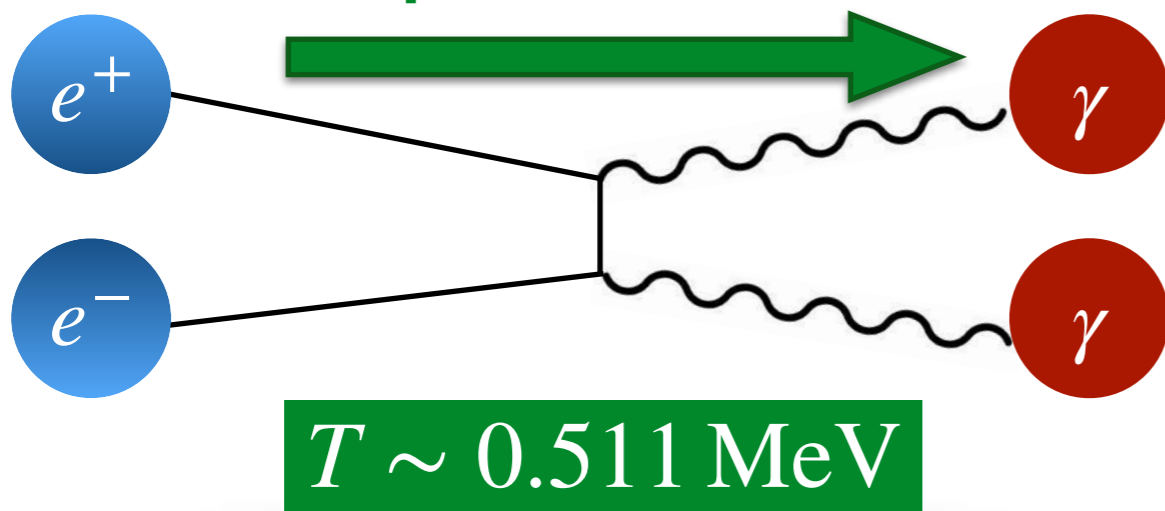


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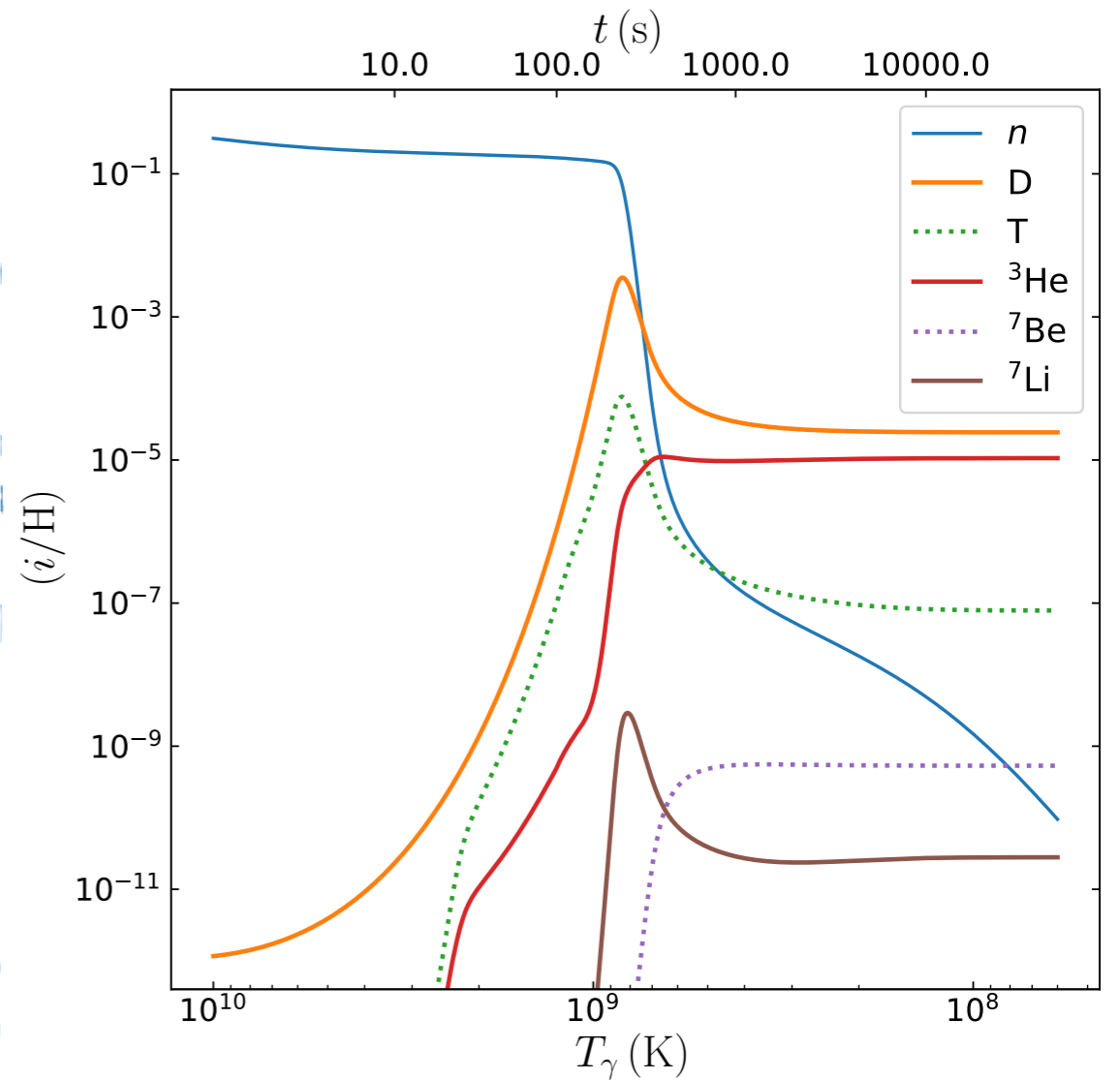
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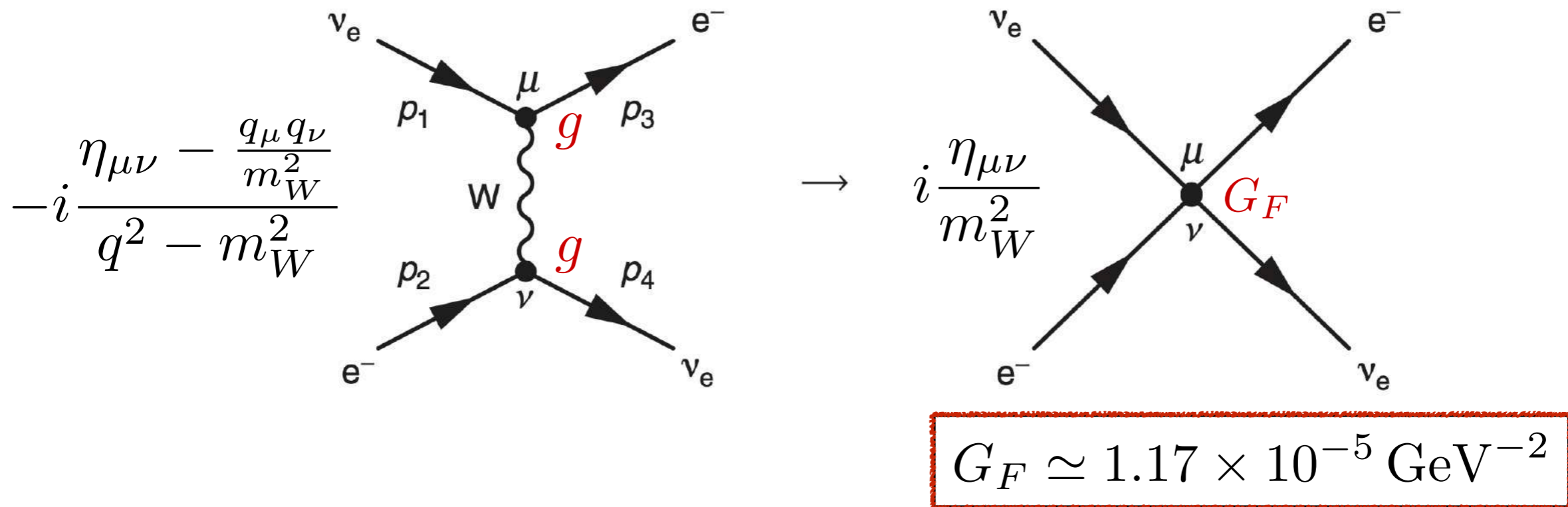
## Big Bang Nucleosynthesis



$T \sim 0.1 \text{ MeV}$

# Instantaneous neutrino decoupling

- Weak interactions : low energy 4-Fermi theory



- Decoupling temperature

$$\frac{\Gamma}{H} = \frac{G_F^2 T^5}{T^2 / m_{\text{Pl}}} \simeq \left( \frac{T}{1 \text{ MeV}} \right)^3$$

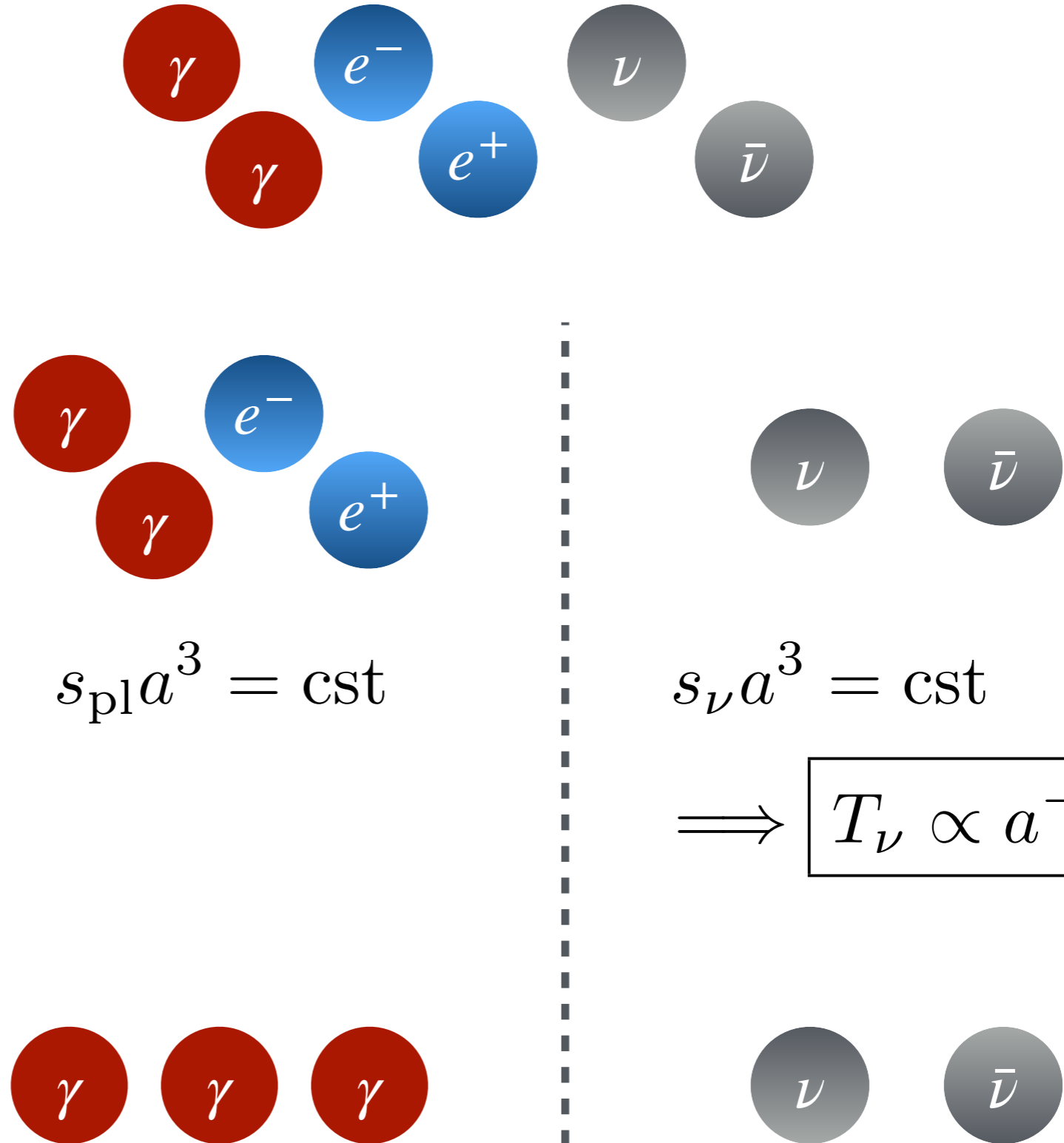
# Instantaneous neutrino decoupling - Entropy conservation

$T$  (MeV)



1  
Neutrino decoupling

0.511  
 $e^\pm$  annihilations



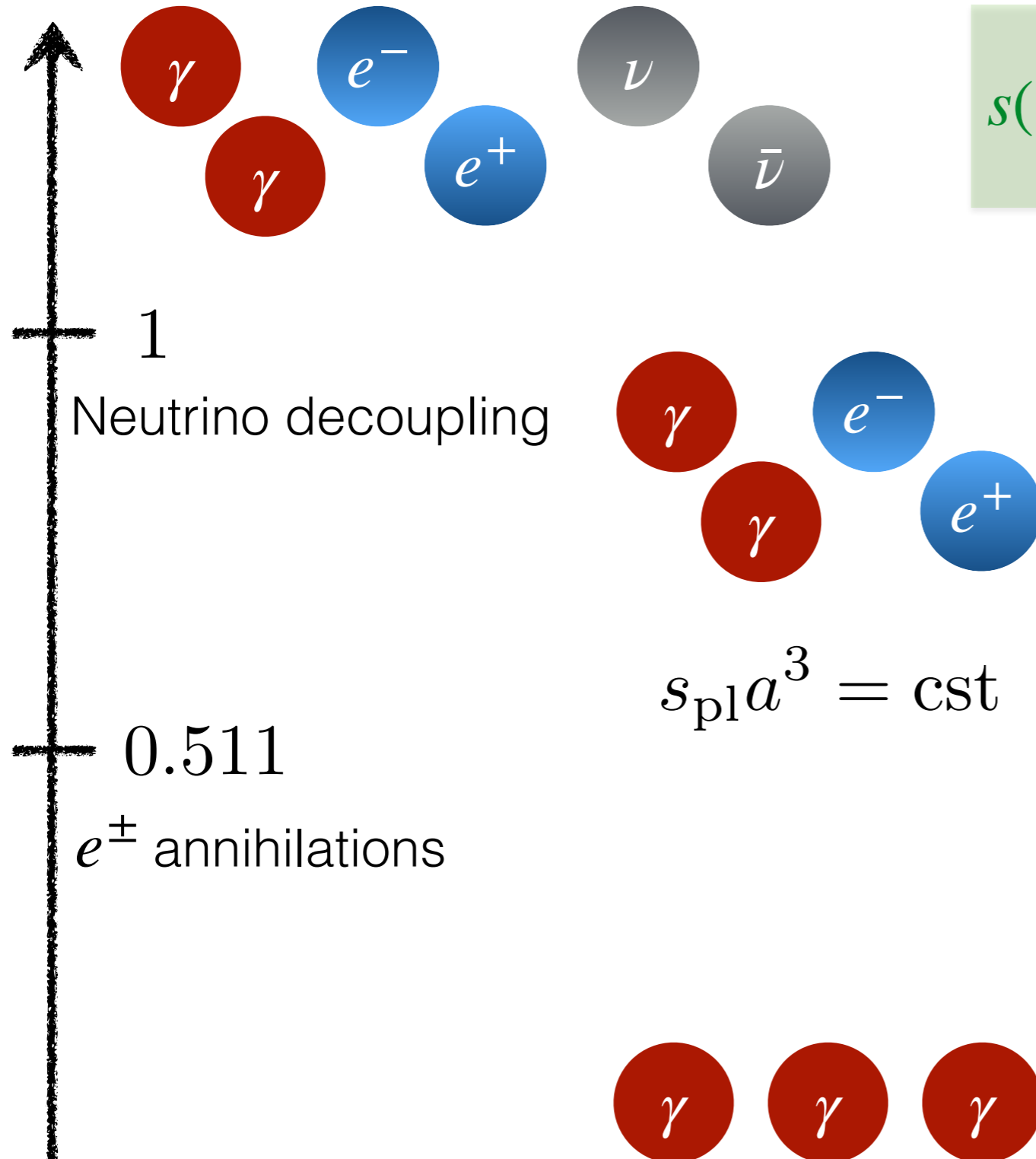
$$s_{pl} a^3 = \text{cst}$$

$$s_\nu a^3 = \text{cst}$$

$$\implies \boxed{T_\nu \propto a^{-1}}$$

# Instantaneous neutrino decoupling - Entropy conservation

$T$  (MeV)



$$s(T) = g \frac{2\pi^2}{45} T^3 \times \begin{cases} 7/8 & \text{(fermions)} \\ 1 & \text{(bosons)} \end{cases}$$



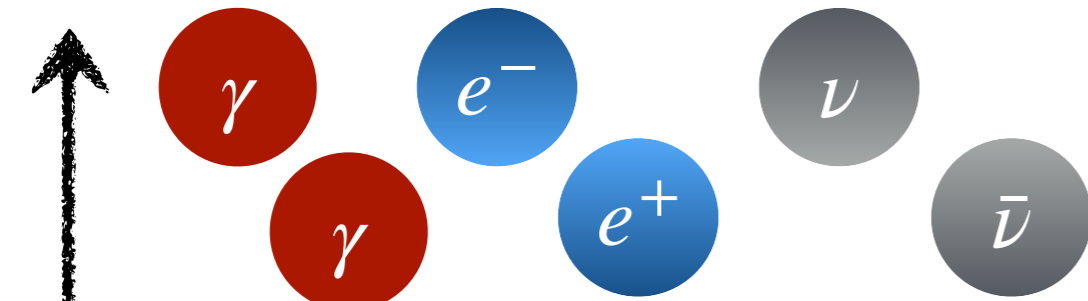
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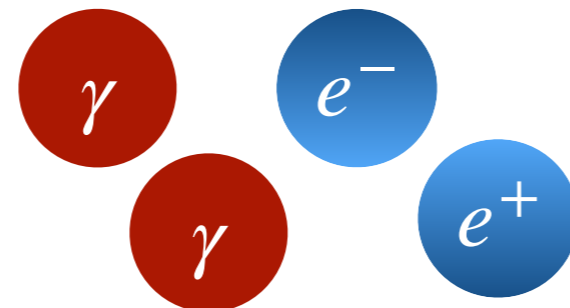
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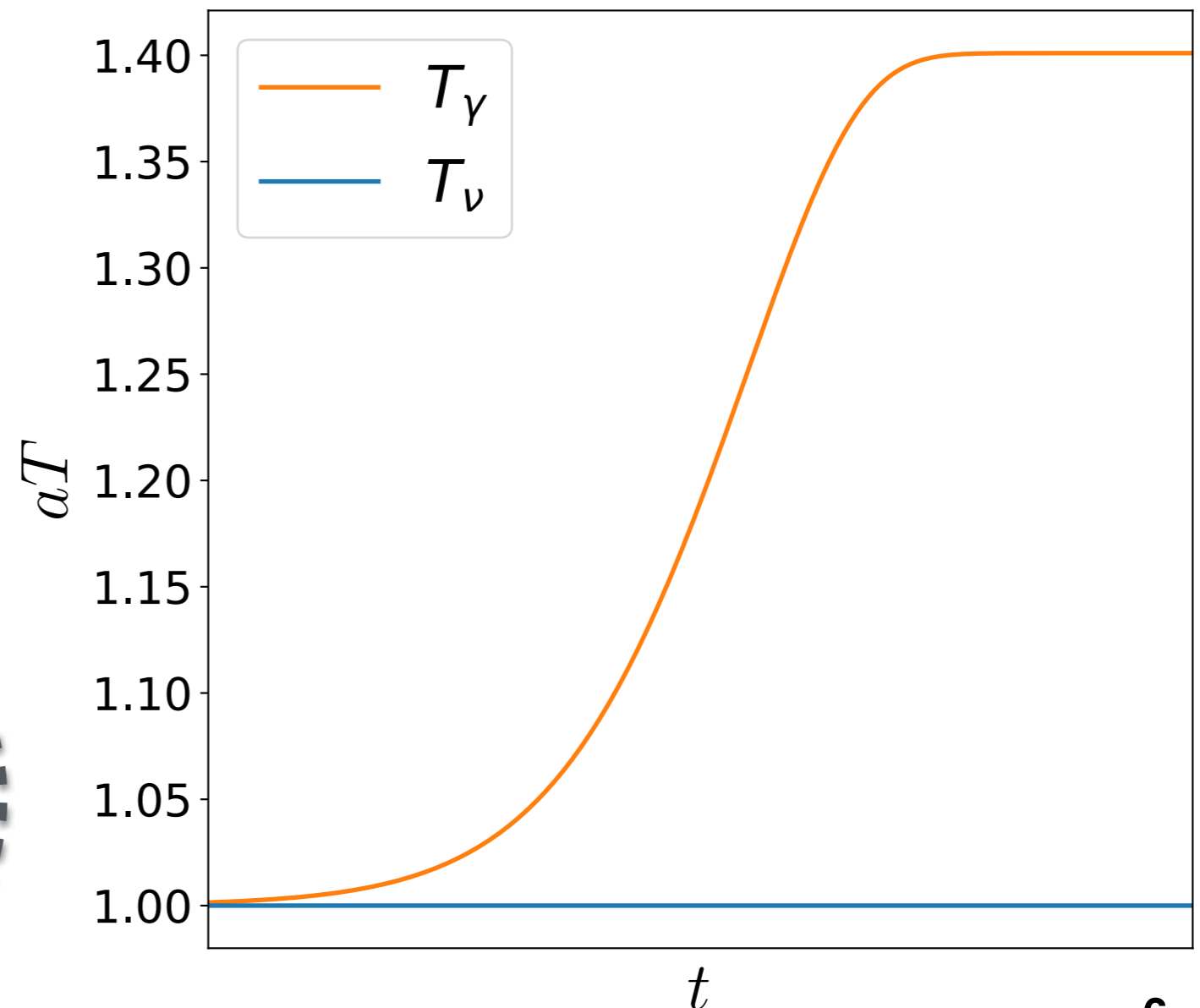
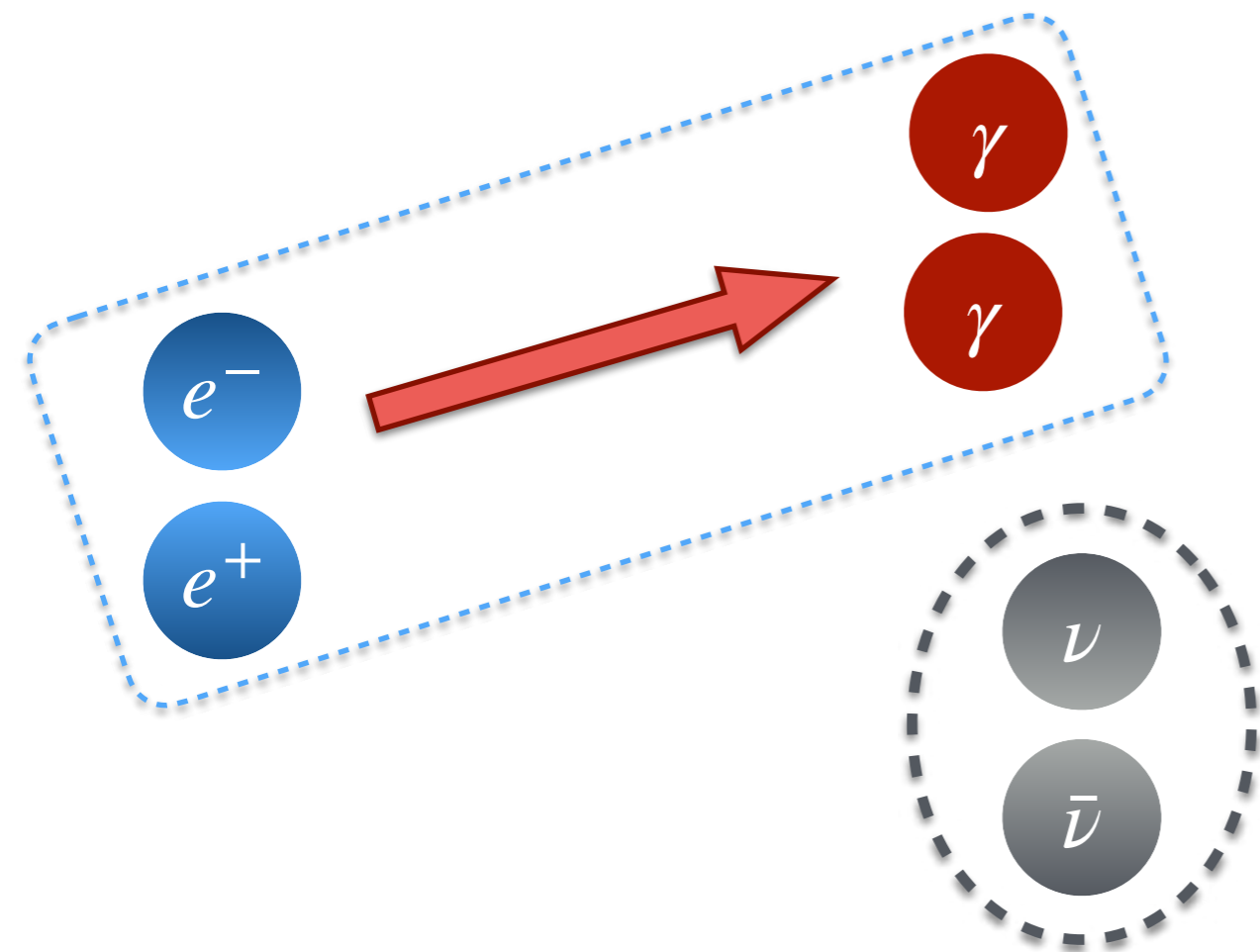
$$\left( \frac{T_{\gamma}}{T_{\nu}} \right)_{\text{today}} = \left( \frac{11}{4} \right)^{1/3} \simeq 1.40102$$





# Beyond the instantaneous decoupling approximation

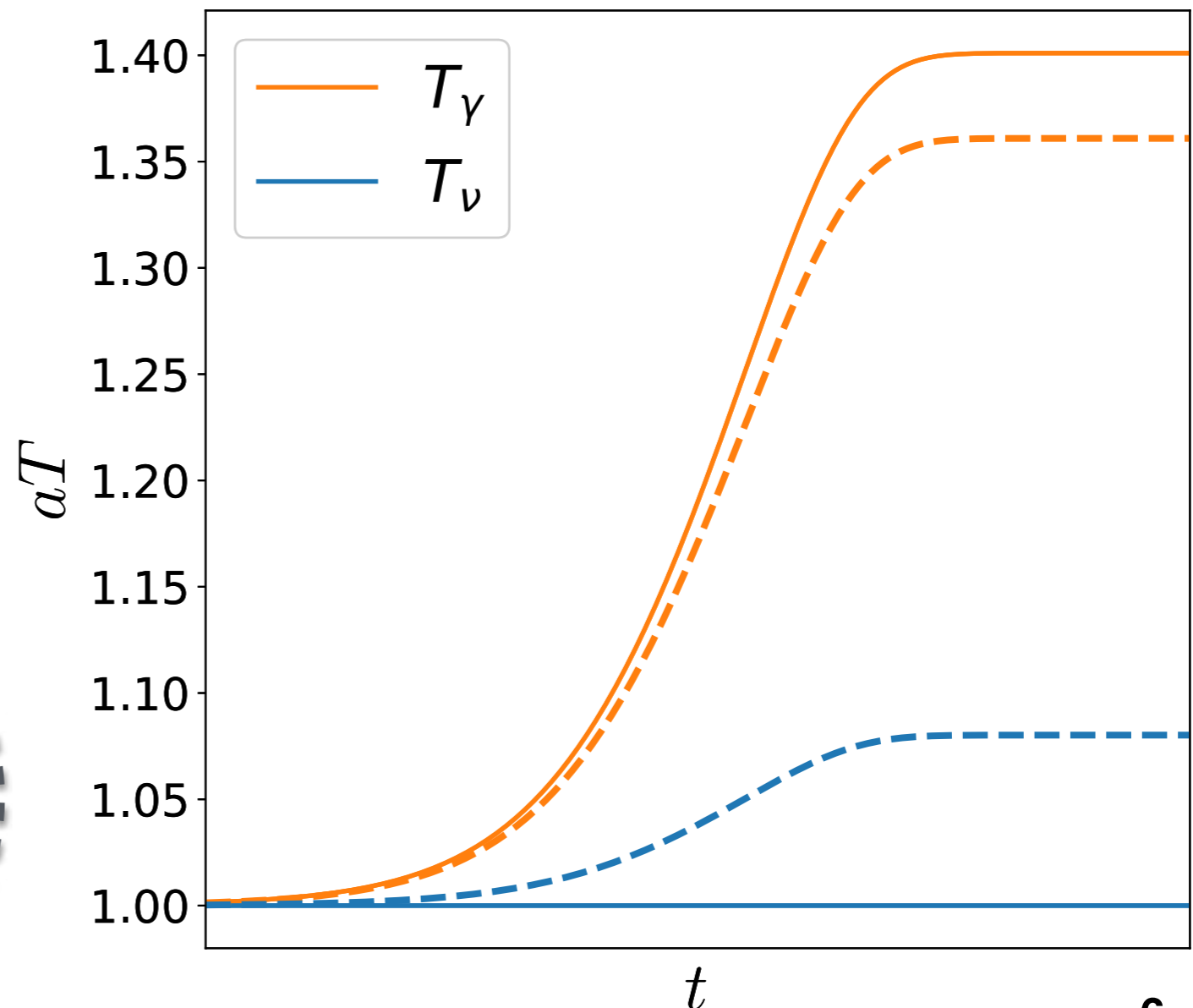
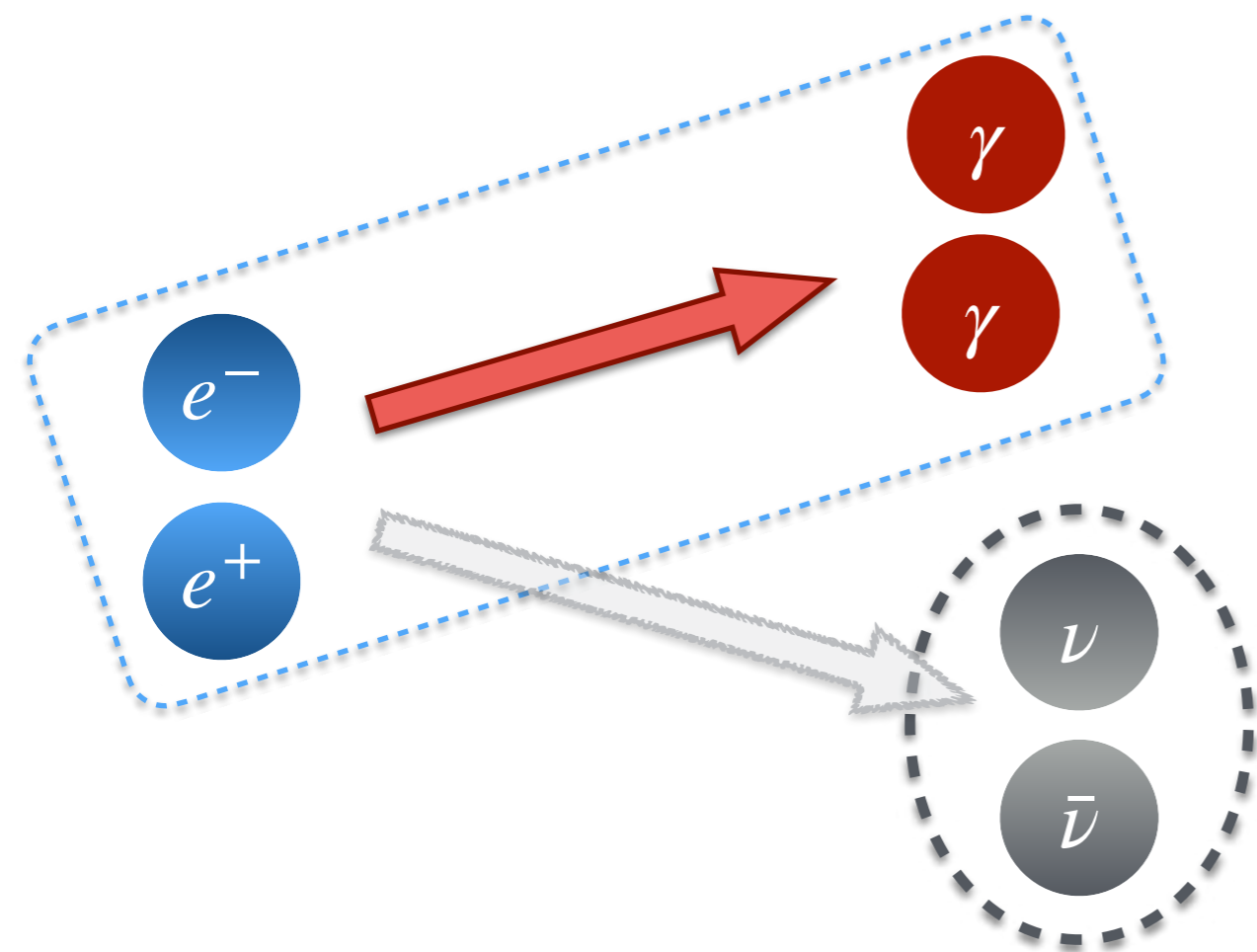
- Overlap between decoupling and  $e^\pm$  annihilations



# Beyond the instantaneous decoupling approximation

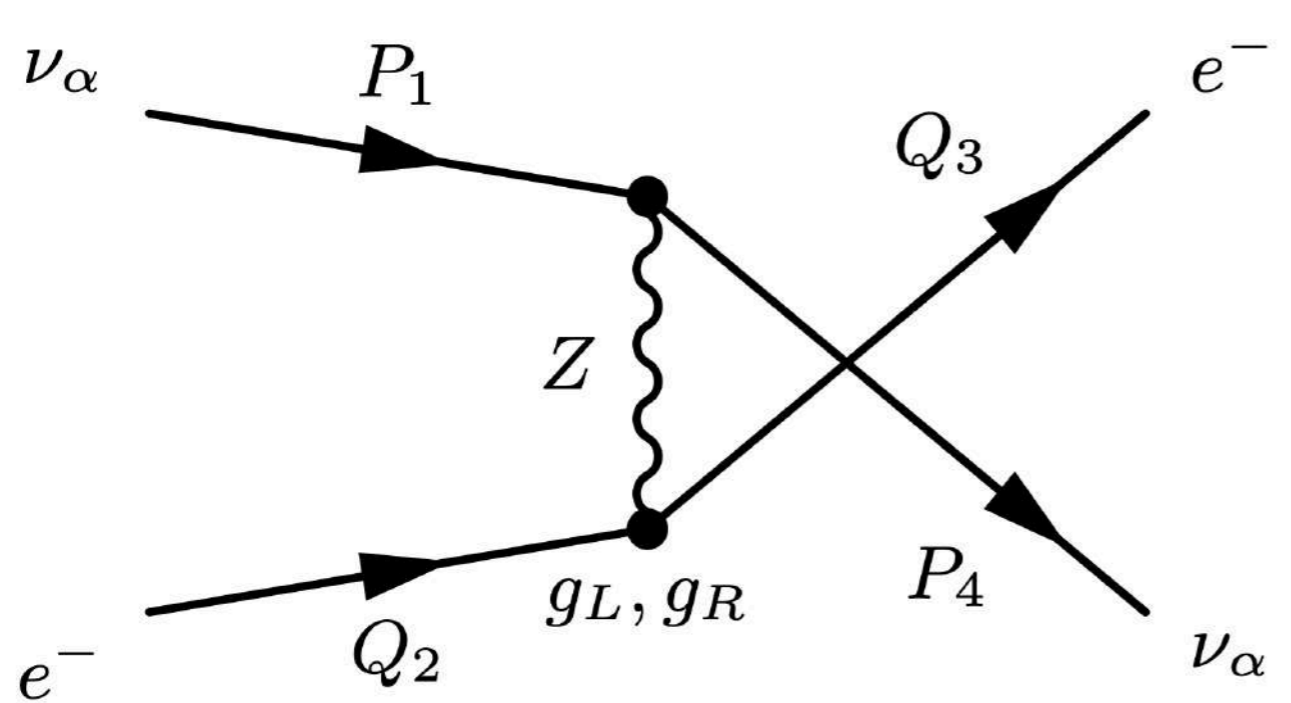
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$\implies$  smaller  $T_\gamma$  and increased  $T_\nu$

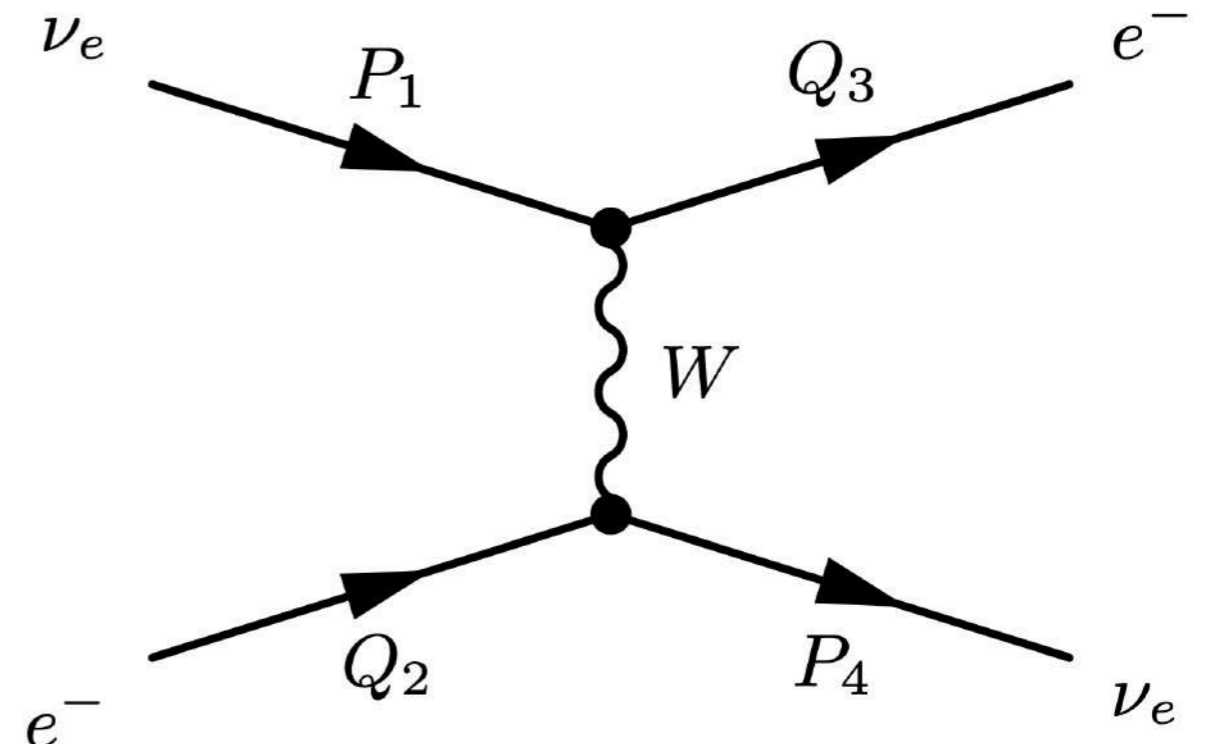


# Beyond the instantaneous decoupling approximation

- Overlap between decoupling and  $e^\pm$  annihilations  
 $\implies$  smaller  $T_\gamma$  and increased  $T_\nu$
- Different interactions of  $\nu_e$  and  $\nu_{\mu,\tau}$



Neutral current



Charged current

# Beyond the instantaneous decoupling approximation

---

- Overlap between decoupling and  $e^\pm$  annihilations  
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     $\implies$  spectral distortions

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 $\implies$  spectral distortions

We need to numerically evolve the distribution functions

$$f_{\nu_e}(p, t) \neq f_{\nu_{\mu,\tau}}(p, t) \neq f_{\text{Fermi-Dirac}}$$

# Neutrino decoupling - standard calculations

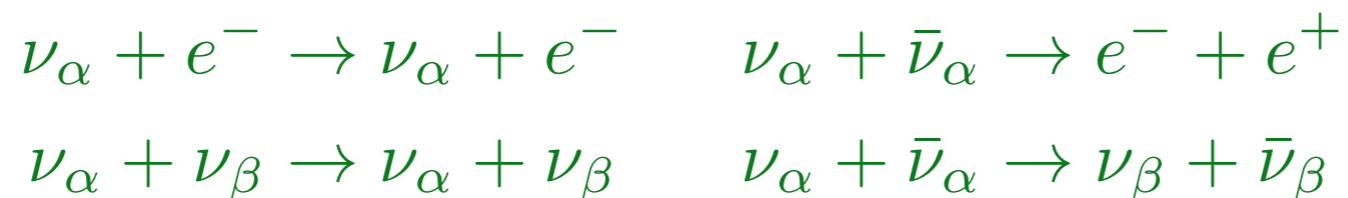
- Homogeneous and isotropic cosmology

$$ds^2 = -dt^2 + a^2(t) [dr^2 + r^2 d\Omega^2] \quad H \equiv \frac{\dot{a}}{a}$$

⇒ Distribution function  $f(\vec{r}, \vec{p}, t) = f(p, t)$

- Boltzmann equation + energy conservation equation

$$\left[ \frac{\partial}{\partial t} - Hp \frac{\partial}{\partial p} \right] f = \mathcal{C}[f] \quad \dot{\rho} + 3H(\rho + P) = 0$$



# Neutrino decoupling - standard calculations

- Use  $T_{\text{cm}} = T_{\nu}^{(0)} \propto a^{-1}$  as the integration variable.

- Parametrization  $f_{\nu_{\alpha}}(p, t) \equiv \frac{1}{e^{p/T_{\nu_{\alpha}}} + 1} [1 + \delta g_{\nu_{\alpha}}(p, t)]$

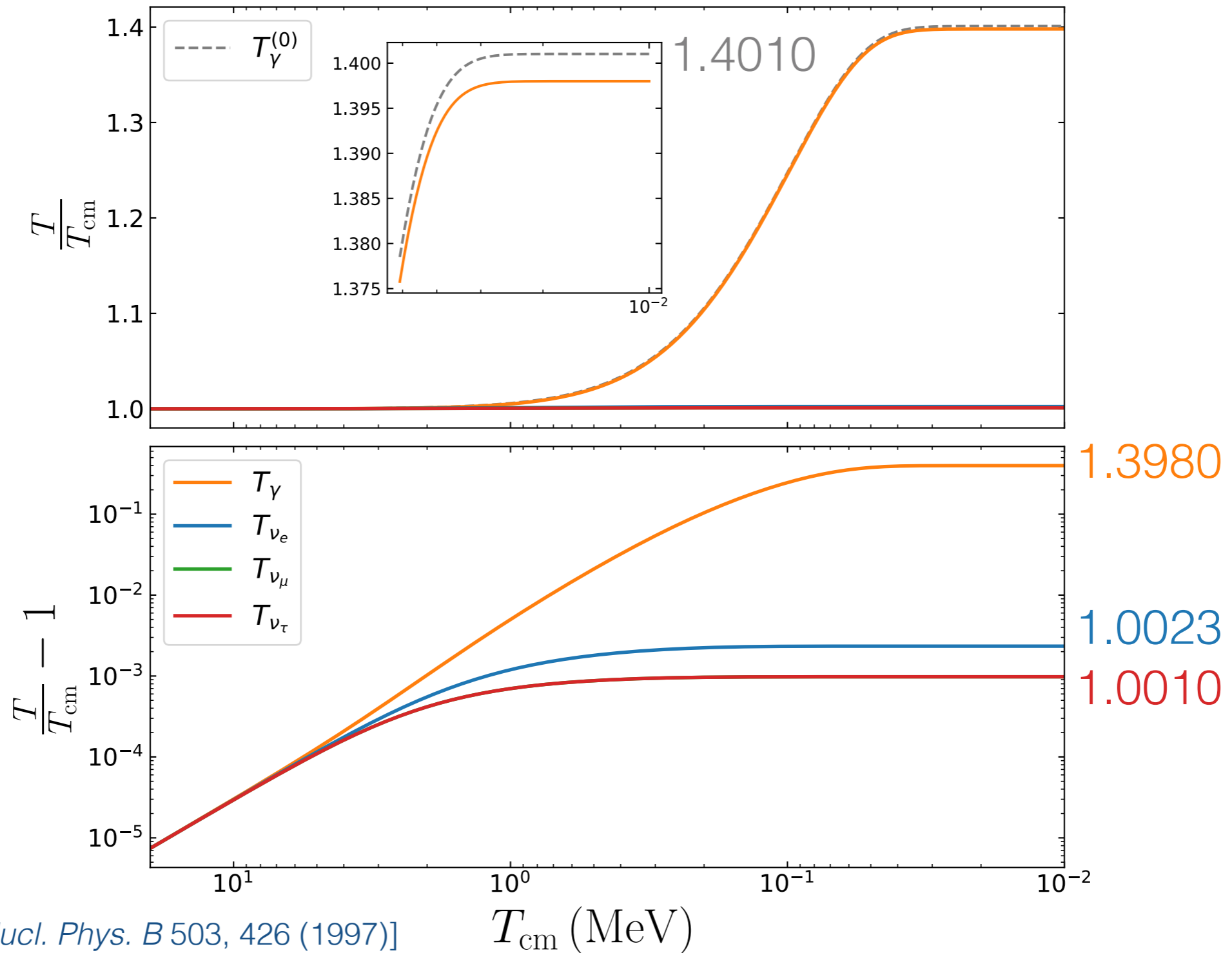
$$\rho_{\nu_{\alpha}} \equiv \frac{7}{8} \frac{\pi^2}{30} T_{\nu_{\alpha}}^4$$

- Initially ( $T_{\text{cm}}^{(\text{in})} = 20 \text{ MeV}$ ), all species are coupled

$$f_{\nu_{\alpha}}^{(\text{in})}(p, t) = \frac{1}{e^{p/T_{\gamma}^{(\text{in})}} + 1}$$

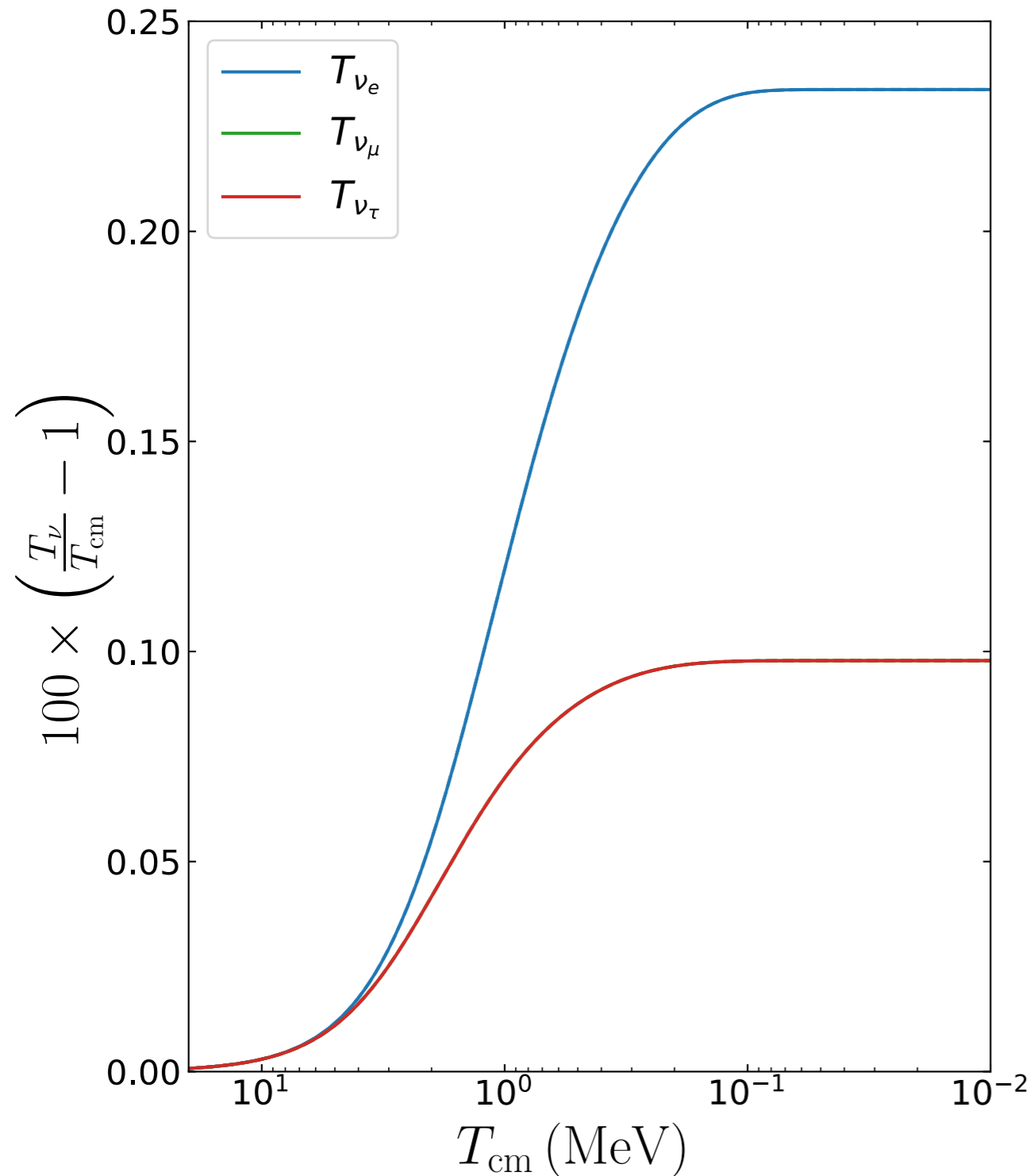


# Neutrino decoupling - standard calculations

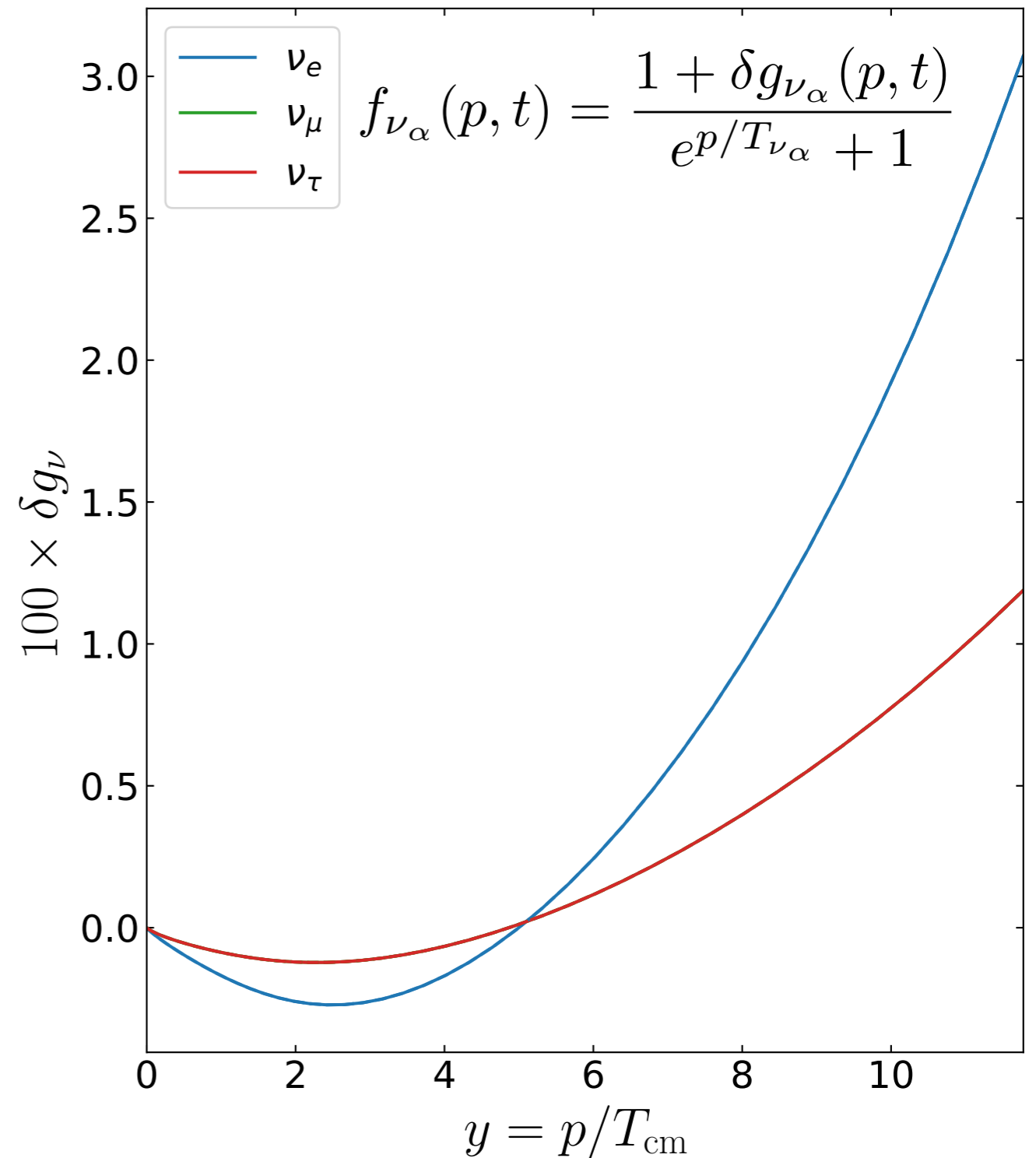


- [A. Dolgov et al., *Nucl. Phys. B* 503, 426 (1997)]
- [S. Esposito et al., *Nucl. Phys. B* 590, 539 (2000)]
- [G. Mangano et al., *Phys. Lett. B* 534, 8 (2002)]
- [E. Grohs et al., *Phys. Rev. D* 93, 083522 (2016)]
- [**JF**, C. Pitrou, *Phys. Rev. D* 101, 043524 (2020)]

# Neutrino decoupling - standard calculations



Effective temperatures

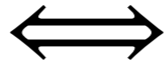


Effective distortions

# Effective number of neutrinos $N_{\text{eff}}$

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Increased energy  
density of neutrinos



$N_{\text{eff}} > 3$  species of neutrinos  
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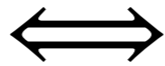
$$\rho_{\nu}^{(0)} = 2 \times \frac{7}{8} \times \frac{\pi^2}{30} \times 3 \times \left(\frac{4}{11}\right)^{4/3} T_{\gamma}^4$$

Instantaneous decoupling

$$\rho_{\gamma} = 2 \times \frac{\pi^2}{30} \times T_{\gamma}^4 \quad \Longrightarrow \quad \rho_{\nu}^{(0)} = \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \times 3 \times \rho_{\gamma}$$

# Effective number of neutrinos $N_{\text{eff}}$

Increased energy density of neutrinos



$N_{\text{eff}} > 3$  species of neutrinos that instantaneously decouple

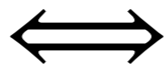
$$\rho_{\nu}^{(0)} = \underbrace{2}_{\text{neutrinos + antineutrinos}} \times \underbrace{\left(\frac{7}{8}\right)}_{\text{fermions}} \times \frac{\pi^2}{30} \times \underbrace{3}_{e, \mu, \tau} \times \underbrace{\left(\frac{4}{11}\right)^{4/3}}_{T_{\text{cm}}^4} T_{\gamma}^4$$

Instantaneous decoupling

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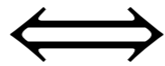
$$\rho_{\nu} = 2 \times \frac{7}{8} \times \frac{\pi^2}{30} \left( T_{\nu_e}^4 + T_{\nu_{\mu}}^4 + T_{\nu_{\tau}}^4 \right)$$

Incomplete decoupling

$$\implies \rho_{\nu} = \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \times N_{\text{eff}} \times \rho_{\gamma}$$

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Increased energy density of neutrinos



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Incomplete decoupling

$$N_{\text{eff}} \simeq 3.0434$$

Planck

$$N_{\text{eff}} = 2.99 \pm 0.17 \text{ (68\%)}$$

$$= \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \times N_{\text{eff}} \times \rho_{\gamma}$$

# Towards a precision calculation

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Physical phenomena to take into account:

- Boltzmann equation with collisions ✓
- Proper distributions (Fermi-Dirac) ✓
- Neutrino masses and mixings
- ...



# Towards a precision calculation

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Physical phenomena to take into account:

- Boltzmann equation with collisions ✓ ✗
- Proper distributions (Fermi-Dirac) ✓
- Neutrino masses and mixings ✗
- ...

Previous works:

- [G. Mangano et al., *Nucl. Phys. B* 729, 221 (2005)]
- [P.F. de Salas, S. Pastor, *JCAP* 07, 051 (2016)]
- [K. Akita, M. Yamaguchi, *JCAP* 08, 012 (2020)]

# Outline

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1. Neutrino evolution with mixing:  
Quantum Kinetic Equations
2. An approximation:  
Adiabatic Transfer of Averaged Oscillations
3. Results for neutrino decoupling

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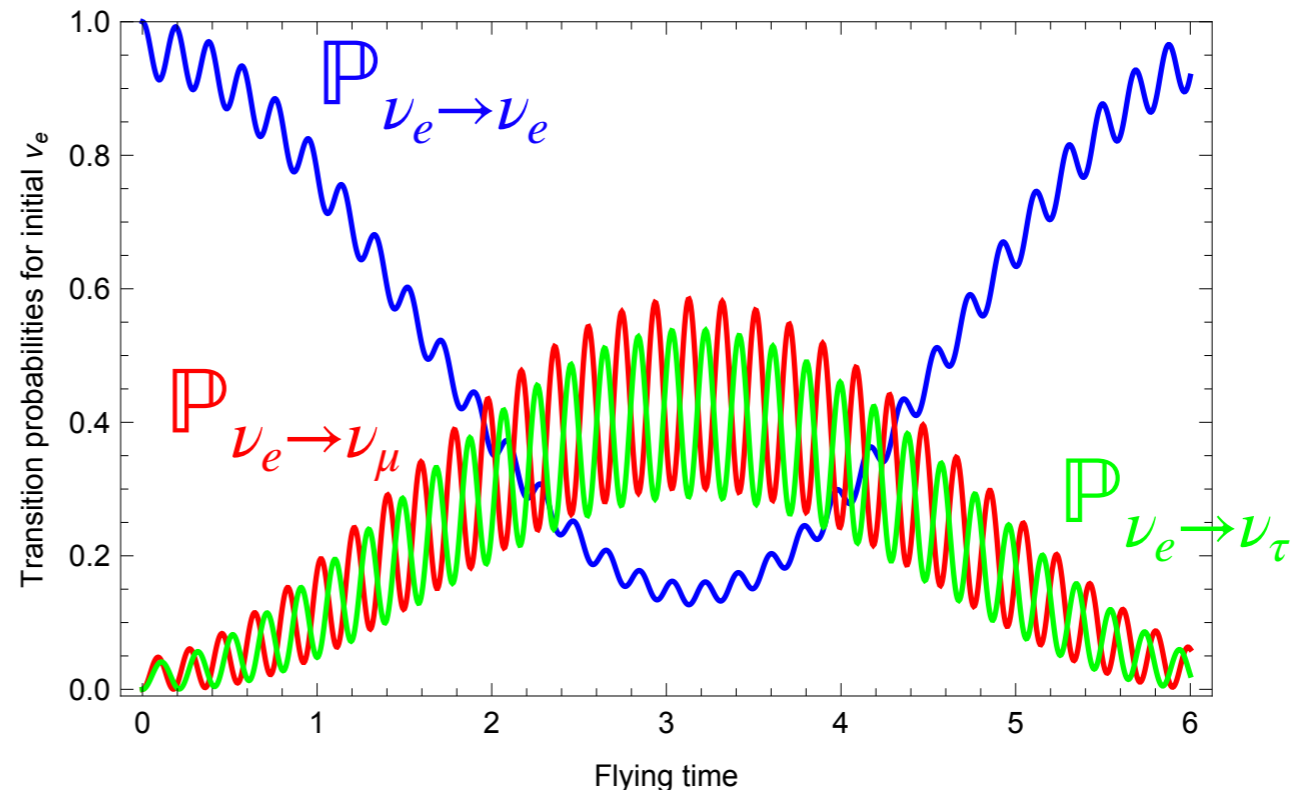
# Massive neutrinos (1)

- Standard model: 3 species of massless neutrinos  $\nu_L$
- Homestake experiment, Solar Neutrino Problem...  
→ massive neutrinos

Flavor states  $\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$  Mass states

PMNS mixing matrix

⇒ neutrino oscillations



# Massive neutrinos (2)

- Parametrization of the PMNS matrix (no CP violating phase)

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} \\ 0 & 1 & 0 \\ -\sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13} & c_{12}c_{23} - s_{12}s_{23}s_{13} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13} & -c_{12}s_{23} - s_{12}c_{23}s_{13} & c_{23}c_{13} \end{pmatrix}$$

- Mixing angles

$$\sin^2 \theta_{12} \simeq 0.307 \quad , \quad \sin^2 \theta_{23} \simeq 0.545 \quad , \quad \sin^2 \theta_{13} \simeq 0.0218$$

[Particle Data Group (2020)]

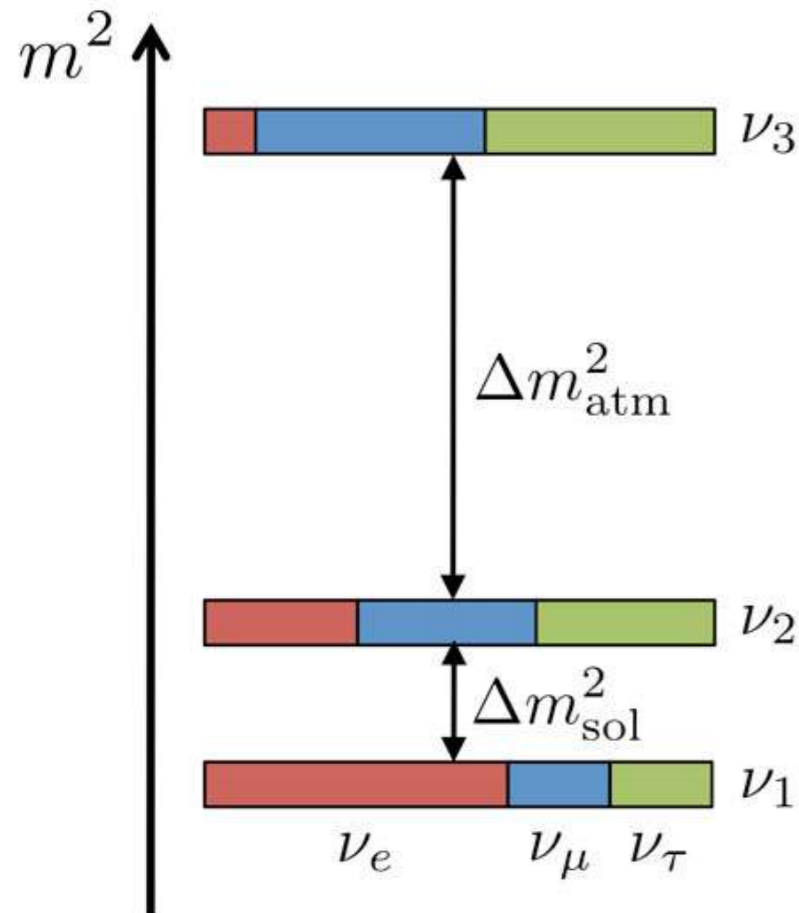
# Massive neutrinos (3)

- Neutrino mass hierarchy

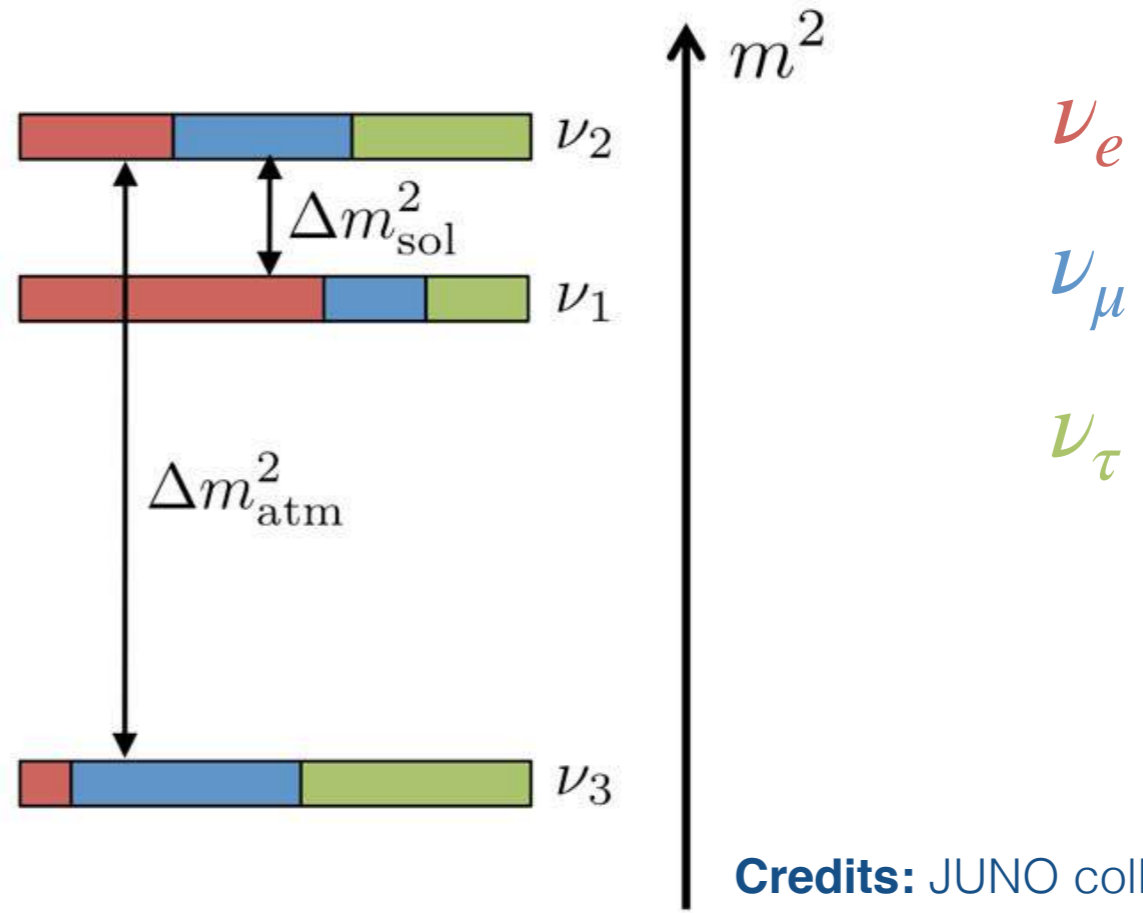
PLANCK

$$\sum m_\nu < 0.12 \text{ eV}$$

normal hierarchy (NH)



inverted hierarchy (IH)



Credits: JUNO collaboration

$$\Delta m_{21}^2 = m_{\nu_2}^2 - m_{\nu_1}^2 = 7.53 \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{32}^2 = m_{\nu_3}^2 - m_{\nu_2}^2 = \pm 2.45 \times 10^{-3} \text{ eV}^2$$

# Massive neutrinos (4)

- Flavor mixing  $\rightarrow$  the distribution functions  $f_{\nu_\alpha}$  are not sufficient to describe the neutrino ensemble

$$\begin{pmatrix} f_{\nu_e} & & \\ & f_{\nu_\mu} & \\ & & f_{\nu_\tau} \end{pmatrix} \longrightarrow \begin{pmatrix} \langle \hat{a}_{\nu_e}^\dagger \hat{a}_{\nu_e} \rangle & \langle \hat{a}_{\nu_\mu}^\dagger \hat{a}_{\nu_e} \rangle & \langle \hat{a}_{\nu_\tau}^\dagger \hat{a}_{\nu_e} \rangle \\ \langle \hat{a}_{\nu_e}^\dagger \hat{a}_{\nu_\mu} \rangle & \langle \hat{a}_{\nu_\mu}^\dagger \hat{a}_{\nu_\mu} \rangle & \langle \hat{a}_{\nu_\tau}^\dagger \hat{a}_{\nu_\mu} \rangle \\ \langle \hat{a}_{\nu_\tau}^\dagger \hat{a}_{\nu_e} \rangle & \langle \hat{a}_{\nu_\mu}^\dagger \hat{a}_{\nu_\tau} \rangle & \langle \hat{a}_{\nu_\tau}^\dagger \hat{a}_{\nu_\tau} \rangle \end{pmatrix}$$

$\implies$  Density matrix description

**Which evolution equation?**  $\rightarrow$  generalization of Boltzmann equation

# Extended BBGKY formalism

- Central object:  $s$ -body reduced density matrix

$$\varrho_{j_1 \dots j_s}^{i_1 \dots i_s} \equiv \langle \hat{a}_{j_s}^\dagger \dots \hat{a}_{j_1}^\dagger \hat{a}_{i_1} \dots \hat{a}_{i_s} \rangle$$

In particular, one-body density matrix  $\varrho_j^i \equiv \langle \hat{a}_j^\dagger \hat{a}_i \rangle$

$$\left( \varrho_{\phi_j(\vec{p}_j, h_j)}^{\phi_i(\vec{p}_i, h_i)} = \langle \hat{a}_{\phi_j}^\dagger(\vec{p}_j, h_j) \hat{a}_{\phi_i}(\vec{p}_i, h_i) \rangle \right) \quad \text{species, momentum, helicity}$$

- Hamiltonian (second quantization)

$$\hat{H} = \hat{H}_0 + \hat{H}_{\text{int}} = \sum_{i,j} t_j^i \hat{a}_i^\dagger \hat{a}_j + \frac{1}{4} \sum_{i,j,k,l} \tilde{v}_{jl}^{ik} \hat{a}_i^\dagger \hat{a}_k^\dagger \hat{a}_l \hat{a}_j$$

Kinetic term

Two-body interactions



# Extended BBGKY formalism

- BBGKY hierarchy

**Ehrenfest  
theorem**

$$i \frac{d\langle \hat{a}_j^\dagger \hat{a}_i \rangle}{dt} = \langle [\hat{a}_j^\dagger \hat{a}_i, \hat{H}] \rangle$$

$$\left\{ \begin{array}{l} i \frac{d\rho_j^i}{dt} = (t_k^i \rho_j^k - \rho_j^i t_j^k) + \frac{1}{2} (\tilde{v}_{ml}^{ik} \rho_{jk}^{ml} - \rho_{ml}^{ik} \tilde{v}_{jk}^{ml}) \\ i \frac{d\rho_{jl}^{ik}}{dt} = \left( t_r^i \rho_{jl}^{rk} + t_p^k \rho_{jl}^{ip} + \frac{1}{2} \tilde{v}_{rp}^{ik} \rho_{jl}^{rp} - \rho_{rl}^{ik} t_j^r - \rho_{jp}^{ik} t_l^p - \frac{1}{2} \rho_{rp}^{ik} \tilde{v}_{jl}^{rp} \right) \\ \quad + \frac{1}{2} \left( \tilde{v}_{rn}^{im} \rho_{jlm}^{rkn} + \tilde{v}_{pn}^{km} \rho_{jlm}^{ipn} - \rho_{rln}^{ikm} \tilde{v}_{jm}^{rn} - \rho_{jpn}^{ikm} \tilde{v}_{lm}^{pn} \right) \end{array} \right.$$

1-body density matrix

2-body density matrix

3-body density matrix

Need to truncate this hierarchy  $\implies$  Hartree-Fock (mean-field),...

# Extended BBGKY formalism

- Correlated and uncorrelated contributions

$$\rho_{jl}^{ik} \equiv 2\rho_{[j}^i \rho_{l]}^k + C_{jl}^{ik} \equiv \rho_j^i \rho_l^k - \rho_l^i \rho_j^k + C_{jl}^{ik}$$

$$i \frac{d\rho_j^i}{dt} = (t_k^i \rho_j^k - \rho_k^i t_j^k) + \frac{1}{2} (\tilde{v}_{ml}^{ik} \rho_{jk}^{ml} - \rho_{ml}^{ik} \tilde{v}_{jk}^{ml})$$

$$\implies i \frac{d\rho_j^i}{dt} = ([t_k^i + \Gamma_k^i] \rho_j^k - \rho_k^i [t_j^k + \Gamma_j^k]) + \frac{1}{2} (\tilde{v}_{ml}^{ik} C_{jk}^{ml} - C_{ml}^{ik} \tilde{v}_{jk}^{ml})$$

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$$\rho_{jl}^{ik} \equiv 2\rho_{[j}^i \rho_{l]}^k + C_{jl}^{ik} \equiv \rho_j^i \rho_l^k - \rho_l^i \rho_j^k + C_{jl}^{ik}$$

$$i \frac{d\rho_j^i}{dt} = (t_k^i \rho_j^k - \rho_k^i t_j^k) + \frac{1}{2} (\tilde{v}_{ml}^{ik} \rho_{jk}^{ml} - \rho_{ml}^{ik} \tilde{v}_{jk}^{ml})$$

$$\implies i \frac{d\rho_j^i}{dt} = ([t_k^i + \Gamma_k^i] \rho_j^k - \rho_k^i [t_j^k + \Gamma_j^k]) + \frac{1}{2} (\tilde{v}_{ml}^{ik} C_{jk}^{ml} - C_{ml}^{ik} \tilde{v}_{jk}^{ml})$$

Mean-field potential

$$\Gamma_j^i = \sum_{k,l} \tilde{v}_{jl}^{ik} \rho_k^l$$

# Extended BBGKY formalism

- Correlated and uncorrelated contributions

$$\rho_{jl}^{ik} \equiv 2\rho_{[j}^i \rho_{l]}^k + C_{jl}^{ik} \equiv \rho_j^i \rho_l^k - \rho_l^i \rho_j^k + \text{correlation}$$

$$i \frac{d\rho_j^i}{dt} = (t_k^i \rho_j^k - \rho_k^i t_j^k) + \frac{1}{2} (\tilde{v}_{ml}^{ik} \rho_{jk}^{ml} - \rho_{ml}^{ik} \tilde{v}_{jk}^{ml})$$

$$\implies i \frac{d\rho_j^i}{dt} = ([t_k^i + \Gamma_k^i] \rho_j^k - \rho_k^i [t_j^k + \Gamma_j^k]) + \frac{1}{2} (\tilde{v}_{ml}^{ik} \rho_{jk}^{ml} - \rho_{ml}^{ik} \tilde{v}_{jk}^{ml})$$

Mean-field potential

$$\Gamma_j^i = \sum_{k,l} \tilde{v}_{jl}^{ik} \rho_k^l$$

- Simplest closure: **Hartree-Fock** (or *mean-field*) approximation but need to account for correlations due to two-body collisions...

# Extended BBGKY formalism

- *Molecular chaos* assumption = correlations are built from collisions between uncorrelated particles

$$i \frac{dC_{jl}^{ik}}{dt} = \left[ t_r^i C_{jl}^{rk} + t_p^k C_{jl}^{ip} - C_{rl}^{ik} t_j^r - C_{jp}^{ik} t_l^p \right] \\ + (\hat{1} - \varrho)_r^i (\hat{1} - \varrho)_p^k \tilde{v}_{sq}^{rp} \varrho_j^s \varrho_l^q - \varrho_r^i \varrho_p^k \tilde{v}_{sq}^{rp} (\hat{1} - \varrho)_j^s (\hat{1} - \varrho)_l^q$$

Pauli-blocking factors

# Extended BBGKY formalism

- *Molecular chaos* assumption = correlations are built from collisions between uncorrelated particles

$$i \frac{dC_{jl}^{ik}}{dt} = \left[ t_r^i C_{jl}^{rk} + t_p^k C_{jl}^{ip} - C_{rl}^{ik} t_j^r - C_{jp}^{ik} t_l^p \right] \\ + (\hat{1} - \varrho)_r^i (\hat{1} - \varrho)_p^k \tilde{v}_{sq}^{rp} \varrho_j^s \varrho_l^q - \varrho_r^i \varrho_p^k \tilde{v}_{sq}^{rp} (\hat{1} - \varrho)_j^s (\hat{1} - \varrho)_l^q$$

Pauli-blocking factors

$$C_{jl}^{ik}(t) = \int_0^t (\dots) \rightarrow \frac{1}{2} \int_{-\infty}^{+\infty} (\dots)$$

Duration of one collision  $\ll$  Time scale of evolution of  $\varrho$

# Extended BBGKY formalism

- *Molecular chaos* assumption = correlations are built from collisions between uncorrelated particles

$$i \frac{dC_{jl}^{ik}}{dt} = \left[ t_r^i C_{jl}^{rk} + t_p^k C_{jl}^{ip} - C_{rl}^{ik} t_j^r - C_{jp}^{ik} t_l^p \right] + (\hat{1} - \varrho)_r^i (\hat{1} - \varrho)_p^k \tilde{v}_{sq}^{rp} \varrho_j^s \varrho_l^q - \varrho_r^i \varrho_p^k \tilde{v}_{sq}^{rp} (\hat{1} - \varrho)_j^s (\hat{1} - \varrho)_l^q$$

Pauli-blocking factors

$$C_{jl}^{ik}(t) = \int_0^t (\dots) \rightarrow \frac{1}{2} \int_{-\infty}^{+\infty} (\dots)$$

Duration of one collision  $\ll$  Time scale of evolution of  $\varrho$

- Evolution equation

$$i \frac{d\varrho_j^i}{dt} = \left( [t_k^i + \Gamma_k^i] \varrho_j^k - \varrho_k^i [t_j^k + \Gamma_j^k] \right) + \frac{1}{2} \left( \tilde{v}_{ml}^{ik} C_{jk}^{ml} - C_{ml}^{ik} \tilde{v}_{jk}^{ml} \right) = \left[ \hat{t} + \hat{\Gamma}, \hat{\varrho} \right]_j^i + i \hat{C}_j^i$$

# Quantum Kinetic Equation for neutrinos

$$i \frac{d\rho_j^i}{dt} = \left[ \hat{t} + \hat{\Gamma}, \hat{\rho} \right]_j^i + i \hat{C}_j^i$$

$$\begin{aligned} C_{i_1'}^{i_1} = & \frac{1}{4} \left( \tilde{v}_{i_3 i_4}^{i_1 i_2} \rho_{j_3}^{i_3} \rho_{j_4}^{i_4} \tilde{v}_{j_1 j_2}^{j_3 j_4} (\hat{1} - \rho)_{i_1'}^{j_1} (\hat{1} - \rho)_{i_2}^{j_2} - \tilde{v}_{i_3 i_4}^{i_1 i_2} (\hat{1} - \rho)_{j_3}^{i_3} (\hat{1} - \rho)_{j_4}^{i_4} \tilde{v}_{j_1 j_2}^{j_3 j_4} \rho_{i_1'}^{j_1} \rho_{i_2}^{j_2} \right. \\ & \left. + (\hat{1} - \rho)_{j_1}^{i_1} (\hat{1} - \rho)_{j_2}^{i_2} \tilde{v}_{j_3 j_4}^{j_1 j_2} \rho_{i_3}^{j_3} \rho_{i_4}^{j_4} \tilde{v}_{i_1' i_2}^{i_3 i_4} - \rho_{j_1}^{i_1} \rho_{j_2}^{i_2} \tilde{v}_{j_3 j_4}^{j_1 j_2} (\hat{1} - \rho)_{i_3}^{j_3} (\hat{1} - \rho)_{i_4}^{j_4} \tilde{v}_{i_1' i_2}^{i_3 i_4} \right) \end{aligned}$$



# Quantum Kinetic Equation for neutrinos

$$i \frac{d\rho_j^i}{dt} = \left[ \hat{t} + \hat{\Gamma}, \hat{\rho} \right]_j^i + i \hat{C}_j^i$$

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Gain
Loss

# Quantum Kinetic Equation for neutrinos

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Gain
Loss

- Neutrinos in the early universe (homogeneous, isotropic)

$$\langle \hat{a}_{\nu_\beta}^\dagger(\vec{p}', h') \hat{a}_{\nu_\alpha}(\vec{p}, h) \rangle = (2\pi)^3 2E_p \delta^{(3)}(\vec{p} - \vec{p}') \delta_{hh'} \rho_\beta^\alpha(p, t) \delta_{h-}$$

$$\langle \hat{b}_{\nu_\alpha}^\dagger(\vec{p}, h) \hat{b}_{\nu_\beta}(\vec{p}', h') \rangle = (2\pi)^3 2E_p \delta^{(3)}(\vec{p} - \vec{p}') \delta_{hh'} \bar{\rho}_\beta^\alpha(p, t) \delta_{h+}$$

# Quantum Kinetic Equation for neutrinos

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$$\mathcal{C}_{i_1'}^{i_1} = \frac{1}{4} \left( \tilde{v}_{i_3 i_4}^{i_1 i_2} \rho_{j_3}^{i_3} \rho_{j_4}^{i_4} \tilde{v}_{j_1 j_2}^{j_3 j_4} (\hat{1} - \rho)_{i_1'}^{j_1} (\hat{1} - \rho)_{i_2}^{j_2} - \tilde{v}_{i_3 i_4}^{i_1 i_2} (\hat{1} - \rho)_{j_3}^{i_3} (\hat{1} - \rho)_{j_4}^{i_4} \tilde{v}_{j_1 j_2}^{j_3 j_4} \rho_{i_1'}^{j_1} \rho_{i_2}^{j_2} \right. \\ \left. + (\hat{1} - \rho)_{j_1}^{i_1} (\hat{1} - \rho)_{j_2}^{i_2} \tilde{v}_{j_3 j_4}^{j_1 j_2} \rho_{i_3}^{j_3} \rho_{i_4}^{j_4} \tilde{v}_{i_1' i_2}^{i_3 i_4} - \rho_{j_1}^{i_1} \rho_{j_2}^{i_2} \tilde{v}_{j_3 j_4}^{j_1 j_2} (\hat{1} - \rho)_{i_3}^{j_3} (\hat{1} - \rho)_{i_4}^{j_4} \tilde{v}_{i_1' i_2}^{i_3 i_4} \right)$$

**Gain** **Loss**

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$$\begin{pmatrix} \rho_e^e & \rho_\mu^e & \rho_\tau^e \\ \rho_e^\mu & \rho_\mu^\mu & \rho_\tau^\mu \\ \rho_e^\tau & \rho_\mu^\tau & \rho_\tau^\tau \end{pmatrix} = \begin{pmatrix} f_{\nu_e} & \rho_\mu^e & \rho_\tau^e \\ \rho_e^\mu & f_{\nu_\mu} & \rho_\tau^\mu \\ \rho_e^\tau & \rho_\mu^\tau & f_{\nu_\tau} \end{pmatrix}$$

# Quantum Kinetic Equation for neutrinos

- Example of interaction matrix element ( $\nu - e^-$  scattering)

$$\tilde{v}_{\nu\beta(3)e(4)}^{\nu\alpha(1)e(2)} = 2\sqrt{2}G_F (2\pi)^3 \delta^{(3)}(\vec{p}_1 + \vec{p}_2 - \vec{p}_3 - \vec{p}_4) \\ \times [\bar{u}_{\nu\alpha}^{h_1}(\vec{p}_1)\gamma^\mu P_L u_{\nu\beta}^{h_3}(\vec{p}_3)] [\bar{u}_e^{h_2}(\vec{p}_2)\gamma_\mu (G_L^{\alpha\beta} P_L + G_R^{\alpha\beta} P_R) u_e^{h_4}(\vec{p}_4)]$$

$$G^L = \begin{pmatrix} g_L + 1 & 0 & 0 \\ 0 & g_L & 0 \\ 0 & 0 & g_L \end{pmatrix} \quad G^R = \begin{pmatrix} g_R & 0 & 0 \\ 0 & g_R & 0 \\ 0 & 0 & g_R \end{pmatrix} \quad \begin{aligned} g_L &= -\frac{1}{2} + \sin^2 \theta_W \\ g_R &= \sin^2 \theta_W \end{aligned}$$

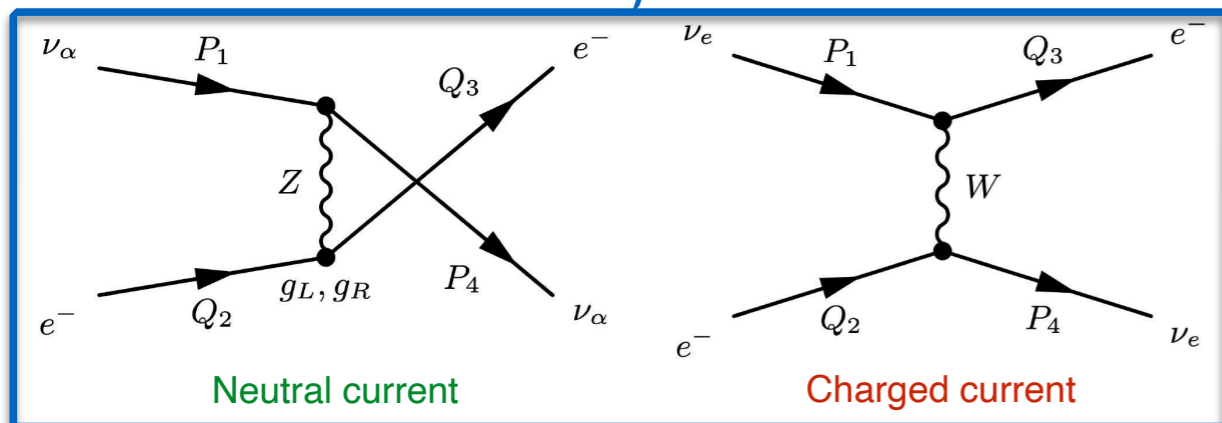
# Quantum Kinetic Equation for neutrinos

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$$g_L = -\frac{1}{2} + \sin^2 \theta_W \\ g_R = \sin^2 \theta_W$$



# Quantum Kinetic Equations

$$i \left[ \frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right] \varrho(p, t) = \left[ U \frac{M^2}{2p} U^\dagger, \varrho \right] - 2\sqrt{2} G_F p \left[ \frac{\mathbb{E}_e + \mathbb{P}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$

Vacuum
Mean-field
Collisions

$$\sqrt{p^2 + m_{\nu_i}^2} \simeq p + \frac{m_{\nu_i}^2}{2p}$$

$$\mathbb{E}_e + \mathbb{P}_e = \begin{pmatrix} \rho_e + P_e & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Reminder:

$$\varrho = \begin{pmatrix} \varrho_e^e & \varrho_\mu^e & \varrho_\tau^e \\ \varrho_e^\mu & \varrho_\mu^\mu & \varrho_\tau^\mu \\ \varrho_e^\tau & \varrho_\mu^\tau & \varrho_\tau^\tau \end{pmatrix} = \begin{pmatrix} f_{\nu_e} & \varrho_\mu^e & \varrho_\tau^e \\ \varrho_e^\mu & f_{\nu_\mu} & \varrho_\tau^\mu \\ \varrho_e^\tau & \varrho_\mu^\tau & f_{\nu_\tau} \end{pmatrix}$$

[G. Sigl, G. Raffelt, *Nucl. Phys. B* 406, 423 (1993)]

[C. Volpe et al., *Phys. Rev. D* 87, 113010 (2013)]

[D. Blaschke, V. Cirigliano, *Phys. Rev. D* 94, 033009 (2016)]

[**JF**, C. Pitrou, M.C. Volpe, 2008.01074]

# Quantum Kinetic Equations

$$i \left[ \frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right] \varrho(p, t) = \left[ U \frac{\mathbb{M}^2}{2p} U^\dagger, \varrho \right] - 2\sqrt{2} G_F p \left[ \frac{\mathbb{E}_e + \mathbb{P}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$

Vacuum
Mean-field
Collisions

$$\mathcal{C} = \mathcal{C}[\nu e^- \rightarrow \nu e^-] + \mathcal{C}[\nu e^+ \rightarrow \nu e^+] + \mathcal{C}[\nu \bar{\nu} \rightarrow e^- e^+] + \mathcal{C}[\nu \nu]$$

$$\begin{aligned} \mathcal{C}[\nu e^- \rightarrow \nu e^-] = & \frac{1}{2} \frac{2^5 G_F^2}{2E_1} \int \frac{d^3 \vec{p}_2}{(2\pi)^3 2E_2} \frac{d^3 \vec{p}_3}{(2\pi)^3 2E_3} \frac{d^3 \vec{p}_4}{(2\pi)^3 2E_4} \\ & \times (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) \\ & \times \left[ 4(p_1 \cdot p_2)(p_3 \cdot p_4) F_{sc}^{LL}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \right. \\ & + 4(p_1 \cdot p_4)(p_2 \cdot p_3) F_{sc}^{RR}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \\ & \left. - 2(p_1 \cdot p_3) m_e^2 \left( F_{sc}^{LR}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) + F_{sc}^{RL}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \right) \right] \end{aligned}$$

# Quantum Kinetic Equations

$$i \left[ \frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right] \varrho(p, t) = \left[ U \frac{\mathbb{M}^2}{2p} U^\dagger, \varrho \right] - 2\sqrt{2} G_F p \left[ \frac{\mathbb{E}_e + \mathbb{P}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$

Vacuum
Mean-field
Collisions

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## Statistical factor

$$F_{sc}^{AB}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) = f_4(1 - f_2) [G^A \varrho_3 G^B (1 - \varrho_1)] - (1 - f_4) f_2 [G^A (1 - \varrho_3) G^B \varrho_1] + \text{h.c.}$$

“gain”
“loss”



# Quantum Kinetic Equations

$$i \left[ \frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right] \varrho(p, t) = \left[ U \frac{\mathbb{M}^2}{2p} U^\dagger, \varrho \right] - 2\sqrt{2} G_F p \left[ \frac{\mathbb{E}_e + \mathbb{P}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$

Vacuum
Mean-field
Collisions

$$\mathcal{C} = \mathcal{C}[\nu e^- \rightarrow \nu e^-] + \mathcal{C}[\nu e^+ \rightarrow \nu e^+] + \mathcal{C}[\nu \bar{\nu} \rightarrow e^- e^+] + \mathcal{C}[\nu \nu]$$

$$\begin{aligned} \mathcal{C}[\nu e^- \rightarrow \nu e^-] = & \frac{1}{2} \frac{2^5 G_F^2}{2E_1} \int \frac{d^3 \vec{p}_2}{(2\pi)^3 2E_2} \frac{d^3 \vec{p}_3}{(2\pi)^3 2E_3} \frac{d^3 \vec{p}_4}{(2\pi)^3 2E_4} \\ & \times (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) \\ & \times \left[ 4(p_1 \cdot p_2)(p_3 \cdot p_4) F_{sc}^{LL}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \right. \\ & + 4(p_1 \cdot p_4)(p_2 \cdot p_3) F_{sc}^{RR}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \\ & \left. - 2(p_1 \cdot p_3) m_e^2 \left( F_{sc}^{LR}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) + F_{sc}^{RL}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) \right) \right] \end{aligned}$$

## Statistical factor

$$F_{sc}^{AB}(\nu^{(1)} + e^{(2)} \rightarrow \nu^{(3)} + e^{(4)}) = \underbrace{f_4(1 - f_2)}_{\text{"gain"}} [G^A \varrho_3 G^B (1 - \varrho_1)] - \underbrace{(1 - f_4)f_2}_{\text{"loss"}, \text{Pauli-blocking}} [G^A (1 - \varrho_3) G^B \varrho_1] + \text{h.c.}$$

# Quantum Kinetic Equations

(Anti)neutrino self-interactions

$$\begin{aligned} \mathcal{C}^{[\nu\nu]} = & \frac{1}{2} \frac{2^5 G_F^2}{2E_1} \int \frac{d^3\vec{p}_2}{(2\pi)^3 2E_2} \frac{d^3\vec{p}_3}{(2\pi)^3 2E_3} \frac{d^3\vec{p}_4}{(2\pi)^3 2E_4} \\ & \times (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) \times \left[ (p_1 \cdot p_2)(p_3 \cdot p_4) F_{\text{sc}}(\nu^{(1)} + \nu^{(2)} \rightarrow \nu^{(3)} + \nu^{(4)}) \right. \\ & \left. + (p_1 \cdot p_4)(p_2 \cdot p_3) \left( F_{\text{sc}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) + F_{\text{ann}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) \right) \right] \end{aligned}$$

$$F_{\text{sc}}(\nu^{(1)} + \nu^{(2)} \rightarrow \nu^{(3)} + \nu^{(4)}) = [\varrho_4(1 - \varrho_2) + \text{Tr}(\dots)] \varrho_3(1 - \varrho_1) - [(1 - \varrho_4)\varrho_2 + \text{Tr}(\dots)] (1 - \varrho_3)\varrho_1 + \text{h.c.}$$

$$F_{\text{sc}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) = [(1 - \bar{\varrho}_2)\bar{\varrho}_4 + \text{Tr}(\dots)] \varrho_3(1 - \varrho_1) - [\bar{\varrho}_2(1 - \bar{\varrho}_4) + \text{Tr}(\dots)] (1 - \varrho_3)\varrho_1 + \text{h.c.}$$

$$F_{\text{ann}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) = [\varrho_3\bar{\varrho}_4 + \text{Tr}(\dots)] (1 - \bar{\varrho}_2)(1 - \varrho_1) - [(1 - \varrho_3)(1 - \bar{\varrho}_4) + \text{Tr}(\dots)] \bar{\varrho}_2\varrho_1 + \text{h.c.}$$

# Quantum Kinetic Equations

(Anti)neutrino self-interactions

$$\begin{aligned}
 \mathcal{C}^{[\nu\nu]} = & \frac{1}{2} \frac{2^5 G_F^2}{2E_1} \int \frac{d^3\vec{p}_2}{(2\pi)^3 2E_2} \frac{d^3\vec{p}_3}{(2\pi)^3 2E_3} \frac{d^3\vec{p}_4}{(2\pi)^3 2E_4} \\
 & \times (2\pi)^4 \delta^{(4)}(p_1 + p_2 - p_3 - p_4) \times \left[ (p_1 \cdot p_2)(p_3 \cdot p_4) F_{\text{sc}}(\nu^{(1)} + \nu^{(2)} \rightarrow \nu^{(3)} + \nu^{(4)}) \right. \\
 & \left. + (p_1 \cdot p_4)(p_2 \cdot p_3) \left( F_{\text{sc}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) + F_{\text{ann}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) \right) \right]
 \end{aligned}$$

$$F_{\text{sc}}(\nu^{(1)} + \nu^{(2)} \rightarrow \nu^{(3)} + \nu^{(4)}) = [\varrho_4(1 - \varrho_2) + \text{Tr}(\dots)] \varrho_3(1 - \varrho_1) - [(1 - \varrho_4)\varrho_2 + \text{Tr}(\dots)] (1 - \varrho_3)\varrho_1 + \text{h.c.}$$

$$F_{\text{sc}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) = [(1 - \bar{\varrho}_2)\bar{\varrho}_4 + \text{Tr}(\dots)] \varrho_3(1 - \varrho_1) - [\bar{\varrho}_2(1 - \bar{\varrho}_4) + \text{Tr}(\dots)] (1 - \varrho_3)\varrho_1 + \text{h.c.}$$

$$F_{\text{ann}}(\nu^{(1)} + \bar{\nu}^{(2)} \rightarrow \nu^{(3)} + \bar{\nu}^{(4)}) = [\varrho_3\bar{\varrho}_4 + \text{Tr}(\dots)] (1 - \bar{\varrho}_2)(1 - \varrho_1) - [(1 - \varrho_3)(1 - \bar{\varrho}_4) + \text{Tr}(\dots)] \bar{\varrho}_2\varrho_1 + \text{h.c.}$$

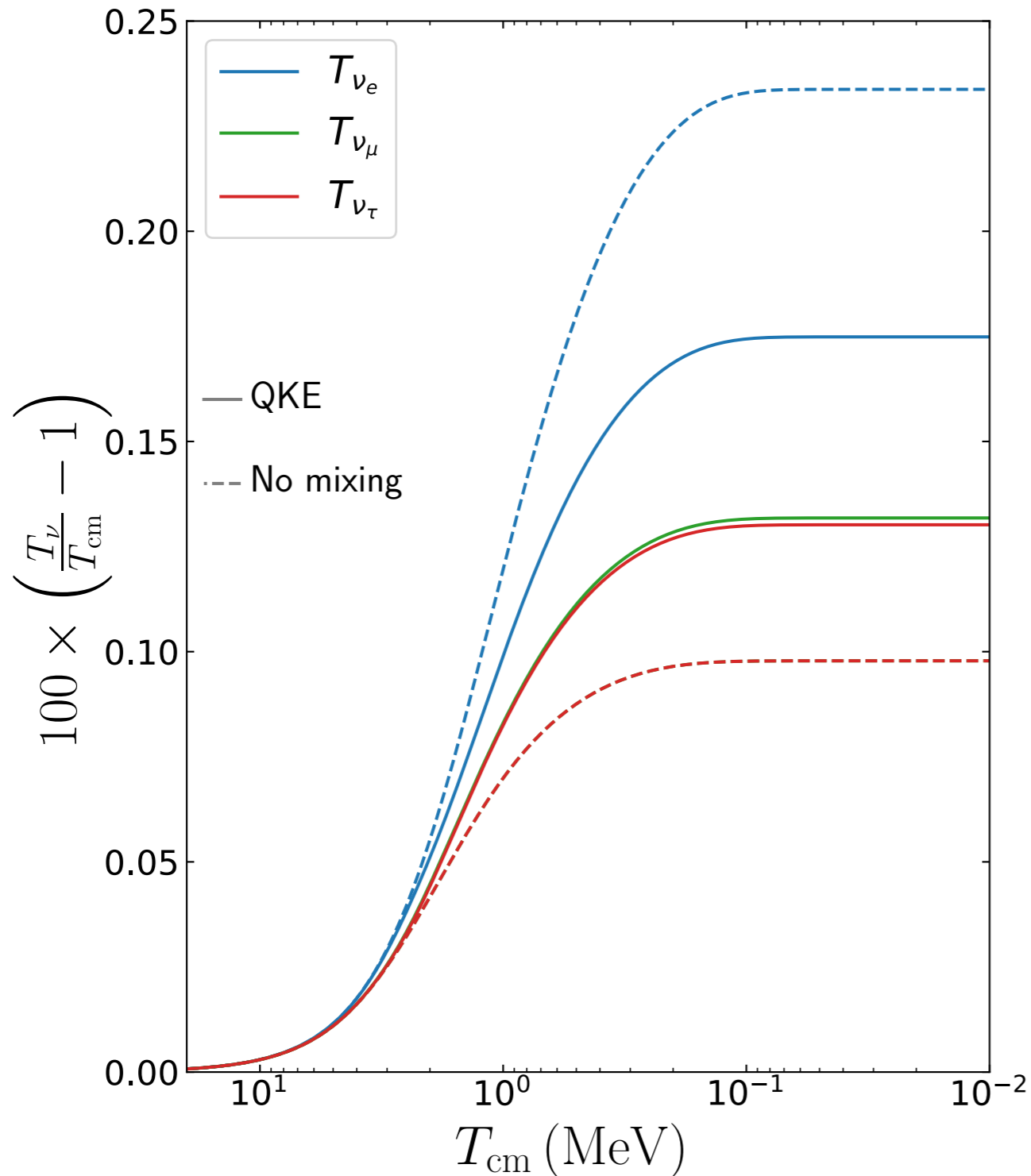
9 dimensions  $\longrightarrow$  5 dimensions  $\longrightarrow$  2 dimensions

# Outline

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1. Neutrino evolution with mixing:  
Quantum Kinetic Equations
2. An approximation:  
Adiabatic Transfer of Averaged Oscillations
3. Results for neutrino decoupling

# Approximation scheme for neutrino oscillations



“No visible oscillations”

⇒ averaged oscillations?

⇒ approximate scheme?

# Approximation scheme for neutrino oscillations

- For simplicity, discard (for now) the mean-field term + two-neutrino mixing

$$\frac{d\rho}{dt} = -i \left[ U \frac{M^2}{2p} U^\dagger, \rho \right] + \mathcal{C} \quad \Longleftrightarrow \quad \begin{aligned} \frac{d\rho_m}{dt} &= -i \left[ \frac{M^2}{2p}, \rho_m \right] + U^\dagger \mathcal{C} U \\ \rho_m &\equiv U^\dagger \rho U \end{aligned}$$

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$$\rho_m \equiv U^\dagger \rho U$$

$$\rho_m = \begin{pmatrix} f_1 & a e^{i \frac{\Delta m^2}{2p} t} \\ a e^{-i \frac{\Delta m^2}{2p} t} & f_2 \end{pmatrix}$$

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Schematically,

$$\rho_m = \begin{pmatrix} \text{---} & \text{Oscillating} \\ \text{Oscillating} & \text{---} \end{pmatrix}$$

Localized neutrino injection  
 $(U^\dagger \mathcal{C} U \sim K \times \delta(0))$



# Approximation scheme for neutrino oscillations

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Random neutrino injection

# Approximation scheme for neutrino oscillations

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Schematically,

$$\rho_m = \begin{pmatrix} \text{---} + \text{---} + \text{---} & \text{Oscillating} + \approx 0 + \text{Oscillating} \\ \text{Oscillating} + \approx 0 + \text{Oscillating} & \text{---} + \text{---} + \text{---} \end{pmatrix}$$

Random neutrino injection

# Approximation scheme for neutrino oscillations

- Generalization of the previous argument
  - ◆ Expansion
  - ◆ 3-neutrino mixing
  - ◆ Mean-field term

$$i \left[ \frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right] \varrho(p, t) = \left[ U \frac{M^2}{2p} U^\dagger, \varrho \right] - 2\sqrt{2} G_F p \left[ \frac{\mathbb{E}_e + \mathbb{P}_e}{m_W^2}, \varrho \right] + i \mathcal{C}[\varrho, \bar{\varrho}]$$

# Approximation scheme for neutrino oscillations

- Generalization of the previous argument
  - ◆ Expansion      New variables  $x = (m_e/T_{\text{cm}}) \propto a$ ,  $y = p/T_{\text{cm}}$
  - ◆ 3-neutrino mixing      3 oscillation frequencies
  - ◆ Mean-field term      Mass basis  $\rightarrow$  *matter* basis

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$$\frac{\partial \varrho}{\partial x} = -i[\mathcal{H}, \varrho] + \mathcal{K}$$

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# Approximation scheme for neutrino oscillations

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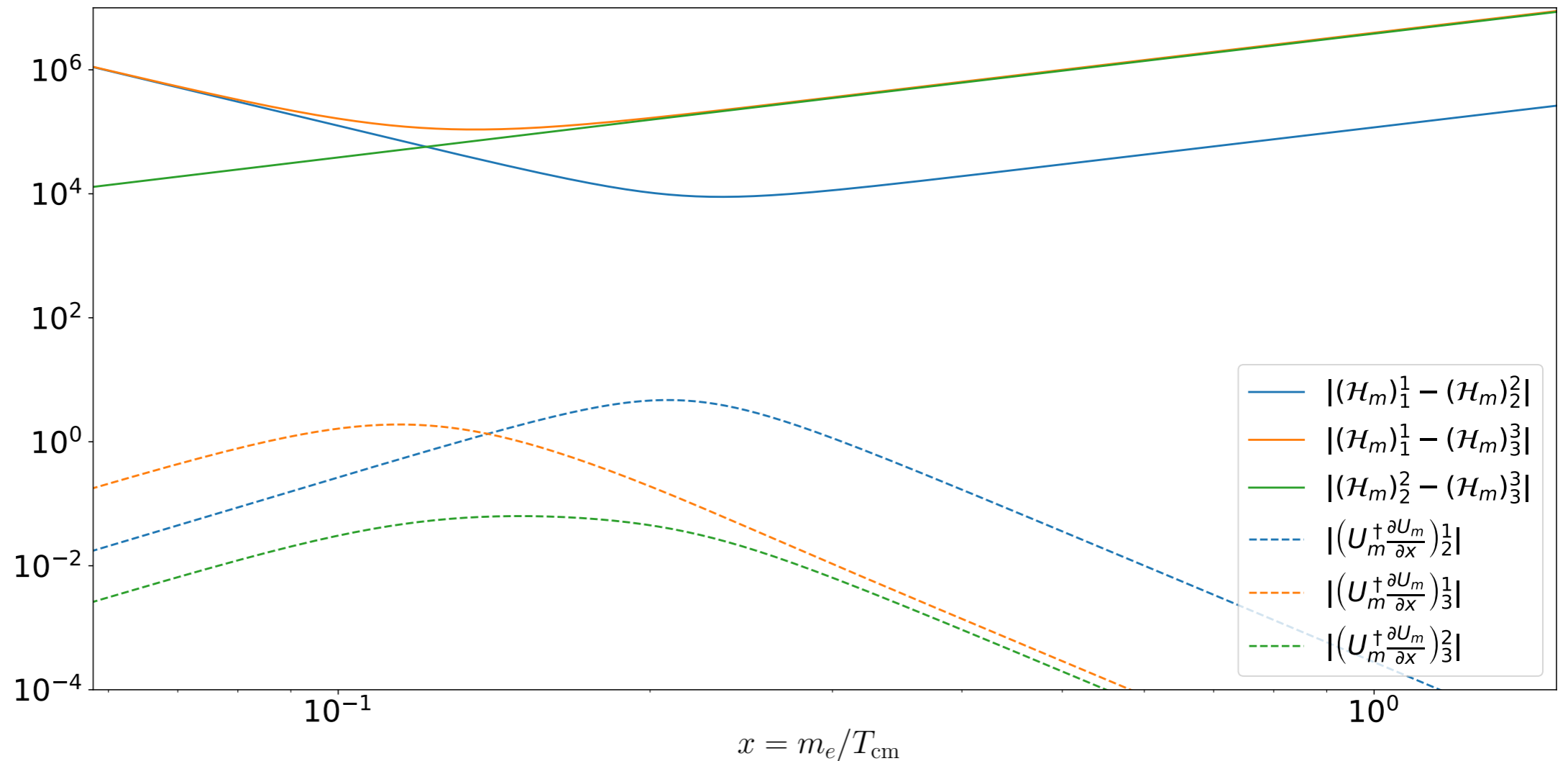
diagonal

adiabatic  
approximation

# Checking the adiabatic approximation

$$\frac{\partial \rho_m}{\partial x} = -i[\mathcal{H}_m, \rho_m] - [U_m^\dagger \frac{\partial U_m}{\partial x}, \rho_m] + \mathcal{K}_m$$

**Effective  
oscillation  
frequencies**





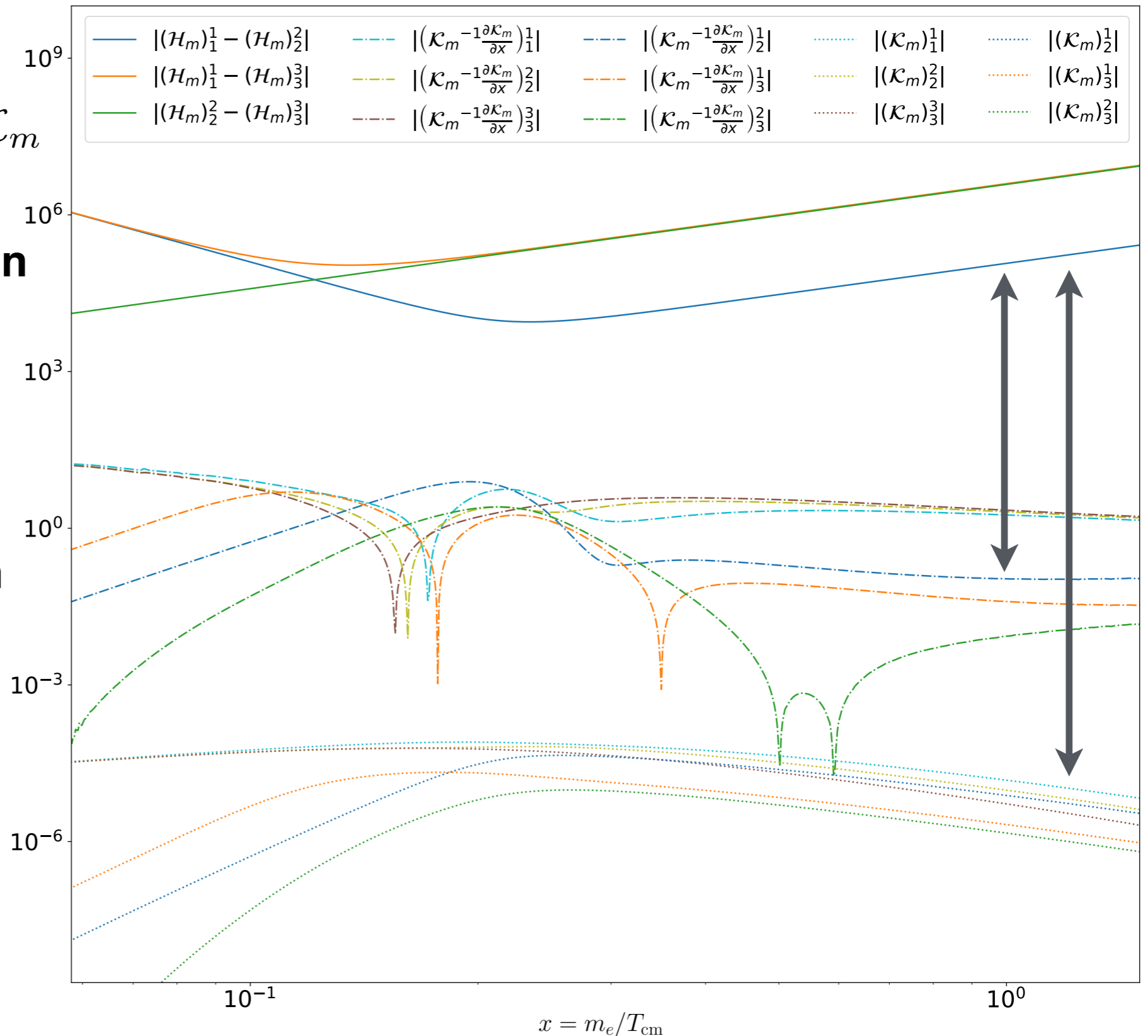
# Checking that oscillations are averaged

$$\frac{\partial Q_m}{\partial x} = -i[\mathcal{H}_m, Q_m] + \mathcal{K}_m$$

**Effective oscillation frequencies**

**Relative variation of collision term**

**Collision rate**



# Adiabatic Transfer of Averaged Oscillations

- Non-diagonal components of the density matrix in matter basis are *averaged out*

$$\rho_m = \begin{pmatrix} * & \sim & \sim \\ \sim & * & \sim \\ \sim & \sim & * \end{pmatrix} \longrightarrow \tilde{\rho}_m = \begin{pmatrix} * & 0 & 0 \\ 0 & * & 0 \\ 0 & 0 & * \end{pmatrix}$$

- Effective “ATAO” equation

$$\frac{\partial \tilde{\rho}_m}{\partial x} = U_m^\dagger \widetilde{K} U_m$$

keep only the diagonal

# Adiabatic Transfer of Averaged Oscillations

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Instead of solving the full QKE, we can

1. Go to matter basis, where the effective Hamiltonian (vacuum + mean-field) is diagonal.

This matter basis evolves *adiabatically*.

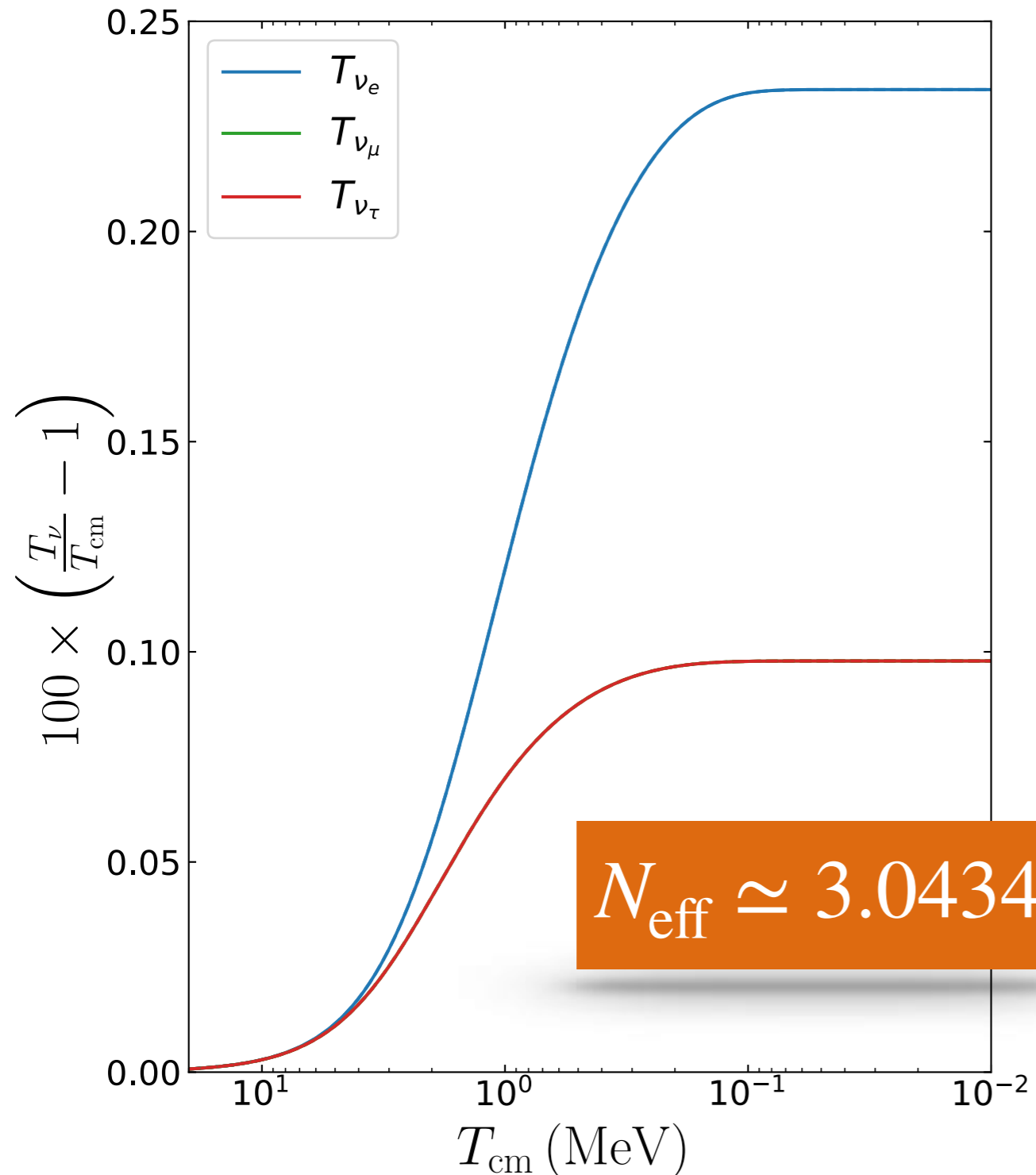
2. Evolve the diagonal components of  $Q_m$  (off-diagonal components are *averaged* out).
3. Read the results in flavor basis.

# Outline

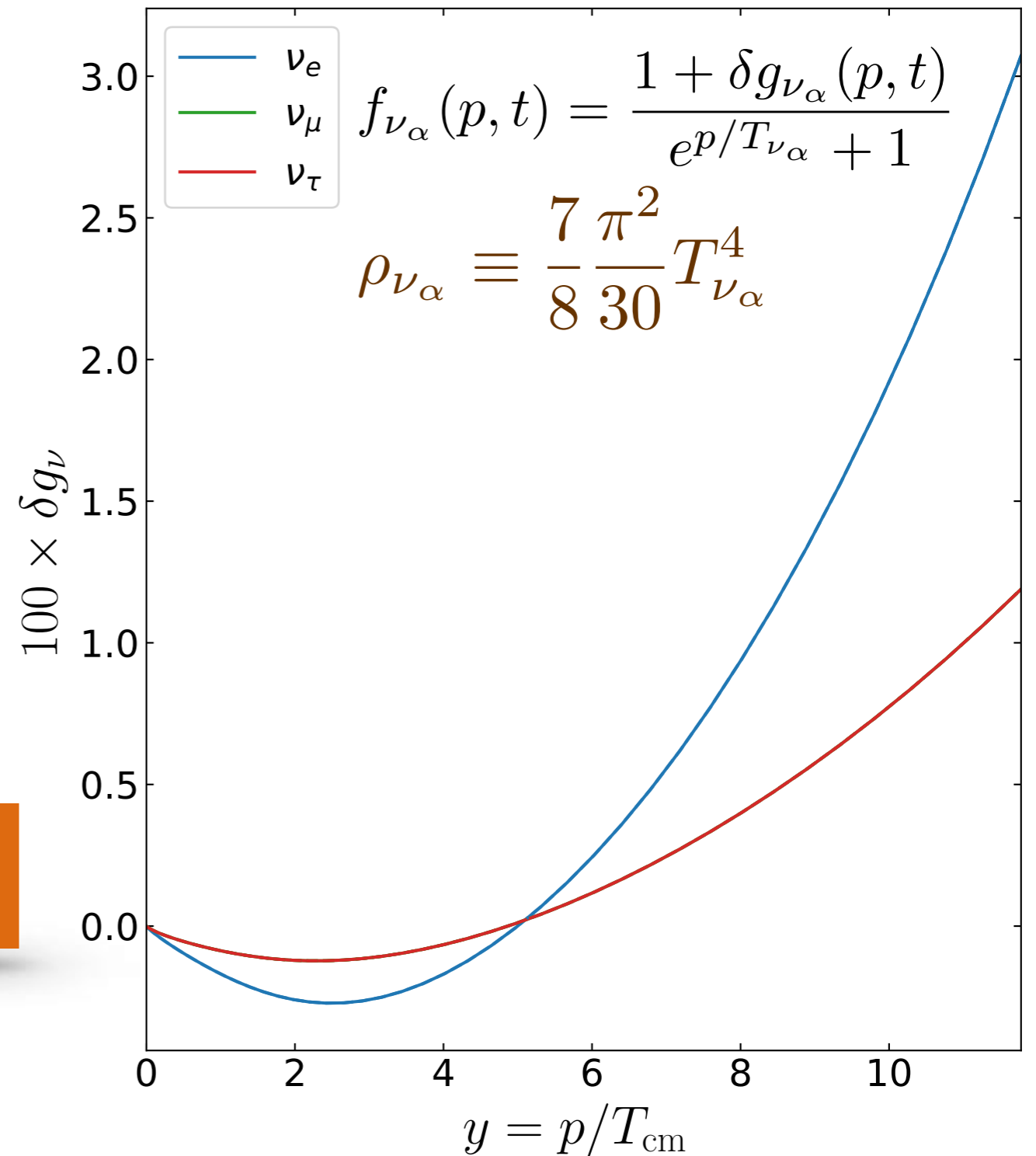
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# Neutrino decoupling without flavor oscillations

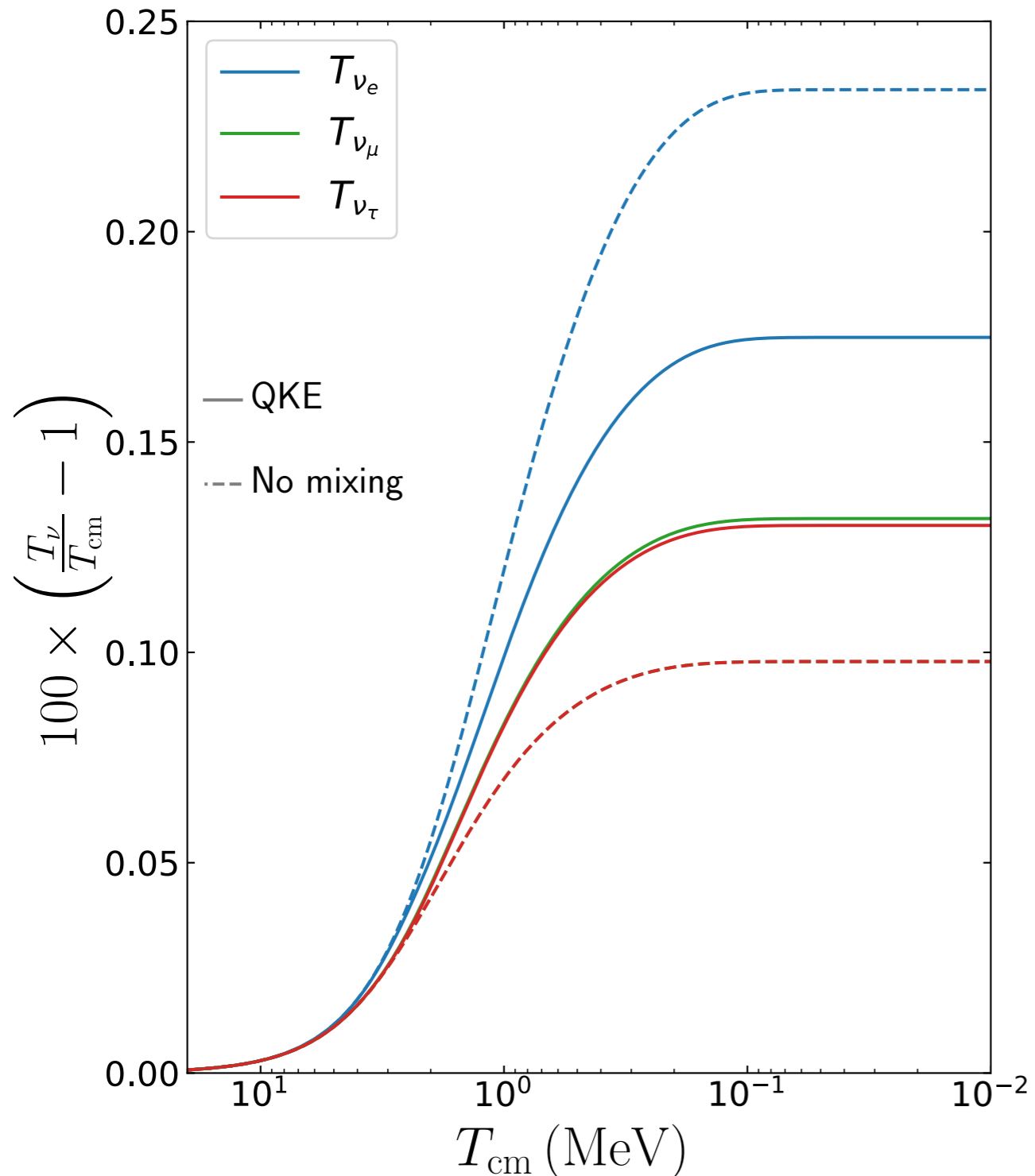


Effective temperatures

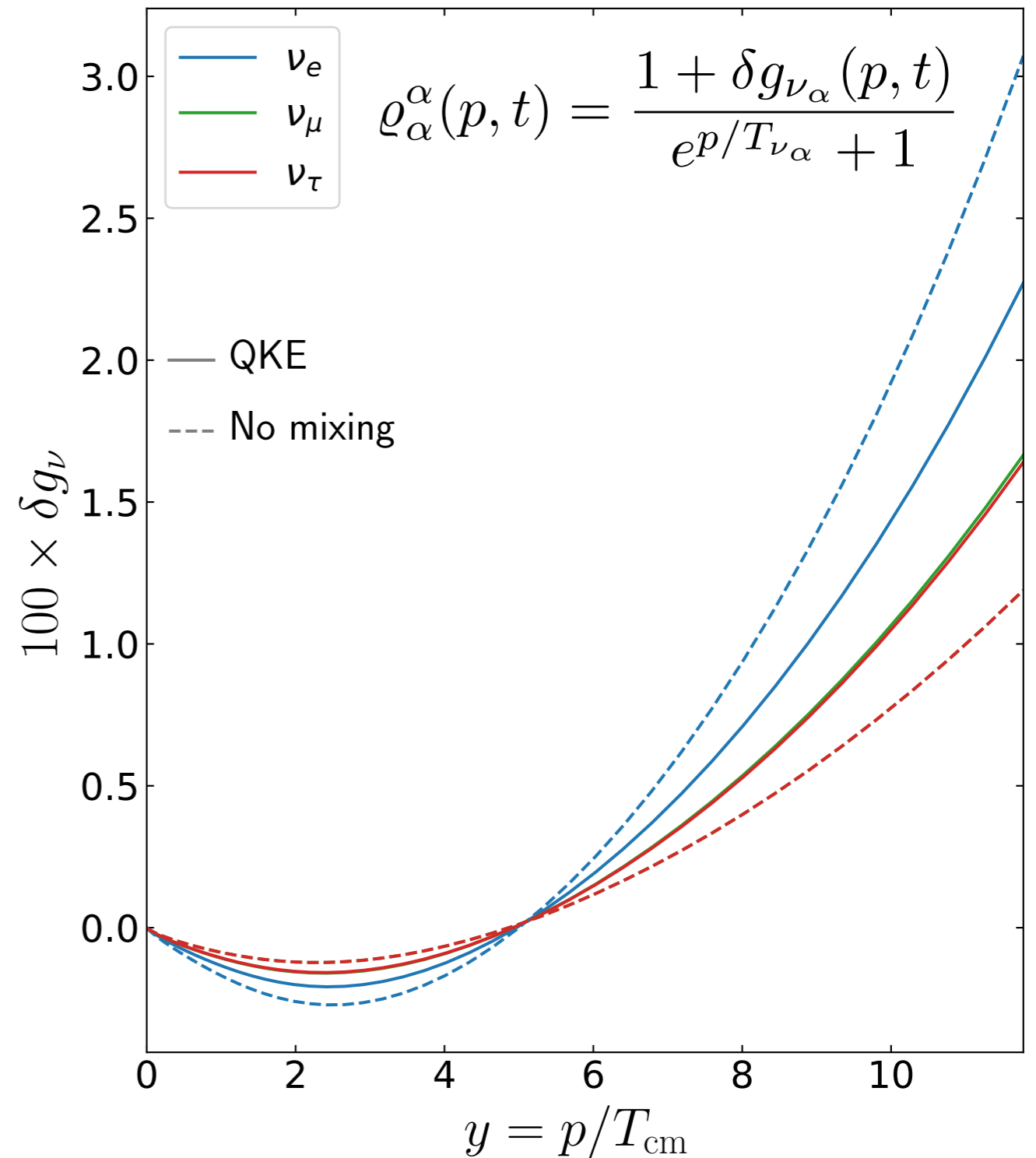


Effective distortions

# Neutrino decoupling with flavor oscillations

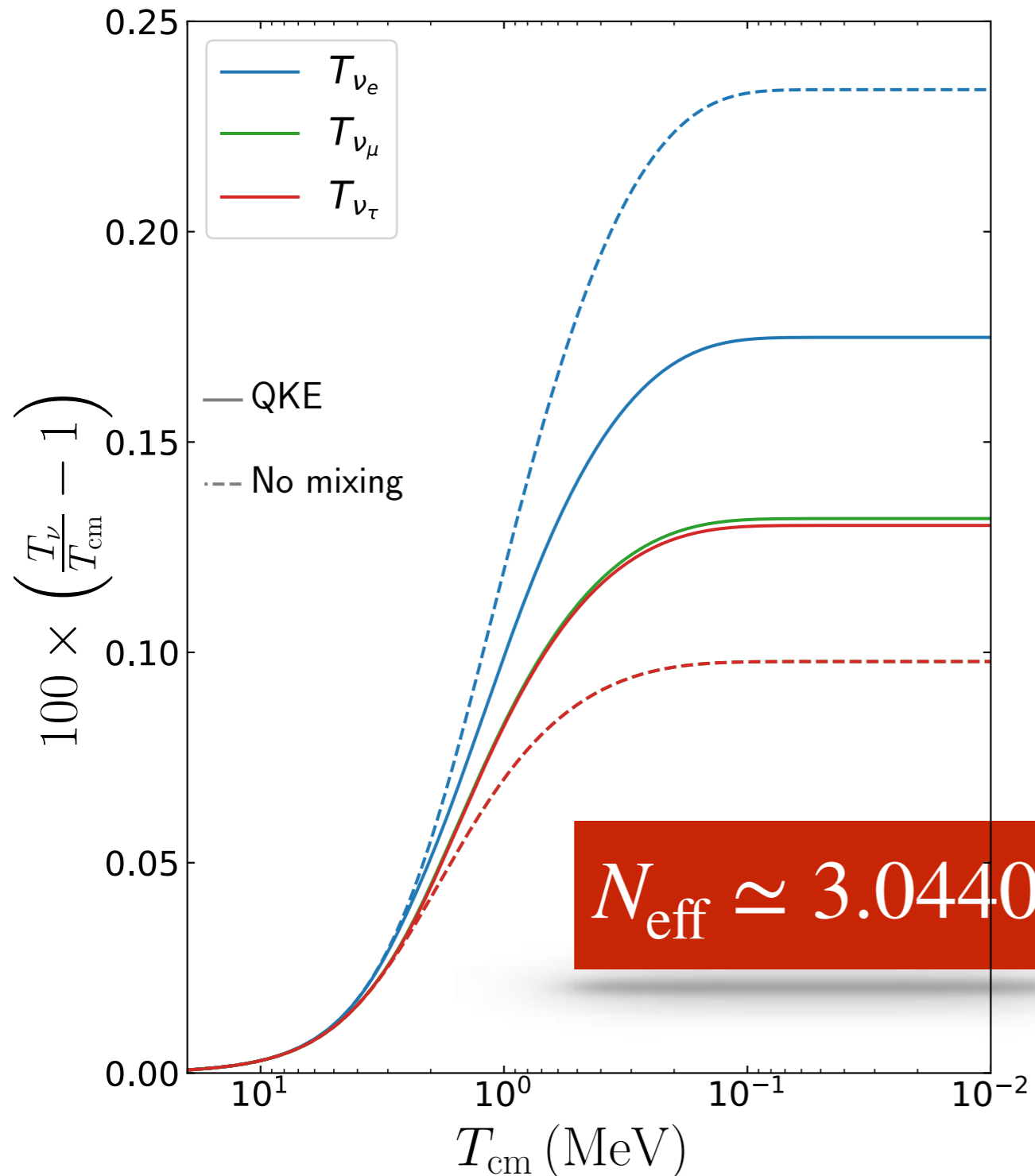


Effective temperatures

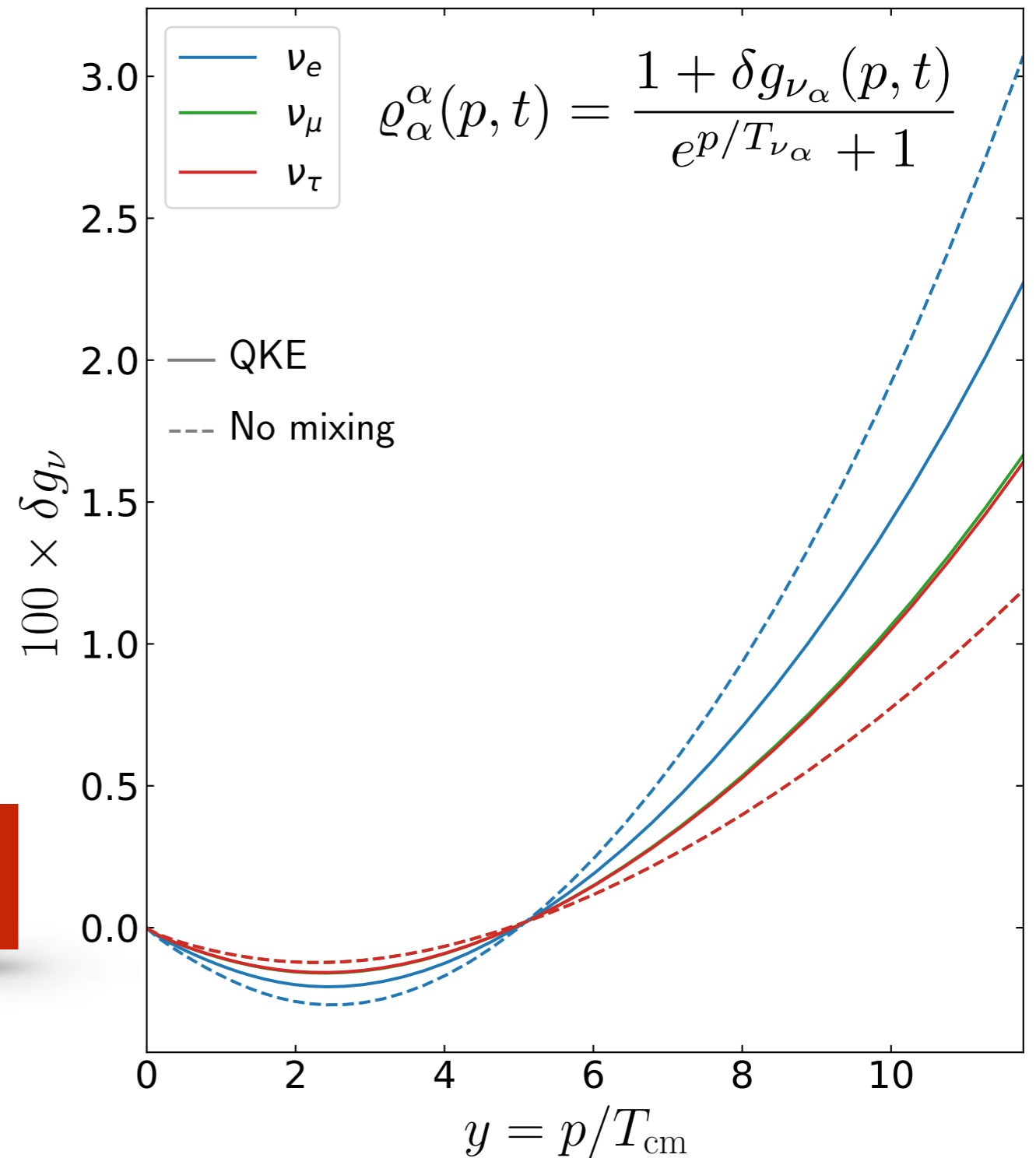


Effective distortions

# Neutrino decoupling with flavor oscillations



Effective temperatures



Effective distortions

# Decoupling with flavor oscillations - Comments

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- Excellent accuracy of ATA0 approximation ( $< 10^{-6}$ ).

- Slight increase of  $N_{\text{eff}}$  (3.0434  $\rightarrow$  3.0440)

flavor conversion of  $\nu_e \implies$  more phase space for  $e^\pm$  annihilations

- Higher precision?

- Full QED corrections

$$\Delta N_{\text{eff}} > 10^{-5}$$

- Inhomogeneous cosmology



# Conclusion

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## Neutrino decoupling

- Neutrinos capture part of the entropy released by  $e^\pm$  annihilations
- Increased effective temperatures + spectral distortions
- Exact or approximate treatment of neutrino mixing
- $N_{\text{eff}} \simeq 3.044$

Consequences on BBN, CMB...



[**JF**, C. Pitrou, *Phys. Rev. D* 101, 043524 (2020)]